

KENTUCKY HORSE RACING COMMISSION

October 20, 2020

AGENDA

Location: Kentucky Horse Racing Commission
Conference Room
4063 Iron Works Parkway, Building B
Lexington, KY 40511

Time: 1:30 pm

I. Call to Order and Roll Call

II. New Business

1. KHRC Meeting Minutes of August 18, 2020
2. Churchill Downs Racetrack, LLC's 2020 Fall Meet Proposed KTDF Purses and Proposed Purse Structure
3. Turfway Park, LLC's 2020 Holiday Meet & 2021 Winter / Spring Meet Proposed KTDF Purses and Proposed Purse Structure
4. Ratification of Executive Director's Approval of Keeneland Association, Inc.'s Backside Improvement Fund Request
5. Conditions of Racing Association Licensing for 2021
6. Licenses to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering for 2021
7. Calendar of Race Dates and Host Status for 2021
8. Proposed Amendments to 810 KAR 2:020, 810 KAR 4:010, 810 KAR 4:030, 810 KAR 4:060, 810 KAR 8:060
9. Churchill Downs' Request to Establish a Simulcast Facility in Louisville, KY
10. Turfway Park's Request to Amend Section R of their 2020 Application to Shift Race Dates and Post Times for their 2020 Holiday Meet
11. Keeneland Association's Request to Offer Special Doubles Wager for 2020 Breeders' Cup
12. Consideration of Funding Exercise Induced Pulmonary Hemorrhage Endoscopic Study
13. Presentation- Update on Research Study of Inflammation in Catastrophically Injured Thoroughbreds
14. Turfway Park's 2020 Holiday Meet & 2021 Winter Spring Meet Race Officials & Date Preference System
15. Reports
 - a. Thoroughbred Rulings
 - b. Standardbred Rulings
 - c. Veterinary Division
 - d. Pari-Mutuel Wagering Division

III. Executive Session

During the meeting on October 20, 2020 the Commission will go into closed session pursuant to KRS 61.810(1) (C) to discuss pending litigation

IV. Other Business

Final Orders Regarding *Bray & Royal v. KHRC*, KHRC-19-TB-008 & 015

V. Adjournment

KENTUCKY HORSE RACING COMMISSION
MINUTES OF THE MEETING

Date: August 18, 2020 at 1:30 PM

Place: Via video conference from Kentucky Horse Racing Commission, Conference Room, 4063 Iron Works Parkway, Building B, Kentucky Horse Park, Lexington, Kentucky

Members Present: Chairman Jonathan Rabinowitz; Vice-Chairman Frank Jones, Jr.; Commissioner J. Gatewood Bell, Jr.; Commissioner Kerry T. Cauthen; Commissioner Naveed Chowhan, M.D.; Commissioner Douglas A. Hendrickson; Commissioner Lesley Ann May Howard; Commissioner Kenneth A. Jackson; Commissioner Franklin S. Kling, Jr.; Commissioner Alan J. Leavitt; Commissioner Foster Northrop, DVM; Commissioner Charles O'Connor; Commissioner Tom Riddle, DVM; Commissioner Mark Simendinger; and Commissioner James Edwin Worley

Ex-officio Members Present: Secretary Kerry B. Harvey, Public Protection Cabinet

KHRC Staff Participating/Present: Marc A. Guilfoil, Executive Director; Jamie Eads, Deputy Executive Director and Director of Division of Incentives and Development; Jennifer Wolsing, General Counsel; Chad Thompson, Deputy General Counsel; Bruce Howard, DVM, Equine Medical Director; Nicholas Smith, DVM, Chief Racing Veterinarian; Barbara Borden, Chief State Steward; Waqas Ahmed, Director and David Brown, Auditor, Division of Pari-mutuel Wagering and Compliance

Others Participating: None.

AGENDA ITEM	TRANSCRIPT PAGE NUMBER(S)	DISCUSSION / CONCLUSIONS / RECOMMENDATIONS	ACTION TAKEN
I. Call to Order and Roll Call	4 – 5	Chairman Rabinowitz called the meeting of the Kentucky Horse Racing Commission (KHRC) to order and performed the roll call. A quorum was recognized. Chairman Rabinowitz welcomed and announced the appointment of new commissioner, Tom Riddle; and new Director of Pari-mutuel Wagering and Compliance, Waqas Ahmed.	No action taken.
II. New Business Tab 1 Minutes from April 21, 2020 and June 16, 2020	5 – 60 5 – 7	Chairman Rabinowitz requested a motion from the Commission to address the request submitted by the KHRC staff to approve the	Chairman Rabinowitz called for a vote to

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	7 - 8	<p>proposed minutes of the KHRC meeting of April 21, 2020. Motion by Commissioner Kling. Second by Vice-Chairman Jones.</p> <p>Approval of the proposed minutes was recommended by KHRC staff.</p> <p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by the KHRC staff to approve the proposed minutes of the KHRC meeting of June 16, 2020. Motion by Commissioner Simendinger. Second by Vice-Chairman Jones.</p> <p>Approval of the proposed minutes was recommended by KHRC staff.</p>	<p>approve the proposed minutes of the April 21, 2020 KHRC meeting as presented. Motion carried with no abstentions or objections.</p> <p>Chairman Rabinowitz called for a vote to approve the proposed minutes of the June 16, 2020 KHRC meeting as presented. Motion carried with no abstentions or objections.</p>
<p>Tab 2 Ratification of Churchill Downs' 2020 Spring Meet KTDF Purse Structure</p>	8 – 9	<p>Chairman Rabinowitz requested a motion from the Commission to address ratification of the Executive Director's conditional approval of the recommendation submitted by the Kentucky Thoroughbred Development Fund advisory committee ("KTDF advisory committee") to approve the proposed amended KTDF purses and the proposed amended KTDF purse structure for Churchill Downs Racetrack, LLC's 2020 spring meet. Motion by Vice-Chairman Jones. Second by Commissioner Simendinger.</p> <p>Ms. Eads presented Churchill Downs' request dated May 1, 2020 as outlined in the agenda materials. At its May 7, 2020 meeting, the KTDF advisory committee approved recommendation of Churchill Downs' amended request. On May 7, 2020, Mr. Guilfoil granted</p>	<p>Chairman Rabinowitz called for a vote to ratify the Executive Director's conditional approval and the KTDF advisory committee's recommendation as presented. Motion carried with no objections or abstentions.</p>

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		<p>conditional approval of the KTDF advisory committee's recommendation.</p> <p>Ratification of the Executive Director's conditional approval was recommended by KHRC staff.</p>	
<p>Tab 3 Kentucky Downs' September Meet Amended 2020 KTDF Purse Structure</p>	<p>9 – 11</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the recommendation of the KTDF advisory committee to approve the proposed amended KTDF purses and the proposed amended KTDF purse structure for Kentucky Downs, LLC's September 2020 meet. Motion by Commissioner Northrop. Second by Commissioner Simendinger.</p> <p>Ms. Eads presented Kentucky Downs' request dated July 28, 2020 as outlined in the agenda materials. At its June 3, 2020 meeting, the KTDF advisory committee approved recommendation of Kentucky Downs' request. Ms. Eads noted that the request was an amendment from the original request approved by the Commission at its June 16, 2020 meeting.</p> <p>Approval of the KTDF advisory committee's recommendation was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the KTDF advisory committee's recommendation as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 4 Ellis Park's Summer Meet Amended 2020 KTDF Purse Structure</p>	<p>11 – 12</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the recommendation of the KTDF advisory committee to approve the proposed amended KTDF purses and the proposed amended purse structure for the 2020 summer meet of Ellis Entertainment LLC, d/b/a Ellis Park Racing & Gaming. Motion by Commissioner Hendrickson. Second by Commissioner Northrop.</p> <p>Ms. Eads presented Ellis Park Racing & Gaming's request dated August 3, 2020 as outlined in the agenda materials. At its August 4,</p>	<p>Chairman Rabinowitz called for a vote to approve the KTDF advisory committee's recommendation as presented. Motion carried with no objections or abstentions.</p>

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		<p>2020 meeting, the KTDF advisory committee recommended approval of Ellis Park Racing & Gaming’s request.</p> <p>Approval of the KTDF advisory committee’s recommendation was recommended by KHRC staff.</p>	
<p>Tab 5 Churchill Downs’ 2020 September Meet KTDF Purse Structure</p>	<p>12 – 14</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the recommendation submitted by the KTDF advisory committee to approve the proposed KTDF purses and the proposed KTDF purse structure for Churchill Downs Racetrack, LLC’s 2020 September meet. Motion by Commissioner Simendinger. Second by Commissioner Northrop.</p> <p>Ms. Eads presented Churchill Downs Racetrack, LLC’s request dated July 30, 2020 as outlined in the agenda materials. At its August 4, 2020 meeting, the KTDF advisory committee recommended approval of Churchill Downs Racetrack, LLC’s request.</p> <p>Approval of the KTDF advisory committee’s recommendation was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the KTDF advisory committee’s recommendation as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 6 Keeneland’s 2020 Fall Meet KTDF Purse Structure</p>	<p>14 – 16</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the recommendation submitted by the KTDF advisory committee to approve the proposed KTDF purses and the proposed KTDF purse structure for Keeneland Association, Inc.’s 2020 fall meet. Motion by Commissioner Bell. Second by Vice-Chairman Jones.</p> <p>Ms. Eads presented Keeneland Association, Inc.’s request dated August 3, 2020 as outlined in the agenda materials. At its August 4, 2020 meeting, the KTDF advisory committee recommended approval of Keeneland Association, Inc.’s request.</p>	<p>Chairman Rabinowitz called for a vote to approve the KTDF advisory committee’s recommendation as presented. Motion carried with no objections or abstentions.</p>

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		Approval of the KTFDF advisory committee's recommendation was recommended by KHRC staff.	
Tab 7 Ratification of Executive Director's Approval of Kentucky Derby Future Wagers	16 – 18	<p>Chairman Rabinowitz requested a motion from the Commission to address ratification of the executive director's conditional approval of the request submitted by Churchill Downs Racetrack, LLC to amend Section R(4) of their 2020 license to conduct live horse racing, simulcasting and pari-mutuel wagering. Motion by Commissioner Northrop. Second by Vice-Chairman Jones.</p> <p>Commissioner Kling presented Churchill Downs Racetrack, LLC's request dated June 15, 2020 as outlined in the agenda materials. On June 25, 2020, Mr. Guilfoil granted conditional approval of Churchill Downs Racetrack, LLC's request.</p> <p>Ratification of the Executive Director's conditional approval was recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to ratify the Executive Director's conditional approval as presented. Motion carried with no objections or abstentions.
Tab 8 Derby City Gaming's Request for Approval of Software Updates to Six Scientific Game Corporation Entertaining Game Themes	18 – 21	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Churchill Downs Racetrack, LLC, by and through its wholly owned subsidiary Derby City Gaming, LLC, to approve software modifications on six (6) Scientific Games Corporation entertaining game themes. Motion by Commissioner Simendinger. Second by Commissioner O'Connor.</p> <p>Commissioner Kling presented the request by Derby City Gaming dated July 31, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.
Tab 9 Derby City Gaming's Request for approval of Scientific Games Pari-Mutuel	21 – 24	Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Churchill Downs Racetrack, LLC, by and through its wholly owned subsidiary, Derby City Gaming, LLC,	Chairman Rabinowitz called for a vote to approve the request as

<i>AGENDA ITEM</i>	<i>TRANSCRIPT PAGE NUMBER(S)</i>	<i>DISCUSSION / CONCLUSIONS / RECOMMENDATIONS</i>	<i>ACTION TAKEN</i>
Wagering Pools and Entertaining Games Themes		<p>to approve three (3) new entertaining game themes that will utilize a new Scientific Games Corporation historical horse racing pari-mutuel wagering pool and eight (8) new entertaining game themes that utilize the previously approved pari-mutuel wagering pools. Motion by Vice-Chairman Jones. Second by Commissioner O'Connor.</p> <p>Commissioner Kling presented the request by Derby City Gaming dated July 31, 2020 as outlined in the agenda materials.</p> <p>Approval is recommended by KHRC staff.</p>	presented. Motion carried with no objections or abstentions.
Tab 10 Request from Kentucky Downs Racing and Gaming for Approval to Add 450 Ainsworth Game Technology Entertaining Game Terminals to Their Floor	24 – 25	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Kentucky Downs, LLC to approve deployment of an additional 450 Ainsworth Game Technology entertaining game terminals to their current assortment of HHR terminals. Motion by Commissioner Kling. Second by Commissioners O'Connor and Simendinger.</p> <p>Mr. Brown presented the request by Kentucky Downs, LLC dated August 6, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.

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<p>Tab 11 Kentucky Downs Racing and Gaming Request for Approval of AGS, LLC Pari-Mutuel Wagering Pools and Entertaining Game Themes</p>	<p>26 – 28</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Kentucky Downs, LLC to approve two (2) new AGS, LLC, pari-mutuel wagering pools along with two (2) new entertaining game themes associated with each new pari-mutuel wagering pool. Motion by Commissioner Northrop. Second by Commissioner Howard.</p> <p>Mr. Brown presented the request by Kentucky Downs, LLC dated July 30, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff contingent upon the four (4) conditions as presented.</p>	<p>Chairman Rabinowitz called for a vote to approve the request contingent upon the four (4) conditions as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 12 Request from Kentucky Downs Racing and Gaming for Approval of Two New Exacta Systems Pari-Mutuel Wagering Pools and Entertaining Game Themes</p>	<p>28 – 32</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Kentucky Downs, LLC to approve two (2) new Exacta System pari-mutuel wagering pools and two (2) new entertaining game themes based on previously approved Exacta Systems’ pari-mutuel wagering pools. Motion by Commissioner O’Connor. Second by Commissioner Cauthen.</p> <p>Mr. Brown presented the request by Kentucky Downs, LLC dated July 30, 2020 as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 13 Keeneland Red Mile Request to Increase the Number of Historical Horse Racing Terminals</p>	<p>32 – 34</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by KRM Wagering, LLC to approve a proposed amendment to their initial application to offer HHR pursuant to 810 KAR 1:120, section 4(1) in order to increase the maximum of allowable historical horse racing terminals. Motion by Commissioner Leavitt. Second by Commissioner O’Connor.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>

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		<p>Mr. Ahmed presented KRM Wagering, LLC's request dated July 28, 2020 as outlined in the agenda materials.</p> <p>Approval is recommended by KHRC staff.</p>	
<p>Tab 14 Keeneland Red Mile Request for Approval of PariMAX 3.24</p>	<p>34 – 36</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by KRM Wagering, LLC to approve PariMAX historical horse racing pari-mutuel wagering version 3.24. Motion by Commissioner Kling. Second by Commissioner Northrop.</p> <p>Mr. Ahmed presented KRM Wagering, LLC's request dated June 29, 2020 as outlined in the agenda materials.</p> <p>Approval is recommended by KHRC staff contingent upon the two (2) conditions listed under Tab 14 of the agenda materials.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as contingent upon the two (2) conditions listed under Tab 14 of the agenda materials. Motion carried with no objections or abstentions.</p>
<p>Tab 15 Ratification of Executive Director Approval of The Red Mile's License to Conduct Live Horse Racing, Simulcasting, and Pari-Mutuel Wagering</p>	<p>36 – 40</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address ratification of the Executive Director's conditional approval of an ordinary license to conduct live horse racing, simulcasting and pari-mutuel wagering for the Lexington Trots Breeders Association, LLC, d/b/a the Red Mile. Motion by Commissioner Leavitt. Second by Vice-Chairman Jones.</p> <p>Ms. Wolsing presented the Red Mile's request as outlined in the agenda materials. On June 29, 2020, Mr. Guilfoil granted conditional approval of the Red Mile's license to conduct live horse racing, simulcasting and pari-mutuel wagering.</p> <p>Ratification of the Executive Director's conditional approval was recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to ratify the Executive Director's conditional approval as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 16 Ratification of Executive Director Approval of</p>	<p>40 – 42</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address ratification of the executive director's conditional approval</p>	<p>Chairman Rabinowitz called for a vote to ratify</p>

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The Red Mile's Revised Post Times		<p>of the request submitted by the Lexington Trots Breeders Association, LLC, d/b/a the Red Mile, to amend section R(1) of the 2020 license to conduct live horse racing, simulcasting and pari-mutuel wagering. Motion by Commissioner Leavitt. Second by Commissioner Northrop.</p> <p>Ms. Wolsing presented the Red Mile's request dated July 7, 2020 as outlined in the agenda materials. On July 28, 2020, Mr. Guilfoil granted conditional approval of the Red Mile's request.</p> <p>Ratification of the Executive Director's conditional approval was recommended by KHRC staff.</p>	<p>the Executive Director's conditional approval as presented. Motion carried with no objections or abstentions.</p>
Tab 17 WKY Development's Requests to Amend Section R of its 2020 Application to Conduct Live Horse Racing, Simulcasting and Pari-Mutuel Wagering to Revise its Race Dates and Post Times for their 2020 Meet	42 – 44	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by WKY Development, LLC, d/b/a Oak Grove Racing, Gaming & Hotel to amend section R of their 2020 license to conduct live horse racing, simulcasting and pari-mutuel wagering. Motion by Commissioner Leavitt. Second by Commissioner Cauthen.</p> <p>Ms. Wolsing presented Oak Grove Racing, Gaming and Hotel's request dated July 31, 2020 as outlined in the agenda materials.</p> <p>Approval is recommended by KHRC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
Tab 18 Keeneland's Proposed 2020 Fall Race Meet and Breeders' Cup Meet Officials & Date Preference System	44 – 46	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Keeneland Association, Inc. to approve the proposed roster of racing officials and date preference system for Keeneland's 2020 fall meet, and to approve the roster of racing officials in field selection and points system for the 2020 Breeders Cup World Championship. Motion by Commissioner Kling. Second by Commissioner O'Connor.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>

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		<p>Ms. Borden presented Keeneland Association, Inc.'s request dated July 28, 2020 as outlined in the agenda materials. All listed officials are ROAP accredited and have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Approval was recommended by KHRC staff.</p>	
<p>Tab 19 Churchill Downs' Proposed 2020 Fall Race Meet Officials and Date Preference System</p>	<p>46 – 48</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by Churchill Downs Racetrack, LLC, to approve the proposed roster of racing officials and date preference system for Churchill Downs' 2020 fall meet. Motion by Vice-Chairman Jones. Second by Commissioner Simendinger.</p> <p>Ms. Borden presented Churchill Downs Racetrack, LLC's request dated July 31, 2020 as outlined in the agenda materials. All listed officials are ROAP accredited and have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Approval was recommended by KRHC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>
<p>Tab 20 Oak Grove Racing, Gaming & Hotel's 2020 Race Meet Officials</p>	<p>48 – 49</p>	<p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by WKY Development, LLC, d/b/a Oak Grove Racing, Gaming and Hotel, to approve the proposed roster of racing officials for Oak Grove's 2020 meet.</p> <p>Ms. Borden presented Oak Grove Racing, Gaming and Hotel's request dated July 31, 2020 as outlined in the agenda materials. All listed officials are ROAP accredited and have previously performed the duties for which they are listed and will be licensed before the meet opening.</p> <p>Approval was recommended by KRHC staff.</p>	<p>Chairman Rabinowitz called for a vote to approve the request as presented. Motion carried with no objections or abstentions.</p>

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<p>Tab 21 Reports</p> <p>a. Thoroughbred Rulings</p> <p>b. Standardbred Rulings</p> <p>c. Veterinary Division Report</p> <p>d. Pari-Mutuel Wagering Division Report</p> <p>e. Litigation and Regulations</p>	<p>49 – 60</p> <p>49 – 51</p> <p>51 – 52</p> <p>52 – 57</p> <p>57 – 60</p> <p>60</p>	<p>a. Ms. Borden presented the thoroughbred rulings reports as outlined in the agenda materials and responded to questions from the Commissioners.</p> <p>b. Mr. Guilfoil presented the Standardbred rulings report as outlined in the agenda materials.</p> <p>c. Drs. Smith and Howard presented the veterinary division reports as outlined in the agenda materials and answered questions from the Commissioners.</p> <p>d. Mr. Brown presented the pari-mutuel wagering division report as outlined in the agenda materials and Commissioner Kling commented.</p> <p>e. Litigation and Regulation report is for informational purposes only.</p>	<p>No action taken. Informational items only.</p>
<p>III. Executive Session</p> <p>During the meeting on August 18, 2020, the Commission will go into closed session pursuant to KRS 61.810(1)(c) to discuss pending litigation</p> <p>Final Orders Regarding Hernandez v. KHRC, KHRC-20-TB-002</p> <p>Final Orders Regarding Saez v. KHRC, KHRC-19-TB-004</p>	<p>60 – 61</p> <p>60 – 61</p> <p>61</p>	<p>Chairman Rabinowitz requested a motion from the Commission to convene in closed session to discuss proposed or pending litigation against or on behalf of the agency as permitted by KRS Chapter 61.810(1)(c). Motion by Commissioner Kling. Second by Commissioner Simendinger.</p> <p style="text-align: center;"><u>EXECUTIVE SESSION</u></p> <p>Chairman Rabinowitz requested a motion from the Commission to reconvene in open session. Motion by Vice-Chairman Jones. Second by Commissioner O'Connor.</p>	<p>Chairman Rabinowitz called for a vote for the Commission to convene in closed session. Motion carried with no objections or abstentions.</p> <p>Chairman Rabinowitz called for a vote for the Commission to reconvene in open</p>

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		<u>RECONVENED TO OPEN SESSION</u>	session. Motion carried with no objections or abstentions.
IV. Other Business Final Orders Regarding: <i>Hernandez v. KHRC</i>, KHRC-20-TB-002, <i>Saez v. KHRC</i>, KHRC-19-TB-004	<p>62 – 65 62 – 63</p> <p>64 – 65</p> <p>65</p>	<p>Upon reconvening in open session, Chairman Rabinowitz requested a motion from the Commission to address the request submitted by KHRC staff to approve the proposed final order for KRS 13B administrative appeal, <i>Hernandez v. KHRC</i>, styled KHRC-20-TB-002. Motion by Commissioner Simendinger. Second by Commissioner Northrop.</p> <p>Ms. Wolsing presented the proposed final order of dismissal regarding <i>Hernandez v. KHRC</i> as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p> <p>Chairman Rabinowitz requested a motion from the Commission to address the request submitted by the KHRC staff to approve the proposed final order for KRS 13B administrative appeal, <i>Saez v. KHRC</i>, styled KHRC-19-TB-004. Motion by Commissioner Kling. Second by Commissioner Bell.</p> <p>Ms. Wolsing presented the proposed final order regarding <i>Saez v. KHRC</i> as outlined in the agenda materials.</p> <p>Approval was recommended by KHRC staff.</p> <p>Prior to moving for adjournment, Chairman Rabinowitz announced that Commissioner Chowhan had been appointed by Executive Order to serve as the Chairman of the Equine Drug Research Council.</p>	<p>Chairman Rabinowitz called for a vote to approve the proposed final order of dismissal regarding <i>Hernandez v. KHRC</i> as presented. Motion carried with no objections or abstentions.</p> <p>Chairman Rabinowitz called for a vote to approve the proposed final order of dismissal regarding <i>Saez v. KHRC</i> as presented. Motion carried with no objections or abstentions.</p> <p>No action taken. Informational item only.</p>

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V. Adjournment	65 – 66	Chairman Rabinowitz requested a motion to adjourn. Motion by Commissioner Cauthen. Second by Commissioner Chowhan. Meeting adjourned.	Chairman Rabinowitz called for a vote to adjourn. Motion carried with no objections or abstentions.

NOTE: The Agenda materials and any amendments and/or supplements thereto, subject to any applicable exemptions, and the official Transcript are incorporated by reference as if set forth fully herein.

DRAFT



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

JONATHAN RABINOWITZ
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
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TO: ALL COMMISSION MEMBERS
FROM: Jamie H. Eads, Deputy Executive Director, KHRC
DATE: October 6, 2020
SUBJ.: KTDF PURSE STRUCTURE -- CHURCHILL DOWNS' FALL MEET

On October 6, 2020, the KTDF Advisory Committee considered the following request from Churchill Downs with its KTDF purse structure for the 2020 Fall meet.

KHRC staff confirms that at the end of the 2020 September meet, Churchill Downs had a surplus of \$859,000. Churchill Downs is proposing KTDF purses of approximately \$3-\$3.5 million. Projections are based on a payout of 86.5% of the amount carded, daily earnings of \$106,508.

Churchill Downs will pay out KTDF funds through last place and in the event of a dead heat, the KTDF portion will be handled the same as the purse.

The KTDF Advisory Committee recommends approval.

COMMISSION ACTION:

- _____ Approve
- _____ Defer
- _____ Deny



October 2, 2020

KTDF Advisory Committee of the Kentucky Horse Racing Commission
4063 Iron Works Parkway
Building B
Lexington, Kentucky 40511

Committee Members:

Churchill Downs has reviewed our purses and would like to card KTDF purses in the range of \$3.0 million to \$3.5 million for our upcoming Fall Meet. We have based the range on the following information:

1. Churchill Downs is estimating a surplus balance of \$859 thousand at the end of our 2020 September Meet.
2. Our 2020 Fall Meet will consist of 24 racing days (Sunday, October 25th through Sunday, November 29th). We are projecting daily earnings of \$106,508 which is \$33,166 (or +45.2%) above the \$73,342 earned in 2019. The primary driver is the KTDF earned from pari-mutuel wagering from Derby City Gaming LLC ("Derby City") and Newport Racing and Gaming. Newport Racing and Gaming will begin reimbursing Churchill Downs for the purses allocated from Derby City from December 2019 through March 2020.
3. As of this date, we have not received approval from the revenue cabinet which would allow us to split pari-mutuel wagering at Oak Grove Racing and Gaming between the Thoroughbred and Standardbred development funds. If we receive approval prior to the Meet, we would like to have the ability to increase our KTDF allotment and we will make a formal request in writing to the committee for consideration.
4. For the Fall Meet, we are assuming a KTDF payout percentage of 86.5% with anticipated KTDF disbursements of \$3.3 million which is \$0.2 million higher than the paid amount during the Fall 2019 Meet.
5. To encourage participation during the Fall Meet, we are again planning to pay KTDF purses from first to last to all eligible horses.

Please contact either of us should you have any questions or need additional information.

Sincerely,

Ben Huffman
Vice President, Racing & Racing Secretary

Thomas Minnici
Vice President, Finance

Additional Information Required for Approval of KTDf in 2020

In response to the KTDf Advisory Board's request for information, Churchill Downs Racetrack has made the following updates and efforts to increase Thoroughbred Development Fund generation:

- **Live Racing**
 - Nearly \$170 million in major capital improvements from 2010 through 2018 to enhance the customer experience
 - 2010 - Permanent Lighting for Track
 - 2013 - Paddock Plaza and The Mansion
 - 2014 - Big Board and Grandstand Pavilion
 - 2015 - Winners Circle Suites and The Courtyard
 - 2016 - Stakes Room and Turf Club
 - 2017 - Loft at Aristides Lounge and 2nd Floor Clubhouse
 - 2018 - Starting Gate Suites and Colonnade, Parking, and Transportation Project
 - 2018 – 2019 Improvements ... \$13.3 million capital investment
 - Starting Gate Suites Rooftop Lounge
 - New Infield Gate
 - Completion of Colonnade Wall from Paddock Gate to Clubhouse Gate
 - 2020 and 2021
 - Matt Winn's Steakhouse (renovation of Millionaires Row 6) ... \$11 million investment for 2020 Derby
 - Invest \$300 million to build a hotel, historical racing machine ("HRM") facility, and permanent stadium seating ... On Hold
 - **Results for the 2020 Derby Week**

Here are the results for the Derby Week 2020 versus 2019 (2019 includes Opening Night) -

 - We had 5 race days versus 6 race days in 2019 for Derby Week
 - All Sources Handle was \$175.3 million which represents a decrease of \$167.7 million (or -48.9%) compared to prior year
 - Number of starters per race was 8.16 which was 0.45 (or -5.3%) lower than prior year
 - Total purses paid was \$12.6 million which represents a decrease of \$316 thousand (or -2.4%) compared to prior year
 - Average total purses paid per day was \$2.1 million which represents a decrease of \$53 thousand (or -2.4%) compared to prior year
 - **Results for the September Meet**

Here are the results for the September 2020 Meet compared to prior year –

 - We had 9 race days in 2020 which is two (2) fewer than last year
 - All sources handle was \$40.4 million, down \$13.0 million (or -24.3%) compared to prior year
 - Average all sources handle per day was \$4.5 million, down \$362 thousand (or -7.5%) compared to prior year

- Average starters per race were 7.67, down 1.59 (or -17.2%) compared to prior year
 - Average purses paid per race were \$47,780, down \$23,884 (or -33.3%) compared to prior year
 - In 2020, we had one (1) stakes race compared to eight (8) stakes races in 2019
 - The eight (8) stakes races in 2019 paid purses of \$1.375 million compared to \$100 thousand for the one (1) stakes race in 2020

- Simulcasting
 - 2012 – Invested over \$4 million in the Parlay which is our dark time simulcast facility
 - 2017 - \$16 million capital investment in the 2nd Floor Clubhouse and refresh of the Aristides Lounge which is our live racing simulcast facility

- Historical Horse Racing
 - Invested \$65 million in Derby City Gaming facility
 - From opening day in September 2018 through December 31, 2019, Derby City Gaming has generated \$9.5 million in KTDF
 - Average daily handle at Derby City Gaming has grown from \$1.35 million in September 2018 to \$3.79 million in June 2020
 - Here is the average daily handle by month since its opening
 - September 2018 – \$1.35 million (20 days)
 - October 2018 - \$1.45 million
 - November 2018 - \$1.66 million
 - December 2018 - \$1.87 million
 - January 2019 - \$1.92 million
 - February 2019 – \$2.69 million
 - March 2019 - \$2.99 million
 - April 2019 - \$2.76 million
 - May 2019 - \$2.99 million
 - June 2019 - \$2.93 million
 - July 2019 - \$2.96 million
 - August 2019 - \$3.15 million
 - September 2019 - \$3.15 million
 - October 2019 - \$3.13 million
 - November 2019 - \$3.43 million
 - December 2019 - \$3.44 million
 - January 2020 - \$3.44 million
 - February 2020 - \$3.90 million
 - March 2020 - \$3.77 million ... 15 days (closed from 3/16 to 6/7)
 - June 2020 - \$3.79 million ... 23 days
 - July 2020 - \$3.36 million
 - August 2020 - \$3.38 million
 - September 2020 – \$3.20 million
 - On September 3, 2020, Derby City Gaming opened a new outdoor gaming patio on the south side of the facility. The new 8,000 square foot patio will result in a net addition of 225 historical racing machines. Derby City Gaming has an existing outdoor gaming patio on the north side of the facility that has 113 HRMs.

- On Friday, September 18, 2020, Oak Grove Racing, Gaming, & Hotel (“Oak Grove”) opened simulcast and historical racing machine operations to the public. Oak Grove will debut 1,325 state-of-the-art HRMs with some of the best themes from Ainsworth, Scientific Games and International Gaming Technology. Dining and beverage options include Garrison Oak Steakhouse, two quick serve eateries, a coffee house, sports bar and luxurious lobby bar. The second phase of the Oak Grove project will open in October 2020 and will include a 128-room hotel, equestrian center, amphitheater and a RV Park.



CHURCHILL DOWNS

October 2, 2020

KTDF Advisory Committee of the Kentucky Horse Racing Commission
 4063 Iron Works Parkway
 Building B
 Lexington, Kentucky 40511

Committee Members:

The following information will be included in Churchill Downs' 2020 Fall Condition Book related to the Kentucky Thoroughbred Development Fund (KTDF).

**CHURCHILL DOWNS RACE TRACK
 KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)
 ALLOCATION OF FUND BASED ON NUMBER OF STARTERS AND FINISH**

For those horses that are registered and eligible according to the condition listed above, fund monies will be divided as follows: 60% to the winner, 20% to the second, 10% to the third, 5% to the fourth, 3% to the fifth and the remaining 2% to be allocated among sixth through last based on the schedule below.

Finish	Number of Starters										
	5	6	7	8	9	10	11	12	13	14	
1st	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%
2nd	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%
3rd	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%
4th	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%
5th	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
6th		2.00%	1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%	0.23%
7th			1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%	0.23%
8th				0.66%	0.50%	0.40%	0.33%	0.29%	0.25%	0.22%	0.22%
9th					0.50%	0.40%	0.33%	0.29%	0.25%	0.22%	0.22%
10th						0.40%	0.33%	0.28%	0.25%	0.22%	0.22%
11th							0.33%	0.28%	0.25%	0.22%	0.22%
12th								0.28%	0.25%	0.22%	0.22%
13th									0.25%	0.22%	0.22%
14th										0.22%	0.22%
Total	98.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Example:

Date	Race	# of Starters	KTDF Offered	Finish										
6/25/2017	10	11	\$7,400.00	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th
KTDF:	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes
Payout	\$4,440.00	\$1,480.00	\$740.00	\$370.00	\$222.00	\$25.16	\$25.16	\$0.00	\$24.42	\$24.42	\$24.42	\$24.42	\$24.42	\$24.42
			KTDF Reverts											
			\$24.42											



Example: Dead Heat for 2nd between an eligible and non-eligible horse using the above example.

	<u>2nd</u>	<u>3rd</u>	<u>Total</u>	
KTDF:				
Payout	\$1,480.00	\$740.00	\$2,220.00	---> Eligible horse would receive 50% of the Total for 2nd and 3rd pla
	<u>KTDF</u>	<u>KTDF</u>		
	<u>Payout</u>	<u>Reverts</u>		
	\$1,110.00	\$1,110.00		

THE KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)

Given the robust business during calendar year 2019, the state-of-the-art historical racing machines at nearby Derby City Gaming has generated \$8.1 million in KTDF for horsemen with Kentucky-sired Kentucky-breds to run for in designated stakes, handicap, allowance, non-claiming maiden races, and allowance optional claiming races with a claiming price of not less than twenty-five thousand dollars (\$25,000) contested at Churchill Downs Racetrack.

Eligible races can be readily recognized in the stakes and condition books by the Kentucky Thoroughbred Development Fund seal, which will appear next to each race in the stakes and condition books.

In accordance with KRS 230.400(5) (d), it is mandatory for a horse to be registered prior to time of entry to be eligible to participate in the distribution of Kentucky Thoroughbred Development Fund monies. Registration is only complete once the application is approved and payment has been received.

All horses of racing age that are both Kentucky-bred and sired by a Kentucky stallion which stood in Kentucky the entire breeding season the year the horse was conceived are eligible to be registered. Owners of horses of racing age are responsible for registering their horses. Stallion owners are responsible for registering their stallions.

Registration applications may be obtained from the official registrar, the Kentucky Thoroughbred Owners and Breeders, by contacting:

Mrs. Jenny McGaughey, Registrar
 Kentucky Thoroughbred Owners and Breeders
 4079 Iron Works Parkway
 Lexington, Kentucky 40511
 Phone: 859-259-1643
 Mobile: 859-361-6002

Registration may be completed online at www.kta-ktob.com. The Horse Identifier can check registration status of a horse.

For those horses that are registered and eligible according to the conditions listed above, fund monies will be divided as follows:

- 60%.....to the winner
- 20%.....to second
- 10%.....to third
- 5%.....to fourth
- 3%.....to fifth



Remaining 2% is to be divided among the starters based on the schedule listed above.

Unearned fund monies revert to the Kentucky Thoroughbred Development Fund. Additionally, if a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

PLEASE NOTE: ALL KTDF PURSE ALLOTMENTS CONTAINED HEREIN ARE SUBJECT TO KHRC APPROVAL.

If you have any questions or need additional information, please reach out to me or Ben Huffman.

Sincerely, ..

Thomas Minneci
Vice President, Finance
Churchill Downs Race Track
700 Central Avenue
Louisville, KY 40208
Office: 502-638-3845
Cell: 502-424-9372
tom.minneci@kyderby.com

Ben Huffman
Vice President, Racing & Racing Secretary
Churchill Downs Race Track
700 Central Avenue
Louisville, KY 40208
Office: 502-638-3820
Fax: 800-928-3372
ben.huffman@kyderby.com





ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

JONATHAN RABINOWITZ
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

TO: ALL COMMISSION MEMBERS
FROM: Jamie H. Eads, Deputy Executive Director, KHRC
DATE: October 6, 2020
SUBJ.: KTDF PURSE STRUCTURE – TURFWAY PARK 2020 HOLIDAY MEET AND 2021 WINTER/SPRING MEET

On October 6, 2020, the KTDF Advisory Committee considered the following request from Turfway Park with its KTDF purse structure for the 2020 Holiday meet and 2021 Winter/Spring meet.

KHRC staff confirms that at the end of the 2020 Winter/Spring meet, Turfway Park had a KTDF balance of zero dollars. Turfway Park is proposing KTDF purses of approximately \$1.9-\$2.4 million. Projections are based on a payout of 86.5% of the amount carded, daily earnings of \$40,960.

Turfway Park will pay out KTDF funds through last place and in the event of a dead heat, the KTDF portion will be handled the same as the purse.

The KTDF Advisory Committee recommends approval.

COMMISSION ACTION:

_____ Approve

_____ Defer

_____ Deny





October 2, 2020

KTDF Advisory Committee of the Kentucky Horse Racing Commission
4063 Iron Works Parkway
Building B
Lexington, Kentucky 40511

Committee Members:

Turfway Park has reviewed our purses and would like to card KTDF purses in the range of \$1.9 million to \$2.4 million for our upcoming 2020 Holiday Meet and 2021 Winter / Spring Meet. We have based the range on the following information:

1. At the end of the 2020 Winter / Spring Meet, Turfway Park had a surplus of \$662,909 which reverted back to Churchill Downs Racetrack. Our current KTDF balance is zero.
2. Our 2020 Holiday Meet will consist of 13 racing days and our 2021 Winter / Spring Meet will consist of 39 racing days. We are projecting daily earnings of \$40,960 which is \$23,157 (or - 36.1%) below the \$64,117 earned during the 2019 Holiday Meet and 2020 Winter / Spring Meet. The primary driver is the KTDF earned from pari-mutuel wagering from historical horse racing machines at Newport Racing and Gaming from December 2020 through March 2021.
3. As of this date, we have not received approval from the revenue cabinet which would allow us to split pari-mutuel wagering at Oak Grove Racing and Gaming between the Thoroughbred and Standardbred development funds. If we receive approval prior to the Meet, we would like to have the ability to increase our KTDF allotment and we will make a formal request in writing to the committee for consideration.
4. For the 2020 Holiday and 2021 Winter / Spring Meet, we are assuming a KTDF payout percentage of 86.5% with anticipated KTDF disbursements of \$2.1 million which is \$0.7 million lower than the paid amount during the 2019 Holiday Meet and 2020 Winter /Spring.
5. To encourage participation during both Meets, we are again planning to pay KTDF purses from first to last to all eligible horses.

If you have any questions or need additional information, please contact me.

Respectfully submitted,

Daniel "Chip" Bach
General Manager

KENTUCKY THOROUGHBRED DEVELOPMENT FUND

The following information will be included in Tufway Park's Condition Book related to the Kentucky Thoroughbred Development Fund (KTDF).

**TURFWAY PARK
KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)
ALLOCATION OF FUND BASED ON NUMBER OF STARTERS AND FINISH**

For those horses that are registered and eligible according to the condition listed above, fund monies will be divided as follows: 60% to the winner, 20% to the second, 10% to the third, 5% to the fourth, 3% to the fifth and the remaining 2% to be allocated among sixth through last based on the schedule below.

Finish	Number of Starters										
	5	6	7	8	9	10	11	12	13	14	
1st	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%	60.00%
2nd	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%	20.00%
3rd	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%	10.00%
4th	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%	5.00%
5th	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%	3.00%
6th		2.00%	1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%	
7th			1.00%	0.67%	0.50%	0.40%	0.34%	0.29%	0.25%	0.23%	
8th				0.66%	0.50%	0.40%	0.33%	0.29%	0.25%	0.22%	
9th					0.50%	0.40%	0.33%	0.29%	0.25%	0.22%	
10th						0.40%	0.33%	0.28%	0.25%	0.22%	
11th							0.33%	0.28%	0.25%	0.22%	
12th								0.28%	0.25%	0.22%	
13th									0.25%	0.22%	
14th										0.22%	
Total	98.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%

Example:

Date	Race	# of Starters	KTDF Offered
6/25/2017	10	11	\$7,400.00

KTDF:	Finish																																														
	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th																																				
Eligible	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes																																				
Payout	\$4,440.00	\$1,480.00	\$740.00	\$370.00	\$222.00	\$25.16	\$25.16	\$0.00	\$24.42	\$24.42	\$24.42																																				
	<table border="0" style="width:100%; text-align:center;"> <tr> <td>KTDF</td> <td colspan="11"></td> </tr> <tr> <td>Reverts</td> <td colspan="11"></td> </tr> <tr> <td></td> <td colspan="11">\$24.42</td> </tr> </table>											KTDF												Reverts													\$24.42										
KTDF																																															
Reverts																																															
	\$24.42																																														

Example: Dead Heat for 2nd between an eligible and non-eligible horse using the above example.

KTDF:	2nd	3rd	Total	
Payout	\$1,480.00	\$740.00	\$2,220.00	---> Eligible horse would receive 50% of the Total for 2nd and 3rd place
	KTDF Payout	KTDF Reverts		
	\$1,110.00	\$1,110.00		

THE KENTUCKY THOROUGHBRED DEVELOPMENT FUND (KTDF)

Horsemen with Kentucky-sired Kentucky-breds have more purse money to run for in allowance, non-claiming maiden and stakes races less than \$50,000 added in Kentucky through the Kentucky Thoroughbred Development Fund program.

In Allowance Optional Claiming races, horses entered for less than \$25,000 are not eligible for KTDF distribution.

Eligible races can be readily recognized in the stakes and condition books by the Kentucky Thoroughbred Development Fund seal, which will appear next to each race in the stakes and condition books.

In accordance with KRS 230.400(5) (d), it is mandatory for a horse to be registered prior to time of entry to be eligible to participate in the distribution of Kentucky Thoroughbred Development Fund monies. Registration is only complete once the application is approved and payment has been received.

All horses of racing age that are both Kentucky-bred and sired by a Kentucky stallion which stood in Kentucky the entire breeding season the year the horse was conceived are eligible to be registered. Owners of horses of racing age are responsible for registering their horses. Stallion owners are responsible for registering their stallions.

Registration applications may be obtained from the official registrar, the Kentucky Thoroughbred Owners and Breeders, by contacting:

Mrs. Jenny McGaughey, Registrar
Kentucky Thoroughbred Owners and Breeders
4079 Iron Works Parkway
Lexington, Kentucky 40511
Phone: 859-259-1643
Mobile: 859-361-6002

Registration may be completed online at www.kta-ktob.com. The Horse Identifier can check registration status of a horse.

For those horses that are registered and eligible according to the conditions listed above, fund monies will be divided as follows:

- 60%.....to the winner
- 20%.....to second
- 10%.....to third
- 5%.....to fourth
- 3%.....to fifth

Remaining 2% is to be divided among the starters based on the schedule listed above.

Unearned fund monies revert to the Kentucky Thoroughbred Development Fund. Additionally, if a non-KTDF eligible horse is involved in a dead heat, those funds will revert to the fund.

PLEASE NOTE: ALL KTDF PURSE ALLOTMENTS CONTAINED HEREIN ARE SUBJECT TO KHRC APPROVAL.



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

JONATHAN RABINOWITZ
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

TO: ALL COMMISSION MEMBERS
FROM: Jamie H. Eads, Deputy Executive Director, KHRC
DATE: October 5, 2020
SUBJ.: BACKSIDE IMPROVEMENT REQUEST: KEENELAND ASSOCIATION

On August 31, 2020, the KHRC received the enclosed backside improvement request from Keeneland seeking permission from the Commission to approve the projects pursuant to 810 KAR 7:010. The details for each request follow this memorandum. Keeneland has the funds available in the Backside Improvement Fund. KHRC staff inspected the areas and confirmed these projects fulfil the statutory requirements. KHRC staff will confirm once the projects are complete and prior to reimbursement. All invoices, photographs and communication will remain on file for Commission review.

Total estimated cost for all projects: \$180,154.67.

Pursuant to KRS 230.230(1), KHRC Executive Director Marc Guilfoil granted conditional approval on September 8, 2020 and the Commission is asked to ratify the approval. The conditional approval was necessary so Keeneland could begin work on the backside projects prior to the Fall Meet and BCWC.

Approval is recommended.

COMMISSION ACTION:

- _____ Approve
- _____ Defer
- _____ Deny



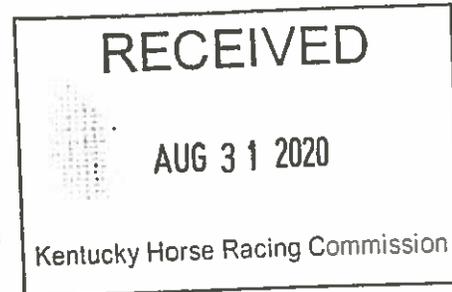


Keeneland Association, Inc.

4201 Versailles Road
Lexington, KY 40510
P.O. Box 1690
Lexington, KY 40588-1690
859 254-3412 Tel.
800 456-3412
859 288-4347 Fax
www.keeneland.com

August 25, 2020

Mr. Marc Guilfoil
Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway
Building B
Lexington, KY 40511



Dear Marc,

Enclosed please find a schedule of proposed backside improvements requested by Keeneland pursuant to your administration of the Backside Improvement Fund (KRS 230.218). All of these expenditures are to improve conditions of the backside for Keeneland Association. We have supplied photographs and copies of itemized estimates.

Keeneland currently has \$189,625.15 in available funds contributed to the Backside Improvement Fund for fiscal year 2020. We would like to request pre-approval for \$180,154.67 for the projects enclosed, and be allowed to have some discretion with when they are completed and the amount allocated to each project. When we seek reimbursement upon completion, we will provide the Commission with written documentation, final photographs, and copies of all final invoices on completed projects. This process will allow us to utilize the funds in the most economical and beneficial manner for enhancing our backside.

Thank you for your consideration, and please let me know if you have any questions regarding our request.

Sincerely,

Vince Gabbert
Vice President and Chief Operating Officer

Enclosures

KEENEELAND



TM

2019 Bill Backside Projects - Proposed

8/24/2020

Available Funds for this period

	Cost per Unit	Qty.	Proposed	Actual	Variance
1 Keeneland Stall Screen Replacement	\$ 259.56	128	\$ 33,223.68	\$	\$ 33,223.68
2 Training Center Sliding Exterior Doors	\$ 1,376.41	8	\$ 11,011.28	\$	\$ 11,011.28
3 Training Center Shed Row Panel Repair(Materials)	\$ 3,942.80	1	\$ 3,942.80	\$	\$ 3,942.80
4 Training Center Sliding Exterior Door Panel Insert(Fabrication)	\$ 168.48	1	\$ 168.48	\$	\$ 168.48
5 Upgrade Horse Path and Loading Chute Lights to LED	\$ 491.06	33	\$ 16,204.83	\$	\$ 16,204.83
6 Upgrade TC tackrooms and Dorm Lights to LED	\$ 52.53	150	\$ 7,880.04	\$	\$ 7,880.04
7 Retrofit shedrow lights to LED Barns 41-49	\$ 23.64	180	\$ 4,254.84	\$	\$ 4,254.84
8 Upgrade exterior wallpacks to LED Barns 1-6	\$ 174.90	36	\$ 6,296.40	\$	\$ 6,296.40
9 Gas Wall Furnace Heaters for Tack Rooms	\$ 787.79	10	\$ 7,877.92	\$	\$ 7,877.92
10 Galvanized pipe for fire suppression system in Barns	\$ 122.43	80	\$ 9,794.40	\$	\$ 9,794.40
11 Replacement valves for fire riser	\$ 4,227.50	4	\$ 16,910.00	\$	\$ 16,910.00
12 1/2 Shed Row Replacements Barn #23 South Side	\$ 62,590.00	1	\$ 62,590.00	\$	\$ 62,590.00
Total Requested			\$ 180,154.67	\$ -	\$ (180,154.67)

Available Funds remaining for this period

\$ 189,625.00

Wright's Farm Services, Inc

3700 River Drive
Richmond, KY 40475

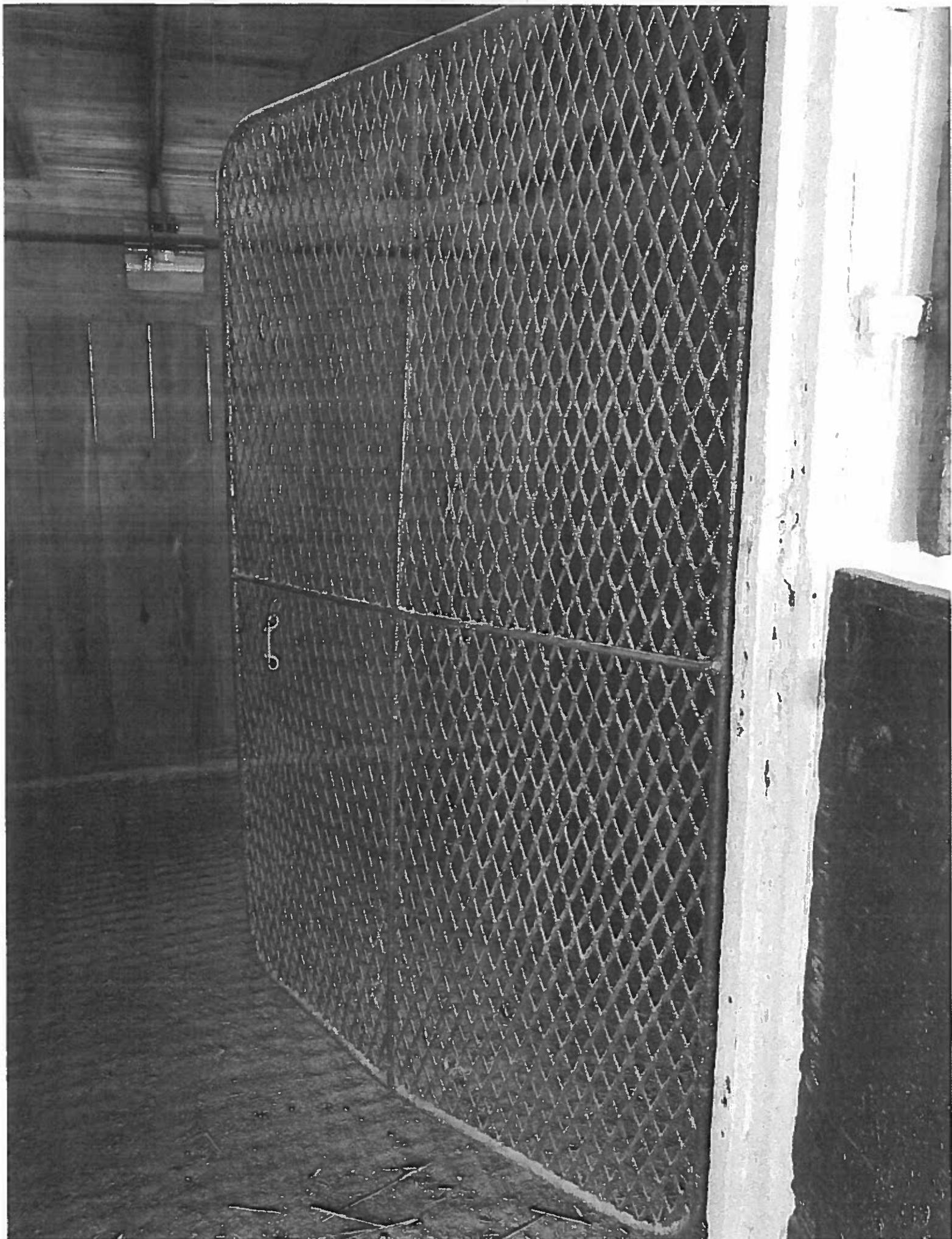
Estimate

Date	Estimate #
6/26/2020	Screen20-21

Name / Address
Keeneland Walter S.D. Wallace, II Director of Maintenance P.O. Box 1690 Lexington, KY 40588-1690

			Project
Description	Qty	Cost	Total
FABRICATE STEEL STALL SCREENS			
Fabrication Labor for Stall Screens	1	90.00	90.00T
Material needed: 3/4 #9 flat expanded metal screen 1" square tubing frame with cross piece	1	138.869	138.87T
Hinges - 1/2" solid round 1/2" schedule 80 pipe 1/4" x 1" flat 1/2" solid square (2) hinges per door	2	8.00	16.00T
NOTE This estimate shows the cost per screen and can not be honored for fabrication of (1) screen at a time. Cost is based on our steel cost for fabrication of at least (10) screens per order. These costs to us would be higher if purchased individually. Feel free to call at any time to discuss.			
		Sales Tax (6.0%)	\$14.69
		Total	\$259.56

Phone #
859-254-4086



Wright's Farm Services, Inc
 3700 River Drive
 Richmond, KY 40475

Estimate

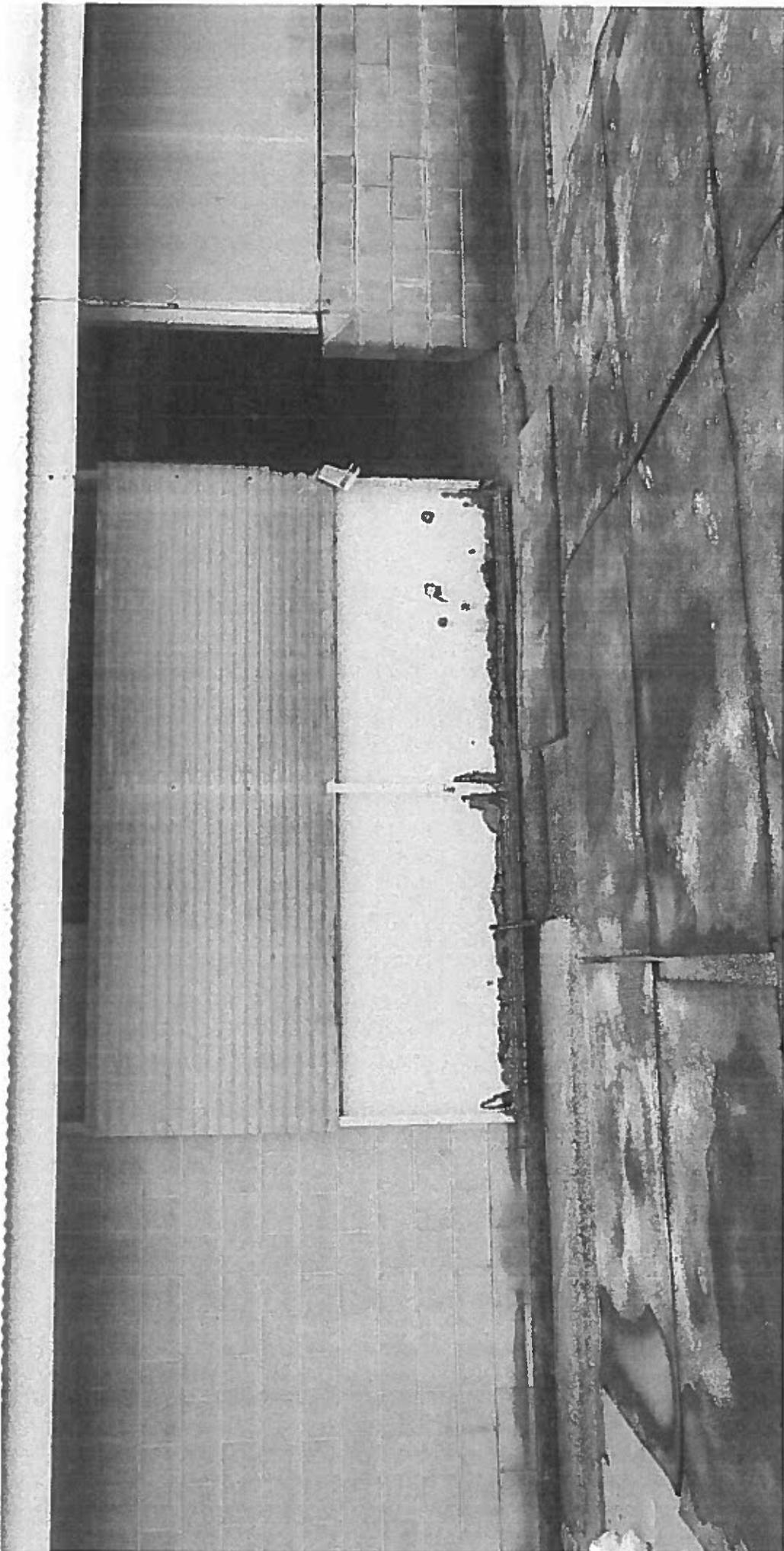
Date	Estimate #
6/26/2020	EndDoor20

Name / Address
Keeneland Walter S.D. Wallace, II Director of Maintenance P.O. Box 1690 Lexington, KY 40588-1690

Project

Description	Qty	Cost	Total
*** FABRICATION OF (1) ALUMINUM END DOOR FOR TRAINING BARNS**** 11'10" x 7'10" Fabrication Labor (remains the same as original estimate)	1	345.00	345.00T
Material needed for (1) End Door ALUMINUM 4" x 2" aluminum tube 4' x 12' aluminum sheet	1	953.50	953.50T
PRICE EACH DOOR - \$1376.41 APPROXIMATE WEIGHT EACH DOOR - 195# ***NOTE*** We can not honor this price for single door fabrication. We anticipate fabricating (8) end doors per order. Our cost increases if material ordered for (1) door only. Please feel free to discuss estimate at any time by calling our office. Thank you Donna Wright			
		Sales Tax (6.0%)	\$77.91
		Total	\$1,376.41

Phone #
859-254-4086



Wally Wallace

From: Ramirez, Trish <TRamirez@trivantage.com>
Sent: Monday, August 24, 2020 11:34 AM
To: Wally Wallace
Subject: FW: quote

CAUTION: This email originated from outside your organization. Exercise caution when opening attachments or clicking links, especially from unknown senders.

Hi Nathan,

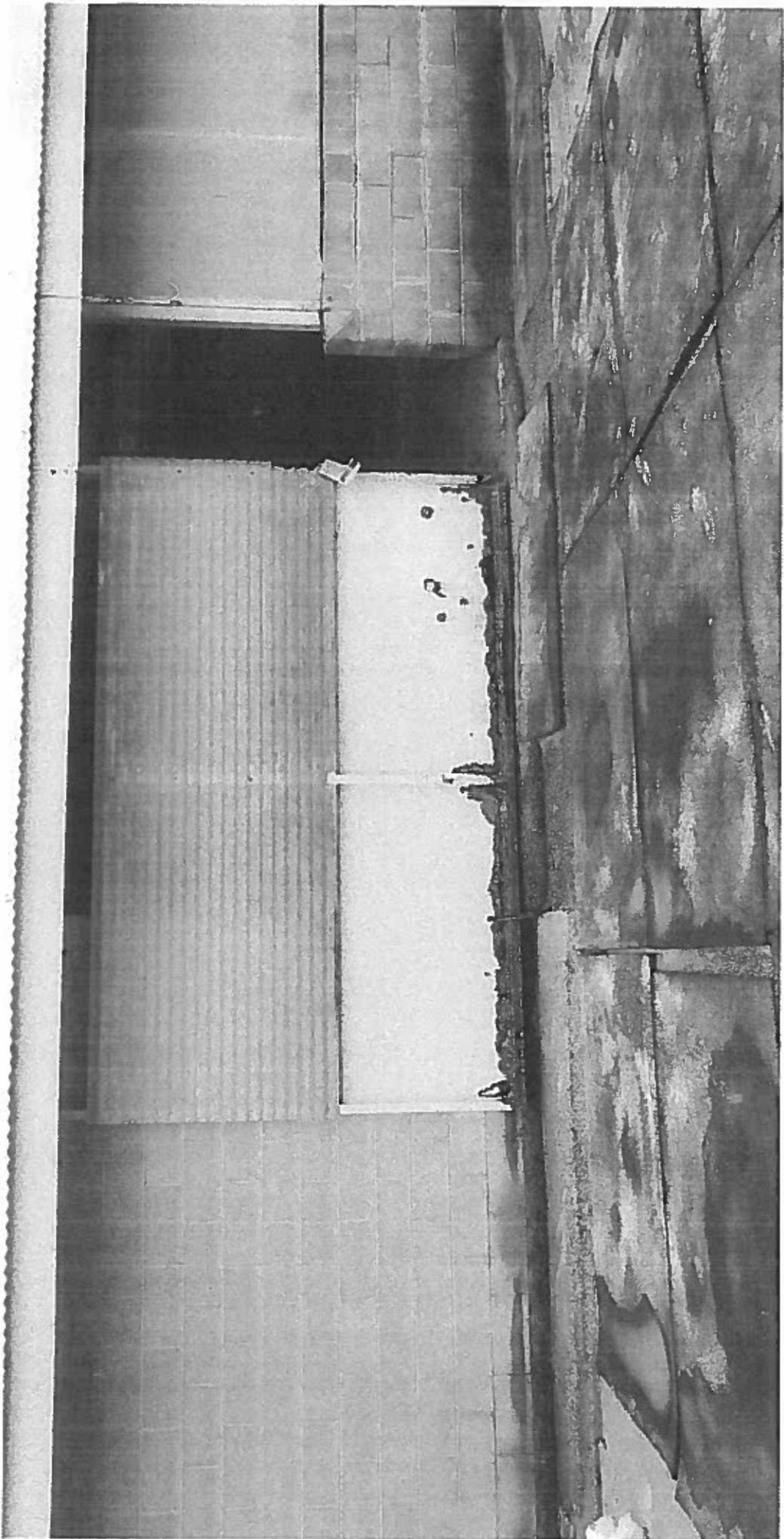
Freight could be anywhere between 150-200.00
Your total on product is below plus the freight

Order Totals	
Subtotal	\$3,742.80
Charges	\$0.00
Taxes	\$0.00
Discounts	-\$0.00
Grand Total	\$3,742.80

Item	Quantity	Unit Price
Pabo 500 #513 61' Clear (Standard Pack 50 Yards)(858513)	200.00 Yard	\$12.57
Steel Stitch Staple-In Tube #SMP-8C 1" x 2" x 1/10" x 25'(250091)	150.00 Feet	\$2.89
Steel Stitch ZipStrip #01 400' White (Full Rolls Only)(249901)	1,600.00 Feet	\$0.45
Staple Chisel Point Galvanized 1/2" Length, 5/16" Crown 8400-pk(250222)	3.00 Each	\$25.10

Thank you,

Trish Ramirez | Senior Customer Service Representative
 Miami, FL | TriVantage, LLC
 ph: 800.786.7605 or 305.424.5433 | fax: 800.735.6770



Wright's Farm Services, Inc

3700 River Drive
Richmond, KY 40475

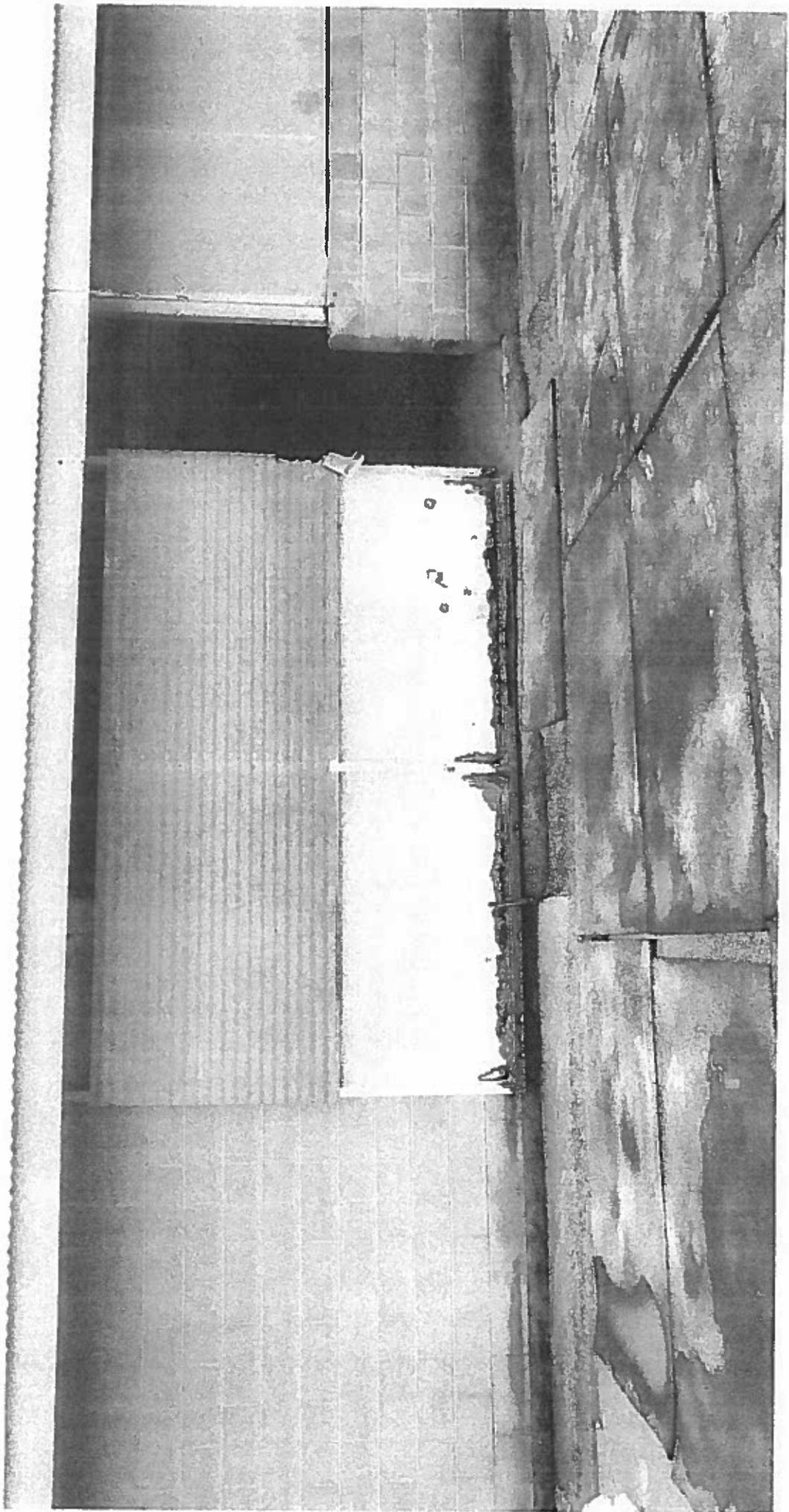
Estimate

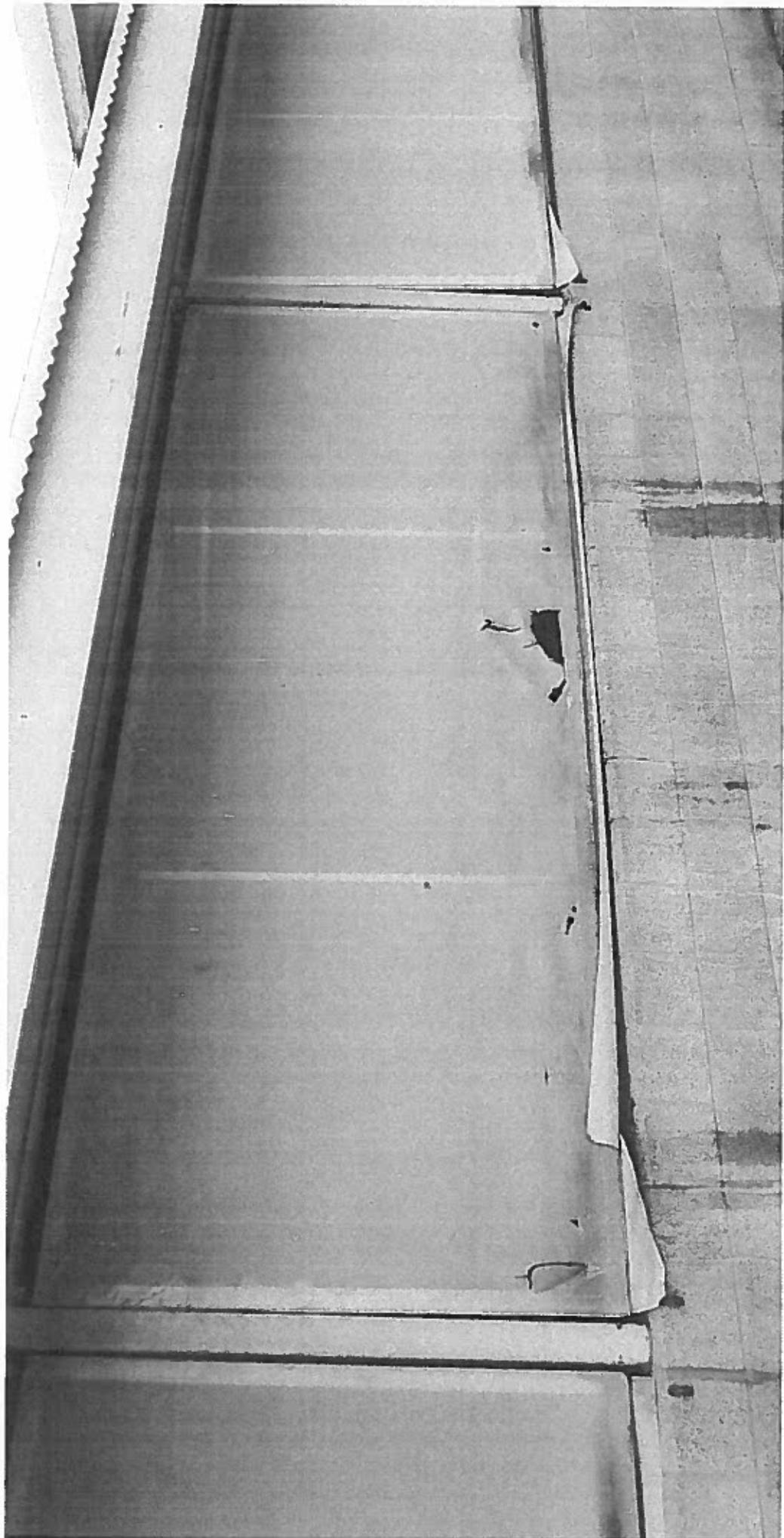
Date	Estimate #
6/28/2020	(1) Insert

Name / Address
Keeneland Walter S.D. Wallace, II Director of Maintenance P.O. Box 1690 Lexington, KY 40588-1690

Description	Qty	Cost	Project
			Total
FABRICATE NEW TUBE INSERTS FOR BARN DOOR SCREENS			
Fabrication Labor (8) steel frames for barn doors (8) end doors	1	130.00	130.00T
1" square tube, 1/8w for inside frame, per foot	10.67	2.71247	28.94T
Aluminum tube inserts for mounting screen material Rubber strip insert for securing screen to doors	0	0.00	0.00T
ALUMINUM FRAME MATERIAL PROVIDED BY KEENELAND			
		Sales Tax (6.0%)	\$9.54
		Total	\$168.48

Phone #
859-254-4086





2020 Backside Funds Proposal:

Replace existing HID fixtures with new LED fixtures for Main Loading Chute and Horse Paths

\$15,287.58

Tax \$917.25

Total=\$16,204.83

Replace existing training center tackrooms and 2nd floor Security Dorm lighting with new LED fixtures

\$7,434.00

Tax \$446.04

Total=\$7,880.04

Retrofit existing fluorescent shed row lighting to LED in Barns 41-49

\$4,014

Tax \$240.84

Total=\$4,254.84

Replace existing exterior HID lighting with new LED wallpacks on Barns 1-6

\$5,940.00

Tax \$356.40

Total=\$6,296.40

5



Electrical • Plumbing • Lighting

BR6 - WISEWAY SUPPLY - LEXINGTON
960 WINCHESTER ROAD
LEXINGTON, KY 40505
(859) 259-0466

Quotation

QUOTE DATE	QUOTE NUMBER
07/27/20	S2688272
ORDER TO:	PAGE NO
BR6 - WISEWAY SUPPLY - LEXINGTON 960 WINCHESTER ROAD LEXINGTON, KY 40505 (859) 259-0466	1

QUOTE TO:
KEENELAND ASSOCIATION
PO BOX 1690
LEXINGTON, KY 40592-1690

SHIP TO:
KEENELAND ASSOCIATION
PO BOX 1690
LEXINGTON, KY 40592-1690

CUSTOMER NUMBER	CUSTOMER ORDER NUMBER	EXPIRATION DATE	SALESPERSON	
50573	Lithonia RSX2 P6	07/26/20	Tom Newman	
WRITER	SHIP VIA	TERMS	SHIP DATE	FREIGHT ALLOWED
Tom Newman	WC WILL CALL	NET 30 DAYS	07/27/20	Yes
QUOTE QTY	DESCRIPTION	NET P/P	EXT P/P	
33ea	GENERIC COMMERCIAL LIGHTING ITEM LITHONIA # RSX2 LED P6 50K R5 MVOLT IS DDBXD * Pricing based upon a quantity of 30 of one or the other being purchased.	463.260/ea	15287.58	
1ea	SALES TAX ALWAYS	917.250/ea	917.25	
		Subtotal	16204.83	
		Amount Due	16204.83	

*** This is a quotation ***

Prices, unless otherwise noted, are good for 30 days, and subject to change without notice after 30 days. Applicable taxes, shipping and handling are not included!



RSX2 LED Area Luminaire

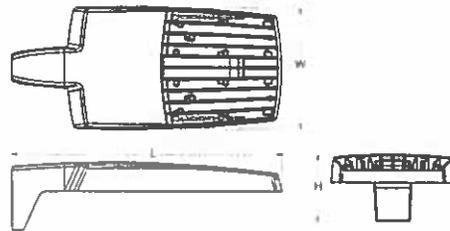


Catalog Number
Notes
Type

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Specifications

EPA (ft ² @0°):	0.69 ft ² (0.06 m ²)
Length:	29.3" (74.4 cm) (SPA mount)
Width:	13.4" (34.0 cm)
Height:	3.0" (7.6 cm) Main Body 7.2" (18.3 cm) Arm
Weight (max):	39.0 lbs (17.7 kg)



Introduction

The new RSX LED Area family delivers maximum value by providing significant energy savings, long life and outstanding photometric performance at an affordable price. The RSX2 delivers 11,000 to 31,000 lumens allowing it to replace 250W to 1000W HID luminaires.

The RSX features an integral universal mounting mechanism that allows the luminaire to be mounted on most existing drill hole patterns. This "no-drill" solution provides significant labor savings. An easy-access door on the bottom of mounting arm allows for wiring without opening the electrical compartment. A mast arm adaptor, adjustable integral slipfitter and other mounting configurations are available.

Ordering Information

EXAMPLE: RSX2 LED P6 40K R3 MVOLT SPA DDBXD

RSX2 LED					
Series	Performance Package	Color Temperature	Distribution	Voltage	Mounting
RSX2 LED	P1	30K 3000K	R2 Type 2 Wide	MVOLT (120V-277V) ¹	SPA Square pole mounting (3.0" min. SQ pole for 1 at 90°, 3.5" min. SQ pole for 2, 3, 4 at 90°) RPA Round pole mounting (3.2" min. dia. RND pole for 2, 3, 4 at 90°, 3.0" min. dia. RND pole for 1 at 90°, 2 at 180°, 3 at 120°) MA Mast arm adaptor (fits 2-3/8" OD horizontal tenon) IS Adjustable slipfitter (fits 2-3/8" OD tenon) ⁴ WBA Wall bracket ¹ WBASC Wall bracket with surface conduit box AASP Adjustable tilt arm square pole mounting ⁴ AARP Adjustable tilt arm round pole mounting ¹ AAWB Adjustable tilt arm with wall bracket ⁴ AAWSC Adjustable tilt arm wall bracket and surface conduit box ¹
	P2	40K 4000K	R3 Type 3 Wide	HVOLT (347V-480V) ³	
	P3	50K 5000K	R3S Type 3 Short	(use specific voltage for options as noted)	
	P4		R4 Type 4 Wide	120 ¹ 277 ⁴	
	P5		R4S Type 4 Short	208 ³ 347 ⁴	
	P6		R5 Type 5 Wide ¹	240 ³ 480 ⁴	
			R5S Type 5 Short ¹		
			AFR Automotive Front Row		
			AFRR90 Automotive Front Row Right Rotated		
			AFRL90 Automotive Front Row Left Rotated		

Options		Finish	
Shipped Installed HS House-side shield ⁸ PE Photocontrol, button style ^{1A} PEX Photocontrol external threaded, adjustable ^{8,9} PER7 Seven-wire twist-lock receptacle only (no controls) ^{8,10,11,12} CE34 Conduit entry 3/4" NPT (Qty 2) SF Single fuse (120, 277, 347) ⁴ DF Double fuse (208, 240, 480) ⁴ SPD20KV 20KV Surge pack (10KV standard) FAO Field adjustable output ^{8,12} DMG 0-10V dimming extend out back of housing for external control (control ordered separate) ^{8,12} DS Dual switching ^{8,11}		Shipped Installed *Standalone and Networked Sensors/Controls (factory default settings, see table page 9) NLTAIR2 nLight AIR generation 2 ^{13,14,15} PIRHN Networked, Bi-Level motion/ambient sensor (for use with NLTAIR2) ^{12,15,16} *Note: PIRHN with nLight Air can be used as a standalone dimming sensor with out-of-box settings or as a wireless networked solution. See factory default settings table. Sensor coverage pattern is affected when luminaire is tilted. Shipped Separately (requires some field assembly) EGS External glare shield ⁵ EGFV External glare full visor (360° around light aperture) ⁴ BS Bird spikes ¹⁷	
		DDBXD Dark Bronze	
		DBLXD Black	
		DHAXD Natural Aluminum	
		DWTRXD White	
		DDBTXD Textured Dark Bronze	
		DBLBXD Textured Black	
		DNATXD Textured Natural Aluminum	
		DWHGXD Textured White	

Ordering Information

Accessories

Ordered and shipped separately.

RSX2HS	RSX2 House side shield (includes 2 shields)
RSX2EGS (FINISH) U	External glare shield (specify finish)
RSX2HSAPR (FINISH) U	RSX2 House side shields for APR rotated optics (includes 2 shields)
RSX2EGFY (FINISH) U	External glare full visor (specify finish)
RSXRPA (FINISH) U	RSX Universal round pole adaptor plate (specify finish)
RSXWBA (FINISH) U	RSX WBA wall bracket (specify finish) *
RSXSCB (FINISH) U	RSX Surface conduit box (specify finish, for use with WBA, WBA not included)
DL1127Y 1.5 IU	PhotoCell -SSL twist-lock (120-277V) *
DL1347F 1.5 CUL IU	PhotoCell -SSL twist-lock (347V) *
DL1480F 1.5 CUL IU	PhotoCell -SSL twist-lock (480V) *
DSHORT SBK U	Shorting cap **

NOTES

- Any Type 5 distribution, is not available with WBA.
- MOVOLT driver operates on any line voltage from 120-277V (50/60 Hz).
- HYVOLT driver operates on any line voltage from 347-480V (50/60 Hz).
- Single fuse (SF) requires 120V, 277V or 347V. Double fuse (DF) requires 208V, 240V or 480V.
- Maximum tilt is 90° above horizontal.
- It may be ordered as an accessory.
- Requires MOVOLT or 347V.
- Not available in combination with other light sensing control options following options cannot be combined: PE, PEX, PER7, FAO, DMG, DS, PRR-4Q.
- Requires 120V, 208V, 240V, 277V or 347V.
- Twistlock photocell ordered and shipped as a separate line item from Acuity Brands Controls. See accessories. Shorting Cap Included. Dimming leads capped for future use.

- For units with option PER7, the mounting must be restricted to +/- 45° from horizontal aim per ANSI C136.10-2010.
- Two or more of the following options cannot be combined including DMG, DS, PER7, FAO and PRR-4Q.
- DS only available on performance package P4, P5 and P6.
- Must be ordered with PRR-4Q.
- Requires MOVOLT or HYVOLT.
- Must be ordered with NLTAIR2. For additional information on PRR-4Q visit www.lithonia.com.
- Must be ordered with fixture for factory pre-drilling.
- Requires luminaire to be specified with PER7 option. Ordered and shipped as a separate line item from Acuity Brands Controls.

External Shields



House Side Shield



External Glare Shield

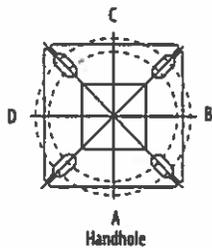


External 360 Full Visor

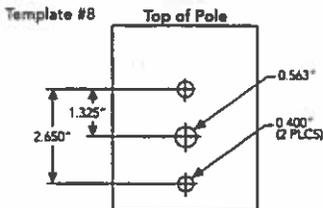
Pole/Mounting Information

Accessories including bullhorns, cross arms and other adapters are available under the accessories tab at Lithonia's Outdoor Poles and Arms product page. Click here to visit Accessories.

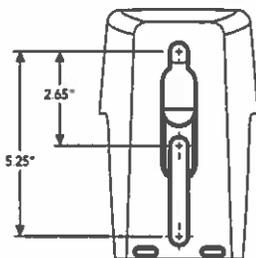
HANDHOLE ORIENTATION



RSX POLE DRILLING



RSX STANDARD ARM & ADJUSTABLE ARM



Round Tenon Mount - Pole Top Slipfitters

Tenon O.D.	RSX Mounting	Single	2 at 180°	2 at 90°	3 at 120°	3 at 90°	4 at 90°
2-3/8"	RPA, AAP	AS3-S190	AS3-S280	AS3-S290	AS3-S320	AS3-S390	AS3-S490
2-7/8"	RPA, AAP	AST25-190	AST25-280	AST25-290	AST25-320	AST25-390	AST25-490
4"	RPA, AAP	AST35-190	AST35-280	AST35-290	AST35-320	AST35-390	AST35-490

Drill/Side Location by Configuration Type

Drilling Template	Mounting Option	Single	2 at 180°	2 at 90°	3 at 120°	3 at 90°	4 at 90°
68	Head Location	Side B	Side B & D	Side B & C	Round Pole Only	Side B, C & D	Side A, B, C & D
	Drill Nomenclature	DM19AS	DM28AS	DM29AS	DM32AS	DM39AS	DM49AS

RSX2 - Luminaire EPA

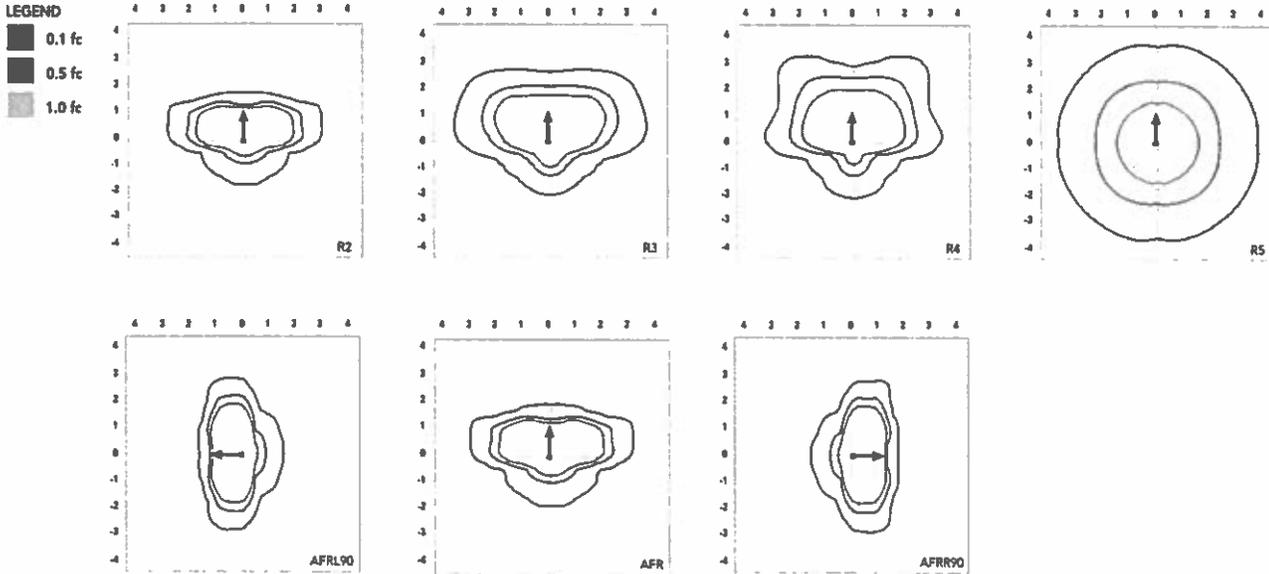
*Includes luminaire and integral mounting arm. Other tenons, arms, brackets or other accessories are not included in this EPA data.

Fixture Quantity & Mounting Configuration	Single	2 at 90°	2 at 180°	3 at 90°	3 at 120°	4 at 90°	2 Side by Side	3 Side by Side	4 Side by Side	
SPA - Square Pole Adapter	0°	0.69	1.22	1.27	1.8	1.61	2.39	1.37	2.06	2.74
RPA - Round Pole Adapter		0.74	1.27	1.37	1.9	1.71	2.49	1.42	2.16	2.84
MA - Mast Arm Adapter		0.61	1.14	1.11	1.64	1.45	2.23	1.29	1.9	2.58
IS - Integral Slipfitter	0°	0.69	1.22	1.27	1.8	1.61	2.39	1.37	2.06	2.74
AASP/AARP - Adjustable Arm Square/Round Pole	10°	0.53	1.06	1.05	1.58	1.37	2.08	1.06	1.59	2.12
	20°	0.52	1.02	1.03	1.52	1.33	2.02	1.03	1.55	2.07
	30°	0.64	1.11	1.18	1.63	1.45	2.21	1.27	1.91	2.54
	40°	0.81	1.21	1.35	1.74	1.65	2.39	1.62	2.43	3.23
	45°	0.91	1.25	1.5	1.81	1.75	2.48	1.82	2.73	3.64
	50°	1.34	1.83	2.17	2.61	2.56	3.62	2.68	4.02	5.36
	60°	2.2	2.97	3.57	4.24	4.17	5.89	4.41	6.61	8.82
	70°	2.86	4.13	4.7	5.89	5.71	8.21	5.71	8.57	11.42
	80°	3.4	5.13	5.67	7.34	7.09	10.21	6.79	10.19	13.59
	90°	3.85	5.96	6.55	8.58	8.31	11.88	7.70	11.56	15.41

Photometric Diagrams

To see complete photometric reports or download .ies files for this product, visit Lithonia Lighting's RSX Area homepage.

Isofootcandle plots for the RSX2 LED P6 40K. Distances are in units of mounting height (30').



Performance Data

Lumen Ambient Temperature (LAT) Multipliers

Use these factors to determine relative lumen output for average ambient temperatures from 0-50°C (32-122°F).

Ambient	Ambient	Lumen Multiplier
0°C	32°F	1.05
5°C	41°F	1.04
10°C	50°F	1.03
15°C	59°F	1.02
20°C	68°F	1.01
25°C	77°F	1.00
30°C	86°F	0.99
35°C	95°F	0.98
40°C	104°F	0.97
45°C	113°F	0.96
50°C	122°F	0.95

Electrical Load

Performance Package	System Watts (W)	Current (A)					
		120V	208V	240V	277V	347V	480V
P1	71W	0.59	0.34	0.30	0.26	0.20	0.15
P2	111W	0.93	0.53	0.46	0.40	0.32	0.23
P3	147W	1.23	0.70	0.61	0.53	0.42	0.31
P4	187W	1.55	0.90	0.78	0.68	0.53	0.38
P5	218W	1.75	1.01	0.87	0.76	0.60	0.44
P6	244W	2.03	1.17	1.01	0.88	0.70	0.51

Projected LED Lumen Maintenance

Operating Hours	50,000	75,000	100,000
Lumen Maintenance Factor	>0.97	>0.95	>0.92

Values calculated according to IESNA TM-21-11 methodology and valid up to 40°C.

Performance Data

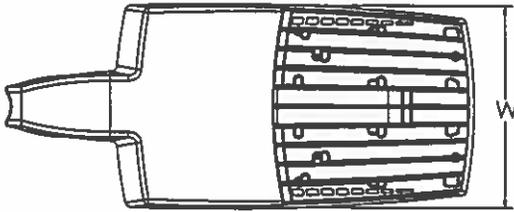
Lumen Output

Lumen values are from photometric tests performed in accordance with IESNA LM-79-08. Data is considered to be representative of the configurations shown, within the tolerances allowed by Lighting Facts. Contact factory for performance data on any configurations not shown here.

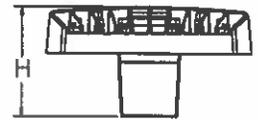
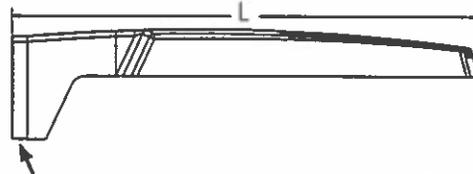
Performance Package	System Watts	Distribution Type	30K (3000K, 70 CRI)					40K (4000K, 70 CRI)					50K (5000K, 70 CRI)				
			Lumens	B	U	G	LPW	Lumens	B	U	G	LPW	Lumens	B	U	G	LPW
P1	71W	R2	10,040	2	0	1	139	11,031	2	0	1	153	11,031	2	0	1	153
		R3	10,005	2	0	2	141	10,992	2	0	2	155	10,992	2	0	2	155
		R3S	10,271	2	0	2	143	11,285	2	0	2	157	11,285	2	0	2	157
		R4	10,136	2	0	2	143	11,136	2	0	2	157	11,136	2	0	2	157
		R4S	9,779	2	0	2	138	10,744	2	0	2	151	10,744	2	0	2	151
		R5	10,271	4	0	2	145	11,285	4	0	2	159	11,285	4	0	2	159
		R5S	10,544	3	0	1	149	11,585	3	0	2	163	11,585	3	0	2	163
		AFR	10,026	2	0	1	141	11,016	2	0	1	155	11,016	2	0	1	155
		AFRR90	10,122	3	0	2	140	11,121	3	0	2	154	11,121	3	0	2	154
		AFRL90	10,164	3	0	2	141	11,167	3	0	2	155	11,167	3	0	2	155
P2	111W	R2	15,712	2	0	2	138	17,263	2	0	2	151	17,263	2	0	2	151
		R3	15,657	2	0	3	141	17,202	3	0	3	155	17,202	3	0	3	155
		R3S	16,075	2	0	2	141	17,661	2	0	2	155	17,661	2	0	2	155
		R4	15,862	2	0	3	143	17,427	2	0	3	157	17,427	2	0	3	157
		R4S	15,304	2	0	2	138	16,815	2	0	2	151	16,815	2	0	2	151
		R5	16,075	4	0	2	145	17,661	5	0	3	159	17,661	5	0	3	159
		R5S	16,502	4	0	2	149	18,130	4	0	2	163	18,130	4	0	2	163
		AFR	15,691	2	0	2	141	17,240	2	0	2	155	17,240	2	0	2	155
		AFRR90	15,841	3	0	3	139	17,404	4	0	3	153	17,404	4	0	3	153
		AFRL90	15,907	3	0	3	139	17,477	4	0	3	153	17,477	4	0	3	153
P3	147W	R2	19,855	3	0	2	132	21,814	3	0	2	145	21,814	3	0	2	145
		R3	19,785	3	0	3	135	21,737	3	0	4	148	21,737	3	0	4	148
		R3S	20,312	3	0	3	135	22,317	3	0	3	149	22,317	3	0	3	149
		R4	20,044	3	0	3	136	22,022	3	0	4	150	22,022	3	0	4	150
		R4S	19,339	3	0	3	132	21,247	3	0	3	145	21,247	3	0	3	145
		R5	20,312	5	0	3	138	22,317	5	0	3	152	22,317	5	0	3	152
		R5S	20,852	4	0	2	142	22,910	4	0	2	156	22,910	4	0	2	156
		AFR	19,828	3	0	2	135	21,785	3	0	2	148	21,785	3	0	2	148
		AFRR90	20,017	4	0	3	133	21,992	4	0	3	147	21,992	4	0	3	147
		AFRL90	20,101	4	0	3	134	22,084	4	0	3	147	22,084	4	0	3	147
P4	187W	R2	22,836	3	0	2	120	25,090	3	0	2	132	25,090	3	0	2	132
		R3	22,754	3	0	4	122	25,002	3	0	4	134	25,002	3	0	4	134
		R3S	23,363	3	0	3	123	25,668	3	0	3	135	25,668	3	0	3	135
		R4	23,054	3	0	4	123	25,329	3	0	4	135	25,329	3	0	4	135
		R4S	22,743	3	0	3	119	25,059	3	0	3	134	25,059	3	0	3	134
		R5	23,363	5	0	3	125	25,669	5	0	4	137	25,669	5	0	4	137
		R5S	23,983	4	0	2	128	26,350	4	0	2	141	26,350	4	0	2	141
		AFR	22,806	3	0	2	122	25,056	3	0	2	134	25,056	3	0	2	134
		AFRR90	23,023	4	0	3	121	25,295	4	0	3	133	25,295	4	0	3	133
		AFRL90	23,120	4	0	3	122	25,401	4	0	3	134	25,401	4	0	3	134
P5	210W	R2	26,141	3	0	2	122	28,721	3	0	2	135	28,721	3	0	2	135
		R3	26,049	3	0	4	124	28,620	3	0	4	136	28,620	3	0	4	136
		R3S	26,744	3	0	3	125	29,383	3	0	4	138	29,383	3	0	4	138
		R4	26,390	3	0	4	126	28,994	3	0	4	138	28,994	3	0	4	138
		R4S	25,462	3	0	3	121	27,974	3	0	3	133	27,974	3	0	3	133
		R5	26,744	5	0	4	127	29,383	5	0	4	140	29,383	5	0	4	140
		R5S	27,454	4	0	2	131	30,163	4	0	2	144	30,163	4	0	2	144
		AFR	26,106	3	0	2	124	28,682	3	0	2	137	28,682	3	0	2	137
		AFRR90	26,354	4	0	3	123	28,955	5	0	3	136	28,955	5	0	3	136
		AFRL90	26,465	4	0	3	124	29,077	5	0	3	136	29,077	5	0	3	136
P6	244W	R2	27,646	3	0	2	112	30,374	3	0	2	123	30,374	3	0	2	123
		R3	27,549	3	0	4	113	30,267	3	0	4	124	30,267	3	0	4	124
		R3S	28,283	3	0	3	115	31,075	3	0	4	126	31,075	3	0	4	126
		R4	27,909	3	0	4	114	30,663	3	0	4	126	30,663	3	0	4	126
		R4S	26,528	3	0	3	110	29,585	3	0	3	121	29,585	3	0	3	121
		R5	28,284	5	0	4	116	31,075	5	0	4	127	31,075	5	0	4	127
		R5S	29,835	4	0	2	119	31,900	5	0	3	131	31,900	5	0	3	131
		AFR	27,608	3	0	2	112	30,332	3	0	2	123	30,332	3	0	2	123
		AFRR90	27,872	4	0	3	113	30,622	5	0	3	124	30,622	5	0	3	124
		AFRL90	27,989	4	0	3	113	30,751	5	0	3	125	30,751	5	0	3	125

Dimensions

RSX2 with Round Pole Adapter (RPA)



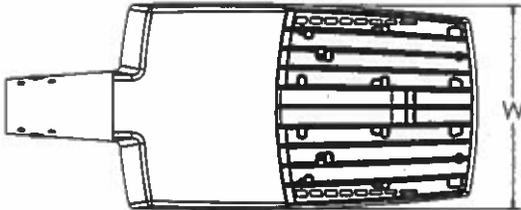
Length: 30.3" (77.0 cm)
 Width: 13.4" (34.0 cm)
 Height: 3.0" (7.6 cm) Main Body
 7.2" (18.3 cm) Arm



Note: RPA — Round Pole mount can also be used to mount on square poles by omitting the round pole adapter plate shown here.



RSX2 with Mast Arm Adapter (MA)



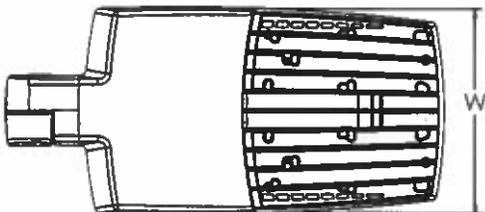
Length: 30.6" (77.7 cm)
 Width: 13.4" (34.0 cm)
 Height: 3.0" (7.6 cm) Main Body
 3.5" (8.9 cm) Arm



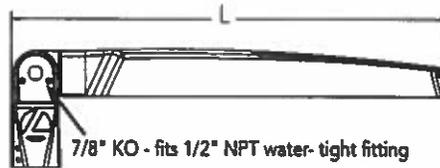
7/16" locking thru bolt/nut provided



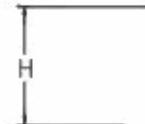
RSX2 with Adjustable Slipfitter (IS)



Length: 28.3" (71.9 cm)
 Width: 13.4" (34.0 cm)
 Height: 3.0" (7.6 cm) Main Body
 7.6" (19.3 cm) Arm

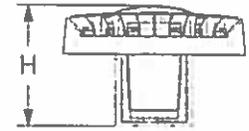
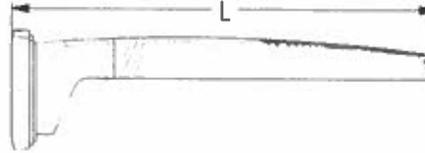
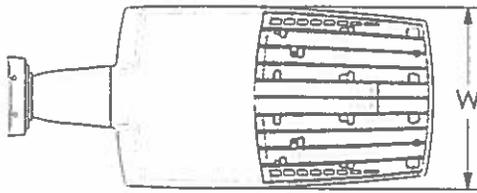


7/8" KO - fits 1/2" NPT water-tight fitting



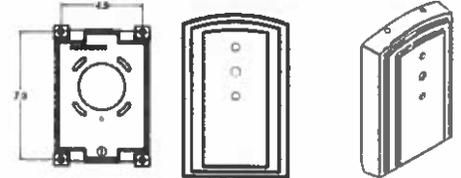
Dimensions

RSX2 with Wall Bracket (WBA)

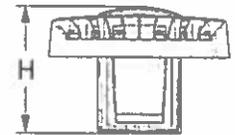
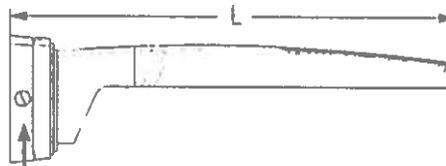
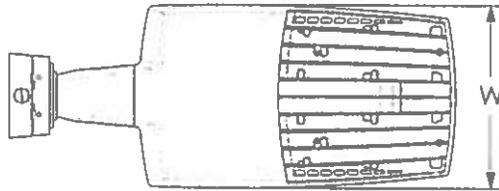


Length: 31.2" (79.2 cm)
 Width: 13.4" (41.7 cm)
 Height: 3.0" (7.6 cm) Main Body
 8.9" (22.6 cm) Arm

Wall Bracket (WBA) Mounting Detail



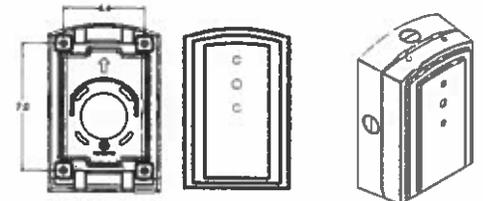
RSX2 with Wall Bracket with Surface Conduit Box (WBASC)



3/4" NPT taps with plugs - Qty (4) provided

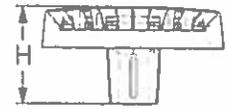
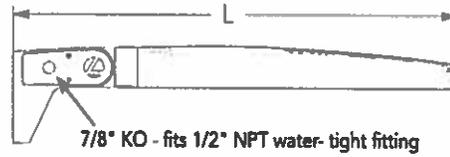
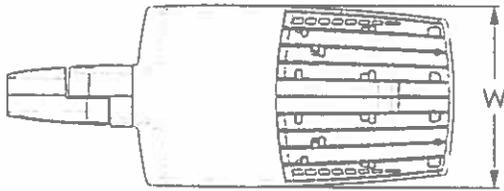
Length: 32.8" (83.3 cm)
 Width: 13.4" (41.7 cm)
 Height: 3.0" (7.6 cm) Main Body
 9.2" (23.4 cm) Arm

Surface Conduit Box (SCB) Mounting Detail

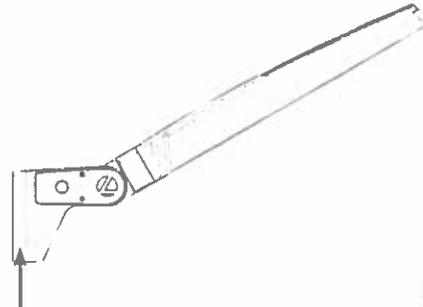


Dimensions

RSX2 with Adjustable Tilt Arm - Square or Round Pole (AASP or AARP)



Length: 32.8" (83.3 cm) AASP
 33.8" (85.9 cm) AARP
 Width: 13.4" (34.0 cm)
 Height: 3.0" (7.6 cm) Main Body
 7.2" (18.2 cm) Arm



NOTE:
 RPA - Round Pole mount can also be used to mount on square poles by omitting the round pole adapter plate shown here.

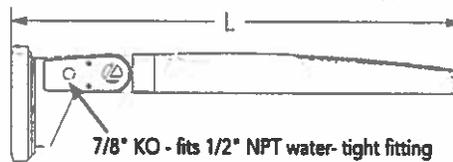
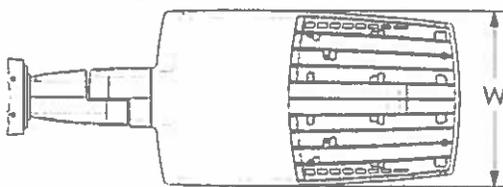


Notes

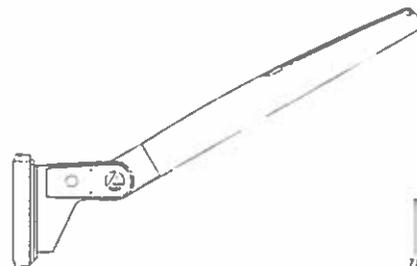
AASP: Requires 3.0" min. square pole for 1 at 90°. Requires 3.5" min. square pole for mounting 2, 3, 4 at 90°.

AARP: Requires 3.2" min. dia. round pole for 2, 3, 4 at 90°. Requires 3.0" min. dia. round pole for mounting 1 at 90°, 2 at 180°, 3 at 120°.

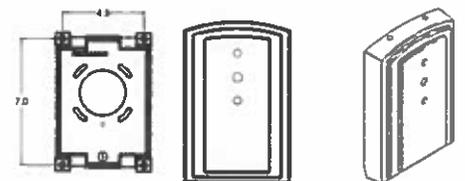
RSX2 with Adjustable Tilt Arm with Wall Bracket (AAWB)



Length: 34.7" (88.0 cm)
 Width: 13.4" (34.0 cm)
 Height: 3.0" (7.6 cm) Main Body
 8.9" (22.6 cm) Arm

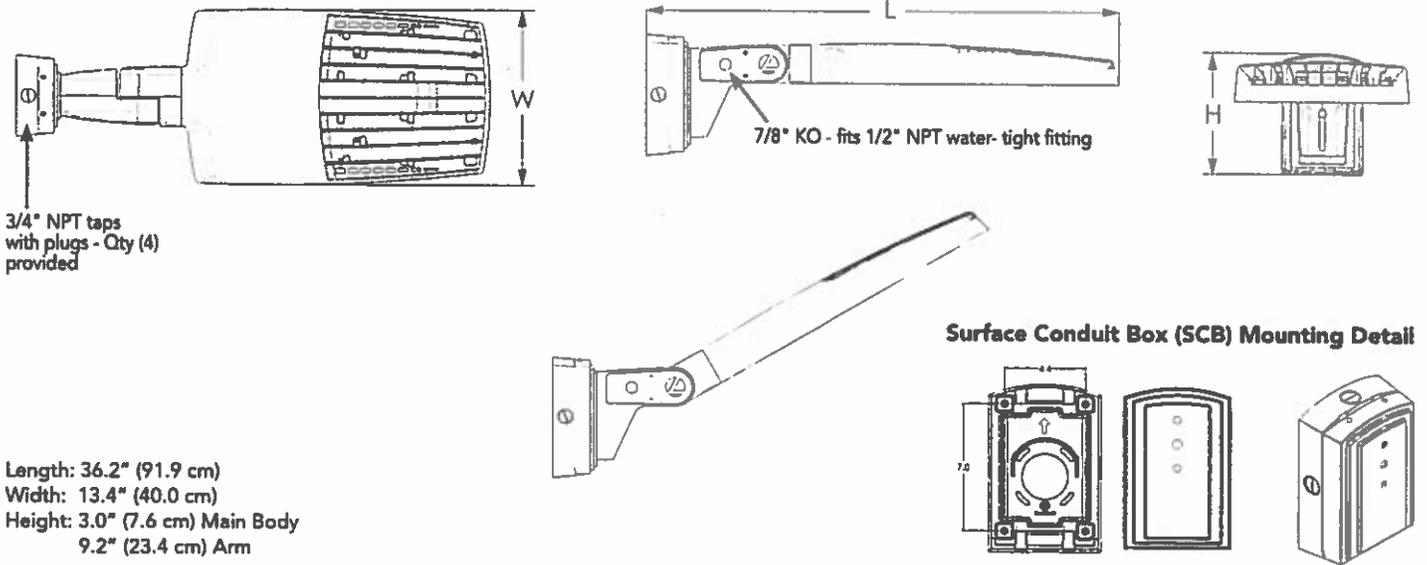


Wall Bracket (WBA) Mounting Detail

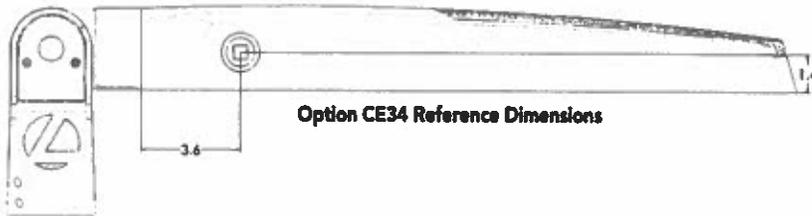


Dimensions

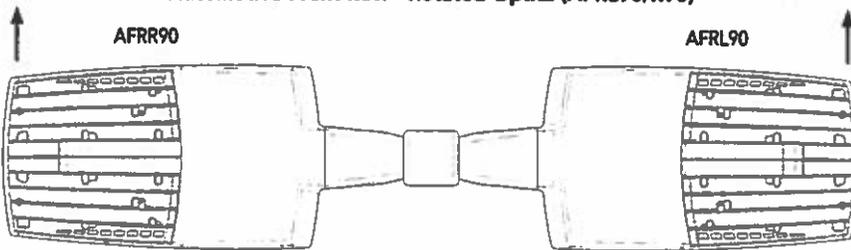
RSX2 with Adjustable Tilt Arm with Wall Bracket and Surface Conduit Box (AAWSC)



Additional Reference Drawings

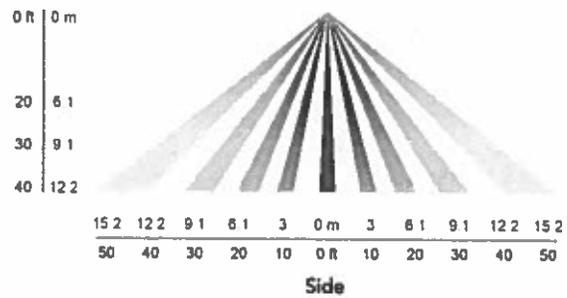
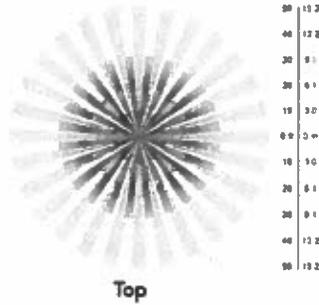
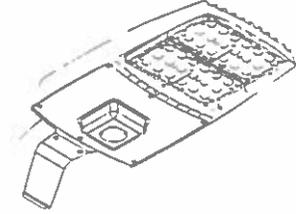


Automotive Front Row - Rotated Optics (AFRL90/R90)



nLight Control - Sensor Coverage and Settings

PIRHN nLight Sensor Coverage Pattern



Motion Sensor Default Settings - Option PIRHN						
Option	Dimmed State (unoccupied)	High Level (when occupied)	Photocell Operation	Dwell Time (occupancy time delay)	Ramp-up Time (from unoccupied to occupied)	Ramp-down Time (from occupied to unoccupied)
PIRHN	Approx. 30% Output	100% Output	Enabled @ 1.5FC	7.5 minutes	3 seconds	5 minutes

*Note: PIRHN default settings including photocell set-point, high/low dim rates, and occupancy sensor time delay are all configurable using the Clarity Pro App.

FEATURES & SPECIFICATIONS

INTENDED USE

The RSX LED area family is designed to provide a long-lasting, energy-efficient solution for the one-for-one replacement of existing metal halide or high pressure sodium lighting. The RSX2 delivers 11,000 to 31,000 lumens and is ideal for replacing 250W to 1000W HID pole-mounted luminaires in parking lots and other area lighting applications.

CONSTRUCTION AND DESIGN

The RSX LED area luminaire features a rugged die-cast aluminum main body that uses heat-dissipating fins and flow-through venting to provide optimal thermal management that both enhances LED performance and extends component life. Integral "no drill" mounting arm allows the luminaire to be mounted on existing pole drillings, greatly reducing installation labor. The light engines and housing are sealed against moisture and environmental contaminants to IP66. The low-profile design results in a low EPA, allowing pole optimization. Vibration rated per ANSI C136.31. 3G Mountings: SPA, RPA, MA, IS, AASP, AARP rated for 3G vibration. 1.5G Mountings: WBA, WBASC, AAWB and AAWSC rated for 1.5G vibration.

FINISH

Exterior parts are protected by a zinc-infused Super Durable TGIC thermoset powder coat finish that provides superior resistance to corrosion and weathering. A tightly controlled multi-stage process ensures superior adhesion as well as a minimum finish thickness of 3 mils. The result is a high-quality finish that is warranted not to crack or peel.

OPTICS

Precision acrylic refractive lenses are engineered for superior application efficiency, distributing the light to where it is needed most. Available in short and wide pattern distributions including Type 2, Type 3, Type 3S, Type 4, Type 4S, Type 5, Type 5S, AFR (Automotive Front Row) and AFR rotated AFRR90 and ARFL90.

ELECTRICAL

Light engine(s) configurations consist of high-efficacy LEDs mounted on metal-core circuit boards and aluminum heat sinks to maximize heat dissipation. Light engines are IP66 rated. LED lumen maintenance is >L92/100,000 hours. CCT's of 3000K, 4000K and 5000K (minimum 70 CRI) are available. Class 1 electronic drivers ensure system power factor >90% and THD <20%. Easily serviceable 10kV surge protection device meets a minimum Category C Low operation (per ANSI/IEEE C62.41.2)

STANDARD CONTROLS

The RSX LED area luminaire has a wide assortment of control options. Dusk to dawn controls include MVOLT and 347V button-type photocells and NEMA twist-lock photocell receptacles.

nLIGHT AIR CONTROLS

The RSX LED area luminaire is also available with nLight® AIR for the ultimate in wireless control. This powerful controls platform provides out-of-the-box basic motion sensing with photocontrol functionality and is suitable for mounting heights up to 40 feet. No commissioning is required when using factory default settings that provide basic stand-alone motion occupancy dimming that is switched on and off with a built-in photocell. See chart above for motion sensor default out-of-box settings. For more advanced wireless functionality, such as group dimming, nLight AIR can be commissioned using a smartphone and the easy-to-use CLAIRITY app. nLight AIR equipped luminaires can be grouped, resulting in motion sensor and photocell group response without the need for additional equipment. Scheduled dimming with motion sensor over-ride can be achieved when used with the nLight Eclipse. Additional information about nLight Air can be found [here](#).

INSTALLATION

Integral "no-drill" mounting arm allows for fast, easy mounting using existing pole drillings. Select the "SPA" option for square poles and the "RPA" option to mount to round poles. Note, the RPA mount can also be used for mounting to square poles by omitting the RPA adapter plate. Select the "MA" option to attach the luminaire to a 2 3/8" horizontal mast arm or the "IS" option for an adjustable slipfitter that mounts on a 2 3/8" OD tenon. The adjustable slipfitter has an integral junction box and offers easy installation. Can be tilted up to 90° above horizontal. Additional mountings are available including a wall bracket, adjustable tilt arm for direct-to-pole and wall and a surface conduit box for wall mount applications.

LISTINGS

CSA Certified to meet U.S. and Canadian standards. Suitable for wet locations. Rated for -40°C minimum ambient. DesignLights Consortium® (DLC) Premium qualified product and DLC qualified product. Not all versions of this product may be DLC Premium qualified or DLC qualified. Please check the DLC Qualified Products List at www.designlights.org/QPL to confirm which versions are qualified.

WARRANTY

5-year limited warranty. Complete warranty terms located at: www.acuitybrands.com/support/customer-support/terms-and-conditions

Note: Actual performance may differ as a result of end-user environment and application. All values are design or typical values, measured under laboratory conditions at 25 °C. Specifications subject to change without notice.

6



REXEL 1055 LEX LEXINGTON
 1693 JAGGIE FOX WAY
 LEXINGTON, KY 40511-1084
 859-293-2611
 Fax 972-649-1914

Quotation

QUOTE DATE	QUOTE NUMBER	PAGE NO.
07/20/2020	S128369791	1 of 1
CUST PO#:	Mark Quote	
JOB/REL#:		

QUOTE TO:

KEENELAND ASSOCIATION
 PO BOX 1690
 LEXINGTON, KY 40588-1690

SHIP TO:

KEENELAND ASSOCIATION
 4201 VERSAILLES RD
 LEXINGTON, KY 40510-9862

CUSTOMER NUMBER	CUSTOMER PHONE#	ORDERED BY	SALESPERSON
233968	859-806-8088		Ryan King
WRITER	SHIP VIA	TERMS	SHIP DATE
James Robertson	PICK UP	PROX 25th	07/20/2020
			FREIGHT ALLOWED
			No

ORDER QTY	DESCRIPTION	UNIT PRICE	EXT PRICE
150ea	<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> <p>Many Rexel USA manufacturing partners have advised that until further notice they reserve the right to amend the delivery date, the price, the scope or quantity of supply and/or other terms and conditions set out in their offer or quotation to the extent affected by the COVID-19 pandemic. Be advised that Rexel USA considers any COVID-19 related changes imposed by manufacturers as outside its reasonable control and subject to Force Majeure provisions.</p> </div> <p>SAT S29691 25W/LED/13IN FLUSH/40K/RD/WH Pn: 1346167 UPC: 04592329691</p>	49.560/EA	7434.00

All sales transactions are subject to credit approval. Any quotation and all transactions with Rexel are conditioned upon Rexel's Terms and Conditions of Sale located at <http://www.rexelusa.com/terms>. Quotation is valid for 30 days after the date of issue unless otherwise specified. Items subject to governmental tariffs effective on or after quotation will be price in effect at time of shipment unless otherwise specified. Quotation for commodity items is valid for the day of the quote only unless otherwise specified. All applicable taxes will be itemized and charged at the time of sale. Returns may be subject to a restocking fee particularly if returned beyond 90 days from original purchase date. Returns for special orders are subject to vendor authorization before return can be processed.

Subtotal	7434.00
S&H Charges	0.00
Sales Tax	446.04
Total	7880.04

Original Model

Model No.

Revised By



SATCO S29691

25W/LED/13"FLUSH/40K/RD/WH

Notes

General

Status	Active
Fixture Type	BLINK
Finish	White
Wattage	25
Lumen Output	1800
CCT (Kelvin)	4000

Specifications

Technology	LED
Color Temperature	Cool White
CRI	90
Voltage	120V
Beam Angle	108
Rated Hours	50000
Operating Temperature	-17C (+1.4F) to a maximum of +45C (+113F)
Dimmable	Yes Dimmable

Dimensions

Height (in.)	1
Width (in.)	13

Compliance

Safety Listing	cETLus
Location Rating	Damp
Energy Star	Yes
ES Unique ID	ESID-2322486
CEC Status	California T24 Compliant
CA Prop 65	Lead
RoHS Compliant	Yes

Additional Information

Warranty	5 Year Limited
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Phone 888-333-2973
Fax 513-563-3050
LEXINGTON, KENTUCKY
Phone 888-820-5483
Fax 819-233-0996

QUOTATION

NUMBER	DATE	PAGE
77051	06-08-20	1

K11000

BILL TO

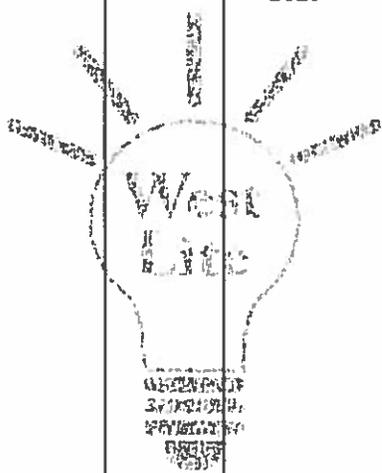
KEENELAND ASSOCIATION
PO BOX 1690
LEXINGTON, KY 40592

SHIP TO

KEENELAND ASSOCIATION
PO BOX 1690
LEXINGTON, KY 40592

YOUR ORDER NO./ORDERED BY	REQUESTED DELIVERY	TERMS	DIV.	QUOTED BY
	06-08-20	NET 30 DAYS	05	Denise Wiley

LINE NO.	ITEM NO./DESCRIPTION	U/M	QUANTITY	UNIT PRICE	AMOUNT
1	SATCO. S9925 43T8/LED/96-850/BPR17D	E	100	22.30	2,230.00
2	SATCO. S9919 43T8/LED/96-850/BP	E	80	22.30	1,784.00
				TAX	240.84



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TOTAL → 4,254.84

Product Name

Part No.

Replaced By



SATCO S9925

43T8/LED/96-850/BP/R17D HO

Notes

General

Status	Active
Watts	43
Fluorescent Equivalent	110
Volts	120V-277V
Ballast Type	Type B
Shape	T8
Base	Recessed Double Contact HO/VHO
ANSI Base	R17d
Nominal Length	96
Finish	Gloss White
CCT (Kelvin)	5000
Temperature	Natural Light
CRI	82
Lumens	5500
Beam Spread	210
Dimmable	Non-Dimmable
Hours Rated	50000
Lamp Type	Linear
Technology	LED

Electrical

Ballasting Requirements	Ballast Bypass
Operating Frequency	50/60 Hz
Dimming Type	N/A
Operating Temperature	-20C (-4F) to a maximum of +45C (+113F)

Physical

MOL	96
MOD	1

Additional Information

Shatter-Proof Type	Yes
Installation Notes	Double ended wiring
Warranty	5 Year Limited - T8 LED Bulbs - Ballast Bypass

Compliance

Safety Listing	cULus Classified
Location Rating	Damp
Energy Star	No
CEC Status	Lawful for sale in California
RoHS Compliant	Yes
NSF Approved	Yes - Approved

Project Name

Project ID

Manufacturer



SATCO S9919

43T8/LED/96-850/BP 120-277V

Notes

General

Status	Active
Watts	43
Fluorescent Equivalent	54W; 59W
Volts	120V-277V
Ballast Type	Type B
Shape	T8
Base	Single Pin
ANSI Base	Fa8
Nominal Length	96
Finish	Gloss White
CCT (Kelvin)	5000
Temperature	Natural Light
CRI	82
Lumens	5500
Beam Spread	210
Dimmable	Non-Dimmable
Hours Rated	50000
Lamp Type	Linear
Technology	LED

Electrical

Ballasting Requirements	BallastBypass
Operating Frequency	50/60 Hz
Dimming Type	N/A
Power Factor	0.9
Operating Temperature	-20C (-4F) to a maximum of +45C (+113F)

Physical

MOL	96
MOD	1

Additional Information

Shatter-Proof Type	Yes
Warranty	5 Year Limited - T8 LED Bulbs - Ballast Bypass

Compliance

Safety Listing	cULus
Location Rating	Damp
Energy Star	No
DLC Approved	Yes
DLC ID	PL43Y9QFYJRP
CEC Status	Lawful for sale in California
RoHS Compliant	Yes
NSF Approved	Yes - Approved



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Phone: 888-820-5463
Fax: 859-233-0996

QUOTATION

NUMBER	DATE	PAGE
77578	07-22-20	1

K11000

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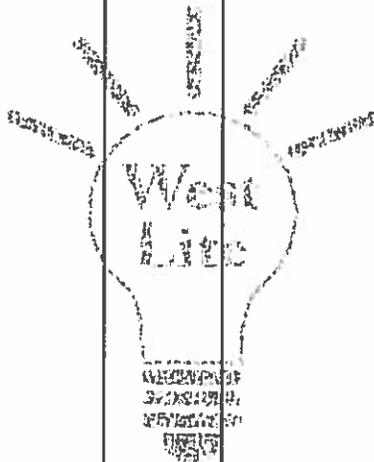
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KEENELAND ASSOCIATION
PO BOX 1690
LEXINGTON, KY 40592

YOUR ORDER NO./ORDERED BY	REQUESTED DELIVERY	TERMS	DIV.	QUOTED BY
	07-22-20	NET 30 DAYS	05	Denise Wiley

LINE NO.	ITEM NO./DESCRIPTION	U/M	QUANTITY	UNIT PRICE	AMOUNT
1	ATLAS. WLM64LED 64W LED	E	36	165.00	5,940.00
			TAX		356.40



West-Lite Supply Co Inc., (West-Lite) excludes all express or implied warranties including the implied warranty of merchantability or fitness for a particular purpose. West-Lite is not the manufacturer of the goods or products sold and any warranty of the goods or product, whether expressed or implied, is limited solely to that provided by the manufacturer. West-Lite shall not be liable for any loss or damage, whether direct, indirect, special, incidental or consequential, regardless of the legal theory asserted, including negligence, warranty or strict liability.

TOTAL →

6,296.40



Wall Light

WLM64LED 64 Watt LED Wall Light



SPECIFICATIONS:

	WLM64LED3K	WLM64LED
Lumens:	5,699	6,793
Watts:	61.93	60.39
Lumens/Watt:	92.0	112.49
CRI:	81	73.0
CCT:	3079	4476
Lifespan:	200,000+ hrs. ¹	200,000+ hrs. ¹

Construction:

Rugged Traditional aluminum die cast housing provides proven environmental protection for LED modules. Traditional fixture designs provide a familiar look and standard installation requirements. Retaining this look allows the ability to upgrade fixtures gradually, while retaining the same overall fixture appearance throughout a facility.

Glare Free:

Positioning of the LED modules within the housing result in light directed to desired locations and eliminates offensive light.

Lens:

Lens assembly is designed to provide high efficiency and to target the light where needed to satisfy outdoor lighting requirements. Positioning of the LEDs (along with Patent Pending thermal management system) results in the light being directed to desired locations eliminating glare and offensive light.

Thermal Management:

Atlas' Patent Pending exclusive Thermal Management System™ features a unique internal design that allows for lower operating temperatures which results in a brighter, whiter light, more stable color and longer LED and driver life.

Listings:

Luminaire is certified to UL/cUL Standards for Wet Locations

AC Input:

120/208/240/277 V

Driver:

Constant current, Class 2, 120-277 VAC, 50-60 Hz
High Efficiency – min. 88%
0-10 V Dimming

LEDs:

3000K & 4500K CCT

Epoxy Guard™ protective conformal coated boards

Atlas LEDs provide higher lumen output, greater energy efficiency and more reliable fixture performance. They are tested and binned at 700mA which is the actual operating current used in Atlas LED luminaires, giving a better representation of actual performance.

Warranty: Five-year limited warranty

Testing:

Atlas LED luminaires have been tested by an independent laboratory in accordance with IESNA LM-79 & LM-80.

Installation: Fixture retains the same knock-out sizes and positions as previous models, reducing wiring costs.

Photo Control: For factory installed 120V button photo control add suffix PC to part number. For factory installed 208-277V button photo control add suffix PM to part number.

Capital Controls: For factory installed Bluetooth Microwave Motion Control (including PC) add BTM to part number.

Emergency Back-up: For factory installed Emergency Back-Up add suffix EB to part number.

480 Volt: For 480V add suffix 4 to part number.

Weight: 9.25 lbs.

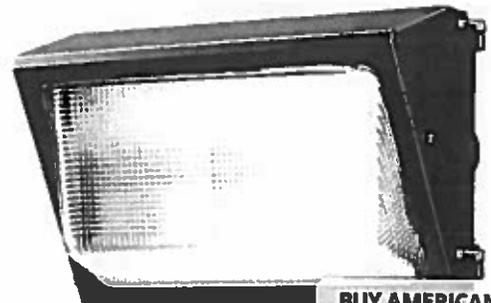
Project Information

Job Name

Fixture Type 64 Watt LED Die Cast Wall Light

Catalog Number WLM64LED

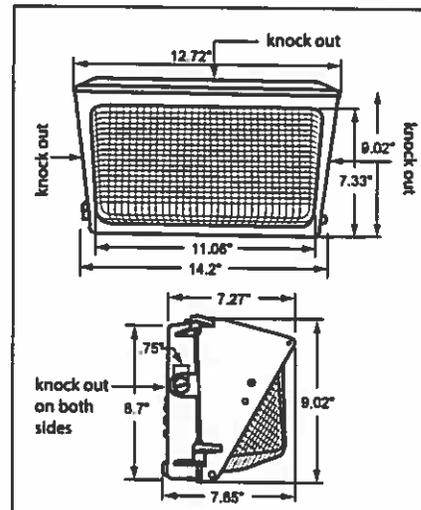
Approved by



WLM64LED
Design is Protected by
U.S. Patent No. 9,482,395



DIMENSIONS:



¹LED Life Span Based Upon LM-80 Test Results

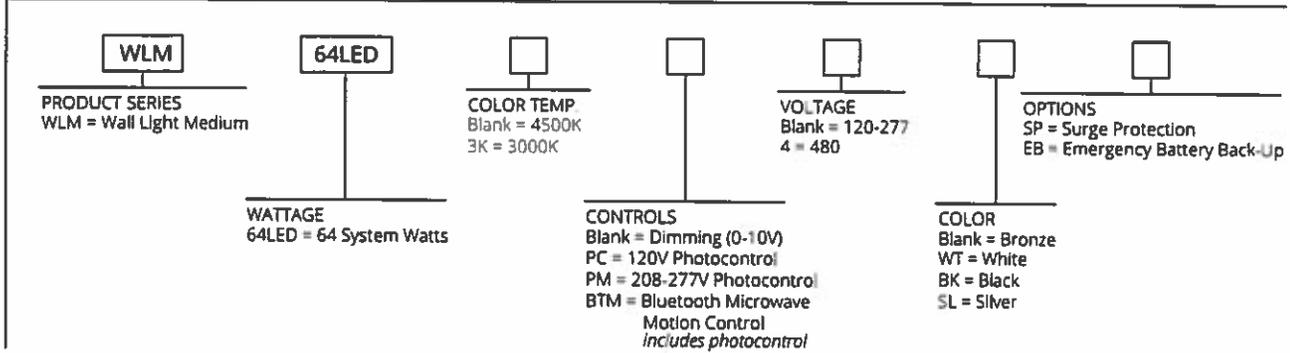
ATLAS LIGHTING PRODUCTS, INC.

PO BOX 2348 | BURLINGTON, NC 27216

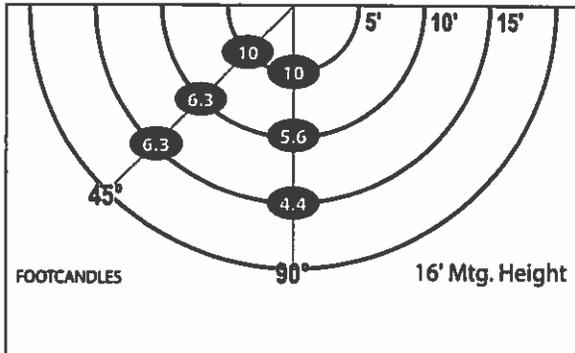
800-849-8485 | FAX: 336-227-0110 | www.atlaslightingproducts.com

*The majority of Atlas Lighting Products are assembled in USA facilities by an American Workforce utilizing both Domestic and Foreign components. Meets Buy American requirements within the ARRA.

ORDERING FORMAT



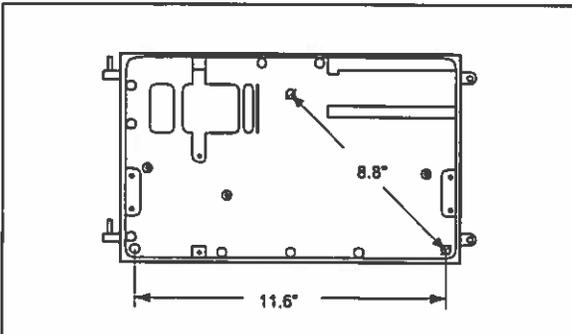
PHOTOMETRIC



ENERGY SAVINGS

LED		HID			ANNUAL SAVINGS
WATTAGE	ANNUAL COST	SOURCE WATTAGE	TOTAL WATTAGE USED	ANNUAL COST	
64	\$28	200	232	\$128	\$100
64	\$28	250	285	\$150	\$122
64	\$28	400	458	\$226	\$198

MOUNTING DETAIL



ATLAS LIGHTING PRODUCTS, INC.

PO BOX 2348 | BURLINGTON, NC 27216

800-849-8485 | FAX: 336-227-0110 | www.atlaslightingproducts.com

The majority of Atlas Lighting Products are assembled in USA facilities by an American Workforce utilizing both Domestic and Foreign components. Meets Buy American requirements within the ARRA.

BACK SIDE FUNDS 2020

TACK ROOM HEATER REPLACEMENT

Replace old tack room heater with new Empire RH25NAT

	Amount	Each	Sub Total	Tax/FRT	Total
Ferguson	10	743.2	7,432	445.92	7,877.92
Total Home Supply	10	1,026	10,260	615.6	10,875.60
Grainger	10	1,149	11,490	689.4	12,179.40

PURCHASE 2 1/2" X 21' GALVINIZED PIPE

	Amount	Each	Sub Total	Tax/FRT	Total
Masters Supply	80	111.5	9,240	554.4	9,794.40
Ferguson	80	134.99	10,799.20	647.95	11,447.15
Plumbers Supply	80	144.9	11,592	695.52	12,287.52

New TYCO DPV1 FIRE RISER AND OS&Y CONTROL VALVE

	Amount	Each	Sub Total	Tax/FRT	Total
JCI Fire Protection	4	4,227.50	16,910		16,910
Kentucky Fire Protection	4	4,891.00	19,564		19,564
Central Kentucky Sprinkler	4	6,700.00	26,800		26.8
			TOTAL		34,582.32

DO NOT SIT ON, OR PLACE
OBJECTS ON HEATER

NO SE SIENTE, NI PONGA
OBJETOS EN EL CALENTADOR



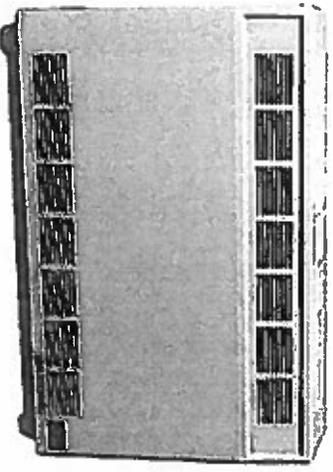
OLD HEATER

Product Categories / HVAC and Refrigeration / Heaters / Gas Heaters and Accessories / Vented Gas Heaters / Gas Fired Room Heater, NG, BtUH Output 17,500, ...



Gas Fired Room Heater, NG, BtUH Output 17,500, 4" Vent, Width 37", Height 26", Depth 18-3/8"

Item # 4LGF5 Mfr. Model # RH25NAT Catalog Page # N/A UNSPSC # 40101819



Categories based on your search



HVAC and Refrigeration



Heaters



Gas Heaters and Accessories



Vented Gas Heaters

Web Price ⓘ

\$1,026.00 / each

This item requires special shipping, additional charges may apply.

Shipping

Pickup

Expected to arrive **Tue. Mar 31.**

Ship To **40502** (Change)

Chat with an Agent

10

QUOTATION

Masters Supply Inc.
1141 Industry Road
Lexington, KY 40505
US
859-255-7748



Order Number	
2182203	
Order Date	Page
2/27/2020 12:48:42	1 of 1

Quote Expires On 3/28/2020

Bill To:
Keeneland Association Inc
PO Box 1690
Lexington, KY 40588-1690

Ship To:
Keeneland Association Inc
4201 Versailles Rd
Lexington, KY 40510

859-254-3412

Customer ID: 30021

Required Date	Order Date	PO Number	Route	Carrier	Freight	Taker
2/27/2020	2/27/2020	galv pipe		XWC - Lexington Will Call		JRH

Quantities					Item ID Item Description	Pricing UOM Unit Size	Unit Price	Extended Price
Ordered	Allocated	Remaining	UOM Unit Size	Diag.				
21	0	21	EA		WIGPEA53B0240203S 2-1/2X21 A53B GPE SCH40	EA 1.0	4.5682	95.93
21	0	21	EA		WDGGEA53B0240503S 2-1/2 X 21 A53B GBE SCH40	EA 1.0	5.3097	111.50

Total Lines: 2

Fo

SUB-TOTAL: 207.43
TAX: 12.45
AMOUNT DUE: 219.88
 U.S. Dollars

Special order material is subject to NO refund.

12.15.1590 - 08/27/14





QUOTATION

Order Number

11800433



EXPIRATION DATE: 3/31/2020

Page 1 of 1

Br: 4 Lexington

1142 Eastland Drive
Lexington, KY 40505
USA
859-255-0700

BILL TO: 5562

SHIP TO: ROUTE: B4LOC

Keeneland Assoc
4201 Versailles Road
Lexington, KY 40588
USA

859-288-4281
Attn: Mrs. DAWN SPEARS

Keeneland Assoc
4201 Versailles Road
Lexington, KY 40588
USA

Requested By: Mrs. DAWN SPEARS

ORDER DATE	PO NUMBER/JOB #	WRITER
3/24/2020		RON.WILSON

DATE REQUIRED	DATE SHIPPED	SHIP VIA
3/24/2020		OTE ONLY - Return ticket to writer, DO NOT WC

Item ID Item Description	Quantities					Unit Price	Extended Price
	Ordered	Allocated	Remaining	UOM Unit Size	Disp.		

SEA63GPE212-I 2-1/2 STD ERWA53 GALV PE -INT	21	0	21	FT		6.90	144.90
------------------------------------------------	----	---	----	----	--	------	--------

Unit Conversion: LENGTH Qty: 1

VI009NE.212 VIC 009N E 2-1/2in FIRELOCK EZ CPLG FORMERLY 009 AND 009H	1	0	1	EA		11.88	11.88
-----------------------------------------------------------------------------	---	---	---	----	--	-------	-------

VI920N.212.12F VIC 920N MECH TEE 2-1/2 x 1/2 FIP USE 1-1/2 in HOLE SAW	1	0	1	EA		34.22	34.22
------------------------------------------------------------------------------	---	---	---	----	--	-------	-------

Total Lines: 3

SUB-TOTAL: 191.00
TAX: 11.45
AMOUNT DUE: 202.45

TERMS AND CONDITIONS: To guarantee pricing you must refer to the Quote number above when placing order. This quotation is valid for 30 days; however, if market conditions should cause a significant change in our prices, Plumbers Supply Company ("PSC"), in its sole discretion, may change quoted prices. Prices and quantities are good for one shipment unless otherwise specified.

All materials have been quoted based upon the specifications provided to PSC. We assume no responsibility for items that have been specified incorrectly or the quantities listed in this quotation.

Claims for shortages must be received within 48 hours of receipt of material. Claims for damages or shortages of material received via common carrier must be noted on Bill of Lading upon receipt of material; any concealed damage claims must be made within 48 hours of receipt. Prior consent of PSC and original Sales Ticket or Invoice number is required for all returned material. Restocking charges apply to all returned material. Orders received and processed are subject to a \$50.00 cancellation charge. Special Order items are non-cancelable and non-returnable.

PSC does not manufacture the goods it sells and makes no express warranties thereon. Specifically, PSC disclaims all implied warranties, including any warranty of merchantability or fitness for a particular use.

Seller's obligation is expressly conditioned upon assent to these terms and conditions unless Seller receives written notice of any objection within 5 days from the date Buyer receives this writing.

Effective June 1st, 2013, Plumbers Supply Company will no longer accept returns for materials that are not in compliance with the 2014 standards of the Federal Reduction of Lead in Drinking Water Act

Deliver To: From: Andrew Cole Comments:

15:02:46 MAR 05 2020

Page 1 of 1

FERGUSON ENTERPRISES LLC #20
Price Quotation
Phone: 859-255-1412
Fax: 859-233-7592

Bld No: 8527574
Bid Date: 03/05/20
Quoted By: YDC

Cust Phone: 859-221-4363
Terms: NET 10TH PROX

Customer: KEENELAND ASSOCIATION INC
4201 VERSAILLES RD
ATTN ERIC
LEXINGTON, KY 40510

Ship To: KEENELAND ASSOCIATION INC
4201 VERSAILLES RD
ATTN ERIC
LEXINGTON, KY 40510

Cust PO#: GALVANIZED

Job Name:

Item	Description	Quantity	Net Price	UM	Total
GGPPEA53L	2-1/2 GALV BE A53A S40 PIPE	21	642.805	C	134.99
BCITKKJ	2X2X1-1/2 BLK CI TEE	1	24.123	EA	24.12
Net Total:					\$159.11
Tax:					\$9.55
Freight:					\$0.00
Total:					\$168.66

Quoted prices are based upon receipt of the total quantity for immediate shipment (48 hours). SHIPMENTS BEYOND 48 HOURS SHALL BE AT THE PRICE IN EFFECT AT TIME OF SHIPMENT UNLESS NOTED OTHERWISE. QUOTES FOR PRODUCTS SHIPPED FOR RESALE ARE NOT FIRM UNLESS NOTED OTHERWISE.

CONTACT YOUR SALES REPRESENTATIVE IMMEDIATELY FOR ASSISTANCE WITH DBE/MBE/WBE/SMALL BUSINESS REQUIREMENTS.

Seller not responsible for delays, lack of product or increase of pricing due to causes beyond our control, and/or based upon Local, State and Federal laws governing type of products that can be sold or put into commerce. This Quote is offered contingent upon the Buyer's acceptance of Seller's terms and conditions, which are incorporated by reference and found either following this document, or on the web at <https://www.ferguson.com/content/website-info/terms-of-sale>
Govt Buyers: All items are open market unless noted otherwise.

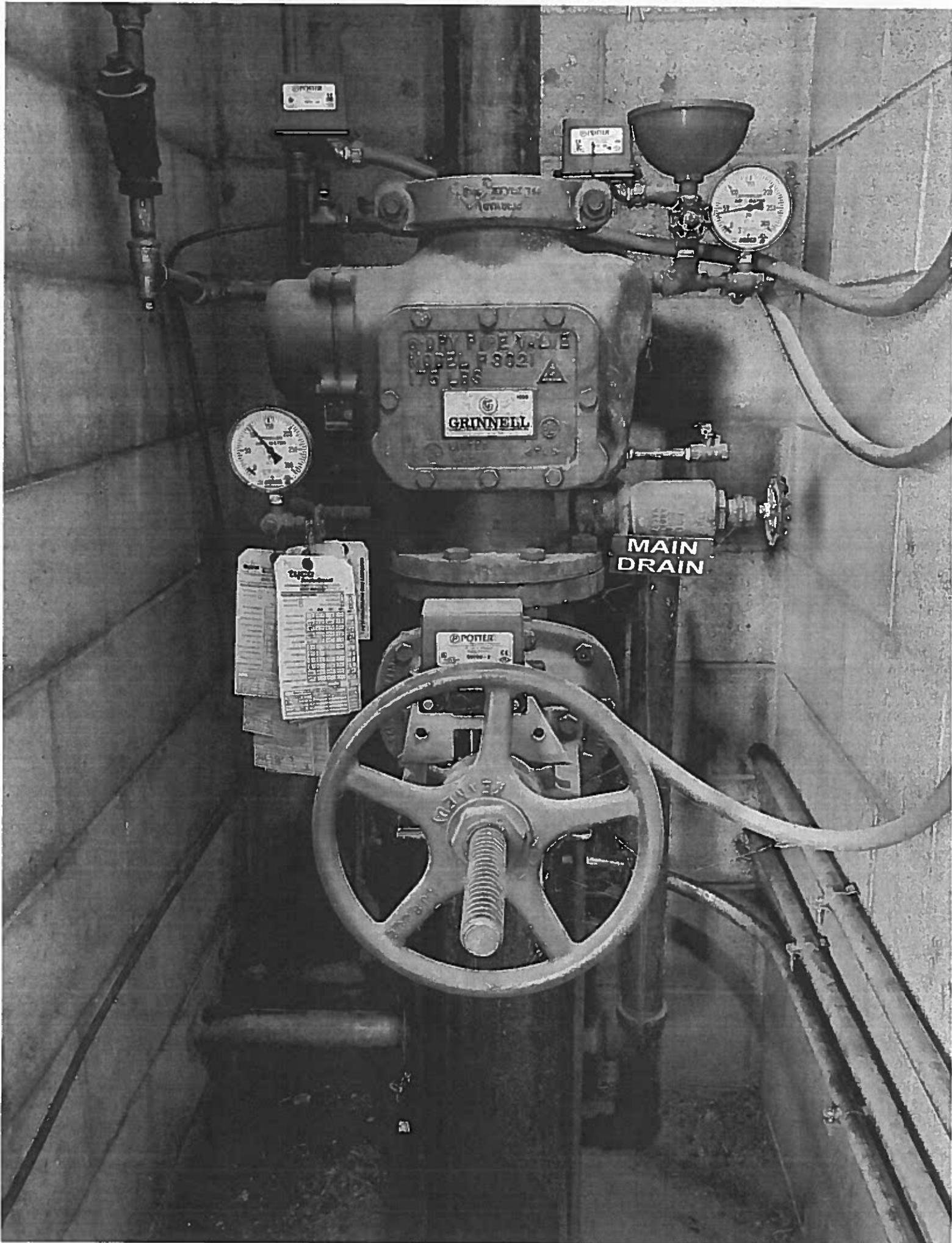
LEAD LAW WARNING: It is illegal to install products that are not "lead free" in accordance with US Federal or other applicable law in potable water systems anticipated for human consumption. Products with "NP" in the description are NOT lead free and can only be installed in non-potable applications. Buyer is solely responsible for product selection.



HOW ARE WE DOING? WE WANT YOUR FEEDBACK!

Scan the QR code or use the link below to complete a survey about your bids:

<https://survey.medallia.com/?bidsorder&fc=2655&on=241358>



POTTER

POTTER

MODEL 74
AUTOMATIC

600V FIRE ALARM
MODEL F 3021
1/2" BS

GRINNELL

Pressure Gauge
0-20 PSI

Pressure Gauge
0-20 PSI

MAIN
DRAIN

Technical specifications and instructions for the device, including a table of data.

POTTER

Handwheel with 'POTTER' and '74' markings

JCI Fire Protection LP

JCI Fire Protection LP
 973 Beasley Street, Suite 150
 Lexington, Kentucky 40509
 859-294-7233 Phone
 859-294-0922 Fax

PROPOSAL AND SERVICE AGREEMENT

Johnson Controls Fire Protection LP Contract	Salesperson: Todd Messmer License No. S-025S	Date: July 20, 2020
Customer: Keeneland Association Inc. Invoice To (if different from Customer):	Job Location Keeneland Association Inc. Training Barn 4201 Versailles Rd. Lexington, KY 40510 Customer P.O. #	

JCI Fire Protection, for and in consideration of the prices herein named, proposes to furnish the work, and/or materials hereinafter described, subject to the terms and conditions of this Agreement.

SCOPE OF WORK: The current dry valves and os&y valves in barns 62,64,68, and 70 are outdated and need replaced. The uni-flange on lead inat barn 62 is leaking and will also need replaced.

Repairs include:

- Removal of old dry valve and control valve.
- Install of new OS&Y control valve.
- Install of new TYCO DPV1 with external reset.
- Reconnection of alarm devices, air supply and drains.
- Restore system to service.

TOTAL \$16,910.00

EXCLUSIONS

- Any work not specifically included in the qualifications above
- Pipe identification
- Power and/or Control wiring, conduit and connections
- Cutting, patching, and/or any painting and/or preparation for painting, if required
- Detection and/or Fire alarm systems
- Adequate heat and/or freeze protection
- Fire extinguishers and/or cabinets
- Removal and/or replacement of ceilings and walls, or access doors if required
- Overtime and/or off hours work
- Insulation on any piping

All work to be performed during normal business hours.

Payment	NET 10 <input type="checkbox"/>	NET 30 <input checked="" type="checkbox"/>	C.O.D. <input type="checkbox"/>	DEPOSIT: \$
Time and Material	<input type="checkbox"/>	Price Not to Exceed \$	Fixed Price of \$16,910.00	BALANCE DUE: \$0.00

CUSTOMER ACCEPTANCE

In accepting this Agreement, Customer agrees to the terms and conditions contained herein including those on the following page(s) of this Agreement and any attachments or riders attached hereto that contain additional terms and conditions. It is understood that these terms and conditions shall prevail over any variation in terms and conditions on any purchase order or other document that the Customer may issue. Any changes in the system requested by the Customer after the execution of this Agreement shall be paid for by the Customer and such changes shall be authorized in writing. ATTENTION IS DIRECTED TO THE LIMITATION OF LIABILITY, WARRANTY, INDEMNITY AND OTHER CONDITIONS CONTAINED IN THIS AGREEMENT. This offer shall be void if not accepted in writing within thirty (30) days from the date first set forth above.

Customer

By: _____
 Authorized Signature
 Name:
 Title:

JCI Fire Protection LP

By: _____
 Name: Todd Messmer
 Title: Sprinkler Service Sales Representative

Proposal

Central Kentucky Sprinkler, Inc.

243 Industry Parkway

Nicholasville, KY 40356

Phone (859) 885-7990

Fax (859) 885-7991

Proposal Submitted to: KEENELAND	Date: 6-25-2020
Street:	Job Name: DRY VALVE AND OSY VALVE REPLACEMENT
City, State, Zip:	Job Location: LEXINGTON, KY
Fax:	Attn: ERIC SOARD

We hereby submit specifications and estimates for:

REPLACE EXISTING 6" DRY PIPE VALVE AND EXISTING OSY CONTROL VALVE WITH NEW
..... **\$6,700.00**

REPLACE EXISTING ACCELLERATOR DEVICE WITH NEW **\$1,100.00**

We propose hereby to furnish material and labor – complete in accordance with above specifications, for the sum of:

SEE ABOVE

All work is guaranteed to be as specified. All work to be completed in a workmanlike manner according to standards practices. Any alteration to deviation from above specifications involving extra costs will be excited only upon written orders, and will become an extra charge over and above the estimate. All agreements are contingent upon strikes, accidents or delays beyond our control. Owner shall carry fire, tomodo and other necessary insurance. Our workers are fully covered by Workmen's Compensation Insurance.

Authorized Signature _____

STEVE SCHMIDT

Note: This proposal may be withdrawn by us if not accepted within 30 days.

Note: **PAYMENT TERMS SHALL BE NET 25.**

Acceptance of Proposal

The above prices, specifications, and conditions are satisfactory and are hereby accepted. You are authorized to do the work as specified. Payment will be made as outlined above.

Signature _____

Date _____

1529 West Ln.
Nicholasville, KY 40356

Estimate

K.F.P., LLC

Date	Estimate #
6/25/2020	142

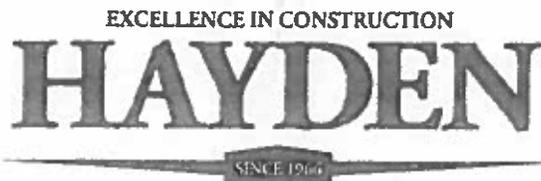
Name / Address
Keeneland Association, Inc. PO Box 1690 Lexington, KY 40588-1690 eric.soard@gmail.com

Ship To
Keeneland Race Track 4201 Versailles Rd. Lexington, KY 40510

			Project
Description	Qty	Rate	Total
6" OS&Y and 6" dry valve replacement-includes trim on barn #62		4,891.00	4,891.00
Total			\$4,891.00

Phone #
859-553-8634

E-mail
kyfireprotection@gmail.com



June 23, 2020

Keeneland Race Track
4201 Versailles Road
Lexington, KY 40510

Attn: Wally Wallace

Project: Restructuring one side of Barn #18

Contract

The Hayden Company, Inc. wishes to submit a proposal to furnish material, labor and equipment necessary to complete the following scope of work:

Scope

- Demo of existing block divider wall and existing roof columns
- Install a new 2'x2' footer (length of 4'' block divider wall)
- Install new steel rafter supports for roof system, length approx. 168'
- Install new steel posts from base to existing roof system
- Install approx. 42'' high new block divider wall, length approx. 168'
- Install two new steel bollards at the middle of the block wall
- Rebuild one sliding door at one end in aluminum

Total Price: \$62,590.00

- During construction, the side of the barn will be temporary braced with jacks and posts supplied by Keeneland

Acceptance of Contract: _____ Date: _____
Keeneland Race Track

Acceptance of Contract: _____ Date: _____
The Hayden Company, Inc.





ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

JONATHAN RABINOWITZ
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://khrc.ky.gov)

To: Commissioners, Kentucky Horse Racing Commission
From: T. Chad Thompson, Deputy General Counsel
Jennifer Wolsing, General Counsel
Date: October 15, 2020
Re: Conditions of Racing Association Licensing for 2021

Copies of the Conditions of Racing Association Licensing for 2021 (the "Conditions") proposed by the Kentucky Horse Racing Commission (KHRC) staff are enclosed with the Commissioners' current meeting materials. The proposed Conditions are identical in substance to those which were approved by the KHRC in 2020, with the exception of the following additions:

1. Condition number 14 has been altered to include the language, "as permitted under Title 810 of the Kentucky Administrative Regulations," with respect to the administration of race-day furosemide, following the KHRC's implementation of regulatory amendments regarding race-day furosemide administration;
2. Condition number 15 has been added to reference the requirement that purse money be paid, distributed, or made available no later than twenty-four (24) hours after notice from the Commission that a final laboratory report has been issued, pursuant to 810 KAR 8:010.

The KHRC staff recommends approval.

COMMISSION ACTION:

_____	Approve
_____	Deny
_____	Defer



To be considered by the Kentucky Horse Racing Commission on Tuesday, October 20, 2020:

Conditions of Racing Association Licensing for 2021

Kentucky Horse Racing Commission (KHRC)

As a condition of the issuance of a license by the KHRC to conduct horse racing during the 2021 racing year, each Kentucky racing association shall be required to certify that it will comply with the following requirements:

- 1) Each racing association shall notify the KHRC of any communication, report, or investigation conducted or to be conducted by the Thoroughbred Racing Association (“TRA”), Thoroughbred Racing Protective Bureau (“TRPB”), United States Trotting Association (“USTA”), American Quarter Horse Association (“AQHA”), Arabian Jockey Club (“AJC”), National Thoroughbred Racing Association (“NTRA”), or any state or federal regulatory agency that relates to the safety, integrity, or security of the racing association and its participants, or that would reasonably be deemed to affect public confidence in the racing association. Each racing association shall further send a copy of any such communication, correspondence, or report to the KHRC within 24 hours of receipt by the racing association. This paragraph shall not be construed to include information delivered to racing association officials acting in the capacity of members of the board of directors of the above referenced organizations.
- 2) Each racing association shall notify the KHRC any time a member of a local, state, or federal law enforcement agency enters racing association grounds for the purpose of questioning, investigating, or arresting a licensed individual. Each racing association shall further send a copy of any report related to the questioning, investigation, or arrest, prepared by the racing association or prepared by the law enforcement agency, to the KHRC, within 24 hours of preparation and/or receipt by the racing association.
- 3) Each racing association shall send a copy of any self-reporting document, form, or correspondence to the KHRC at the same time it is filed with the state or federal regulatory agency, or any other organization referenced in Condition No. 1, with the exception of any information that is proprietary to the racing association or has no relevance to the safety, integrity, or security of the racing association. The racing association shall identify to the KHRC any information contained in submitted reports that the racing association considers proprietary or irrelevant to the safety, integrity, or security of the racing association.
- 4) Each racing association shall notify the KHRC if it becomes aware of a wagering anomaly related to racing conducted at that racing association or related to a race imported for simulcast wagering at the racing association, even if a report has not been filed with any of the organizations referenced in Condition No. 1. The racing association shall provide transactional data and video of the race to the KHRC upon request. Wagering anomalies

include any incident that might reasonably affect the public's confidence in the racing association's wagering pools, including but not limited to:

- (a) Past posting, cancel delay, and other instances when wagering occurs after the horses have left the gate;
- (b) Off-shore and account wagering fraud;
- (c) Suspected manipulation through computerized robotic wagering;
- (d) Pool odds manipulation;
- (e) Quick pick or random pick malfunction;
- (f) Unusually large cancellation of wagers (especially right as the betting stops);
- (g) Outlets that are habitually late getting into the pools;
- (h) Manual merges;
- (i) Unusual payoffs;
- (j) Failing to remove a scratched horse from the pools (which would then require a re-calculation of payoffs);
- (k) Re-entering a scratched horse to the pools without approval from the Stewards or Judges;
- (l) Suspicious wagering patterns;
- (m) Totalizator or data communications malfunctions;
- (n) Substantial late ticket cancellations; or
- (o) Failure to stop wagering after the official start of a race.

The list of wagering anomalies above is meant to be illustrative and not exclusive.

- 5) Each racing association shall record the date and time (in hours, minutes, and seconds) on all live video feeds originating from a Kentucky track. Each racing association shall be responsible for recording (in hours, minutes, and seconds) the start/off time of each race. Further, each racing association shall require the tote company with whom it does business to certify, and provide evidence of such certification, to the Chief State Steward or Presiding Judge of the closure time (in hours, minutes, and seconds) of the betting windows for each race. Records shall be retained for two years after the date of the race. Records shall be submitted to the KHRC upon request.
- 6) Each of the timing systems mentioned in Condition No. 5 shall be synchronized with the U.S. Naval Observatory Clock at the beginning of each race day.
- 7) Each racing association shall ensure that the tote company and video provider are available as least one week prior to the start of the live race meet for testing by the Director of Pari-Mutuel Wagering. Each racing association shall ensure that the tote company and video provider for its live meet are operating correctly at least 24 hours prior to the start of the live meet.
- 8) Each racing association shall require its tote vendor, video provider, and teletimer provider to share any and all racing and wagering data requested by the KHRC upon request.

- 9) Each racing association is required to post policies and procedures related to pari-mutuel wagering, including the racing association's refund policy, on the racing association's website. Each racing association shall also post a notice in the racing program and a sign at the association's information window informing patrons where they can access a complete copy of the association's policies and procedures related to pari-mutuel wagering, including the association's refund policy.
- 10) Each racing association shall provide a copy of its mutuel department policies and procedures to the KHRC.
- 11) Each racing association shall have protocols in place that mandate exclusion of any receiving track's wagering pool when the racing association cannot verify that all wagers in the receiving track's pool were received prior to the official start of the race. Copies of these protocols shall be provided to the KHRC.
- 12) Each racing association shall have protocols in place and shall publicize to its wagering patrons when, as a receiving track, it is excluded from a host track's wagering pool. These protocols shall include an immediate public announcement and immediate posting on the racing association's video monitors and websites. Copies of these protocols shall be provided to the KHRC.
- 13) Each racing association that does business with any individual, person, or entity that offers advance deposit account wagering ("ADW") to Kentucky residents shall require that ADW to be licensed by the Commonwealth.
- 14) Each racing association offering thoroughbred racing shall require owners to deposit the \$20 fee for the administration of race-day furosemide, as permitted under Title 810 of the Kentucky Administrative Regulations, into the owner's account with the horsemen's bookkeeper by 12:00 noon on the day of the race. Failure of the owner to deposit such fee may result in the owner being subjected to penalties, including fines or suspension. Each racing association shall debit the owner's account for the \$20 fee to the credit of the KHRC.
- 15) Pursuant to 810 KAR 8:010 Section 19, purse money in thoroughbred, flat racing, and standardbred racing will be paid, distributed, or made available no later than twenty-four (24) hours after notice from the Commission that a final laboratory report has been issued.
- 16) Each thoroughbred association shall be accredited by the National Thoroughbred Racing Association (NTRA) Safety and Integrity Alliance, and shall abide by the safety and security regulations set forth in Title 810 of the Kentucky Administrative Regulations. Each Standardbred association shall abide by the safety and security regulations set forth in Title 810 of the Kentucky Administrative Regulations. All racing associations shall be subject to inspection by KHRC officials, and shall be required to make any repairs or improvements necessary to comply with the applicable safety and security regulations.

- 17) Each racing association shall settle (and pay, as required) all wagering accounts with simulcast partners on a timely basis, in no event exceeding 60 days past any respective due date.
- 18) All racing associations are required to have a licensed tote employee on the premises during live racing.
- 19) Each racing association shall submit to the KHRC a full employee list that includes the official title of the employee at the racing association and the date of the employee's hire. This shall be submitted in a format approved by the Commission's Director of Licensing on a monthly basis, no later than the 5th business day of the month.
- 20) Each racing association shall report to the KHRC in writing, as required by 810 KAR 3:020, Section 11, the discharge of any licensed employee within 24 hours of the event.
- 21) Each racing association shall ensure that all vendors and vendor employees utilized for racing association operations are properly licensed by the KHRC.
- 22) Each racing association shall require that all vendors and vendor employees display a KHRC identification badge while on racing association grounds.
- 23) An association shall submit an electronic copy of the Kentucky Department of Revenue "Race Track Pari-Mutuel and Admissions Report" Form 73A100 (or its equivalent) to the KHRC Director of Pari-Mutuel Wagering via email by no later than 4:30 p.m. on the 5th business day (excluding Saturday and Sunday) following the close of each racing week. A race week begins on Monday and Ends of Sunday.
- 24) All notices required to be given to the KHRC under these conditions of licensure, unless otherwise stated, shall be provided to the Executive Director, the Chief State Steward/Presiding Judge, and the Director of Pari-Mutuel Wagering and Compliance via hand delivery, electronic mail, and/or facsimile, no later than 24 hours after the event triggering the notice requirement.
- 25) In the event a racing association does not comply with the requirements of these conditions, the KHRC has the authority to assess fines and/or penalties, including but not limited to licensure revocation.
- 26) Additional conditions may be added to these requirements at any time at the discretion of the KHRC.

CERTIFICATION

I, _____, a duly authorized representative
of _____, hereby acknowledge and certify acceptance of the
above conditions to the racing association's license for the year 2021, to conduct a horse racing
meeting in the Commonwealth of Kentucky.

Authorized Representative of Association

Date



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

JONATHAN RABINOWITZ
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

To: Commissioners, Kentucky Horse Racing Commission

From: T. Chad Thompson, Deputy General Counsel
Jennifer Wolsing, General Counsel

Date: October 19, 2020

Re: Racetrack Applications and Requests for Race Dates and Host Status for 2021

All racetrack applications for 2021 licenses to conduct horse racing have been received and reviewed by the KHRC staff and by Commissioners Kling, Simendinger, and Hendrickson. The applications include requests for race dates, simulcast dates, and host status from the tracks. The required consent letters from the Kentucky Horsemen's Benevolent and Protective Association (KHBPA), the Kentucky Thoroughbred Association (KTA), the Kentucky Thoroughbred Owners and Breeders Association, Inc. (KTOB), and/or the Kentucky Harness Horsemen's Association (KHHA) are each addressed below.

It is anticipated that the KHRC's Race Dates Committee will recommend full Commission approval of each of the following requests during its October 20, 2020 meeting, subject to the conditions outlined in this memorandum.

Churchill Downs Racetrack, LLC (Churchill Downs)

It is anticipated that the KHRC's Race Dates Committee will recommend full Commission approval of the requested race dates and schedule of simulcast signals for the year 2021 submitted by Churchill Downs. Although eleven (11) of the requested race dates conflict with those requested by a separate Standardbred track, the Commission has traditionally allowed overlap between Standardbred and Thoroughbred tracks. Each of the required consent letters for simulcasting have been received from the KHBPA and KTA/KTOB, in accordance with KRS 230.377 and KRS 230.3771.

ECL Corbin, LLC (ECL Corbin)

It is anticipated that the KHRC's Race Dates Committee will recommend full Commission approval of the requested race dates for the year 2021 submitted by ECL Corbin, contingent upon the Commission's approval of ECL Corbin's license application. ECL Corbin intends for the race dates to be hosted at the Lexington Trots Breeders Association, LLC (The Red Mile). Although twelve (12) of the requested dates conflict with those collectively requested by two separate Thoroughbred tracks, the Commission has traditionally allowed overlap between Standardbred and Thoroughbred tracks. ECL Corbin has not yet submitted a schedule of simulcast signals for the year 2021. Therefore, the KHRC does not need to consider any simulcast approval for ECL Corbin at this time. However, because certain simulcasting activities at Standardbred tracks require KHHA consent, the KHRC staff would recommend that any future simulcasting approval be contingent upon the KHRC's receipt of the required consent letter for



simulcasting from the KHHA, as mandated by KRS 230.377, if applicable to the simulcasting activities for which approval is ultimately sought.

Ellis Entertainment, LLC (Ellis Park)

It is anticipated that the KHRC's Race Dates Committee will recommend full Commission approval of the requested race dates and schedule of simulcast signals for the year 2021 submitted by Ellis Park. Although nineteen (19) of the requested race dates conflict with those collectively requested by two separate Standardbred tracks, the Commission has traditionally allowed overlap between Standardbred and Thoroughbred tracks. Each of the required consent letters for simulcasting have been received from the KHBPA and KTA/KTOB, in accordance with KRS 230.377 and KRS 230.3771.

Keeneland Association, Inc. (Keeneland)

It is anticipated that the KHRC's Race Dates Committee will recommend full Commission approval of the requested race dates and schedule of simulcast signals for the year 2021 submitted by Keeneland. Although seven (7) of the requested race dates conflict with those collectively requested by two separate Standardbred tracks, the Commission has traditionally allowed overlap between Standardbred and Thoroughbred tracks. Each of the required consent letters for simulcasting have been received from the KHBPA and KTA/KTOB, in accordance with KRS 230.377 and KRS 230.3771.

Kentucky Downs, LLC (Kentucky Downs)

It is anticipated that the KHRC's Race Dates Committee will recommend full Commission approval of the requested race dates and schedule of simulcast signals for the year 2021 submitted by Kentucky Downs. Although three (3) of the requested race dates conflict with those requested by a separate Standardbred track, the Commission has traditionally allowed overlap between Standardbred and Thoroughbred tracks. Each of the required consent letters for simulcasting have been received from the KHBPA and KTA/KTOB, in accordance with KRS 230.377 and KRS 230.3771.

WKY Development, LLC (Oak Grove)

It is anticipated that the KHRC's Race Dates Committee will recommend full Commission approval of the requested race dates and schedule of simulcast signals for the year 2021 submitted by Oak Grove. Although seven (7) of the requested race dates conflict with those collectively requested by two separate Thoroughbred tracks, the Commission has traditionally allowed overlap between Standardbred and Thoroughbred tracks. Furthermore, the owner of one of the affected Thoroughbred tracks, Churchill Downs, is also the owner of Oak Grove, and thus appears to have no objection.

Lexington Trots Breeders Association, LLC (The Red Mile)

It is anticipated that the KHRC's Race Dates Committee will recommend full Commission approval of the requested race dates and schedule of simulcast signals for the year 2021 submitted by The Red Mile. Although twenty-five (25) of the requested race dates conflict with those collectively requested by four separate Thoroughbred tracks, the Commission has traditionally allowed overlap between Standardbred and Thoroughbred tracks. The required consent letter for simulcasting has been received from the KHHA, in accordance with KRS 230.377 and KRS 230.3771.

Turfway Park, LLC (Turfway Park)

It is anticipated that the KHRC's Race Dates Committee will recommend full Commission approval of the requested race dates and schedule of simulcast signals for the year 2021 submitted by Turfway Park. Turfway Park intends to broadcast its simulcast signals at its Newport Racing & Gaming facility. Each of the required consent letters for simulcasting have been received from the KHBPA and KTA/KTOB, in accordance with KRS 230.377 and KRS 230.3771.



Complete copies of the association license applications are available for review at the KHRC office. Please contact the office if you would like to review the complete applications.

The Commission will vote to approve the license applications and requests for race dates and host status. The licenses will also be issued subject to the Conditions of Racing Association Licensing for 2021 (Item 5 of the Agenda), as approved by the Commission.

COMMISSION ACTION:

- _____ Approve
- _____ Deny
- _____ Defer

January 2021

December '20							February '21						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
		1	2	3	4	5		1	2	3	4	5	6
6	7	8	9	10	11	12	7	8	9	10	11	12	13
13	14	15	16	17	18	19	14	15	16	17	18	19	20
20	21	22	23	24	25	26	21	22	23	24	25	26	27
27	28	29	30	31			28						

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
27	28	29	30	31	1 Turfway Park <i>New Year's Day</i>	2 Turfway Park
3 Turfway Park	4	5	6	7 Turfway Park <i>(optional)</i>	8 Turfway Park	9 Turfway Park
10 Turfway Park	11	12	13	14 Turfway Park <i>(optional)</i>	15 Turfway Park	16 Turfway Park
17 Turfway Park	18	19	20	21 Turfway Park <i>(optional)</i>	22 Turfway Park	23 Turfway Park
24 Turfway Park	25	26	27	28 Turfway Park <i>(optional)</i>	29 Turfway Park	30 Turfway Park
31 Turfway Park	1	<p style="text-align: center;">Thoroughbred Host Track Status</p> <p> Turfway Park Keeneland Churchill Downs Ellis Park Kentucky Downs </p>				

February 2021

January '21							March '21						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
					1	2		1	2	3	4	5	6
3	4	5	6	7	8	9	7	8	9	10	11	12	13
10	11	12	13	14	15	16	14	15	16	17	18	19	20
17	18	19	20	21	22	23	21	22	23	24	25	26	27
24	25	26	27	28	29	30	28	29	30	31			
31													

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
31	1	2	3	4 Turfway Park <i>(optional)</i>	5 Turfway Park	6 Turfway Park
7 Turfway Park	8	9	10	11 Turfway Park <i>(optional)</i>	12 Turfway Park	13 Turfway Park
14 Turfway Park	15	16	17	18 Turfway Park <i>(optional)</i>	19 Turfway Park	20 Turfway Park
21 Turfway Park	22	23	24	25 Turfway Park <i>(optional)</i>	26 Turfway Park	27 Turfway Park
28 Turfway Park	1	2	3	4	5	6
7	8	Thoroughbred Host Track Status 				

March 2021

February '21							April '21						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
	1	2	3	4	5	6					1	2	3
7	8	9	10	11	12	13	4	5	6	7	8	9	10
14	15	16	17	18	19	20	11	12	13	14	15	16	17
21	22	23	24	25	26	27	18	19	20	21	22	23	24
28							25	26	27	28	29	30	

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
28	1	2	3	4 Turfway Park <i>(optional)</i>	5 Turfway Park	6 Turfway Park
7 Turfway Park	8	9	10	11 Turfway Park <i>(optional)</i>	12 Turfway Park	13 Turfway Park
14 Turfway Park	15	16	17	18 Turfway Park <i>(optional)</i>	19 Turfway Park	20 Turfway Park
21 Turfway Park	22	23	24	25 Turfway Park <i>(optional)</i>	26 Turfway Park	27 Turfway Park
28 Turfway Park	29	30	31	1	2	3
4	5	<p style="text-align: center;">Thoroughbred Host Track Status</p> <p style="text-align: center;"> Turfway Park Keeneland Churchill Downs Ellis Park Kentucky Downs </p>				

April 2021

March '21							May '21						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
	1	2	3	4	5	6							1
7	8	9	10	11	12	13	2	3	4	5	6	7	8
14	15	16	17	18	19	20	9	10	11	12	13	14	15
21	22	23	24	25	26	27	16	17	18	19	20	21	22
28	29	30	31				23	24	25	26	27	28	29
							30	31					

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
28	29	30	31	1 Keeneland	2 Keeneland	3 Keeneland
4 <i>Easter</i>	5	6	7 Keeneland	8 Keeneland	9 Keeneland <i>Good Friday</i>	10 Keeneland
11 Keeneland	12	13	14 Keeneland	15 Keeneland	16 Keeneland	17 Keeneland
18 Keeneland	19	20	21 Keeneland	22 Keeneland	23 Keeneland	24 Churchill Downs
25	26	27 Churchill Downs	28 Churchill Downs	29 Churchill Downs	30 Churchill Downs <i>KY Oaks</i>	1
2	3	Thoroughbred Host Track Status 				

May 2021

April '21							June '21						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
				1	2	3			1	2	3	4	5
4	5	6	7	8	9	10	6	7	8	9	10	11	12
11	12	13	14	15	16	17	13	14	15	16	17	18	19
18	19	20	21	22	23	24	20	21	22	23	24	25	26
25	26	27	28	29	30		27	28	29	30			

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
25	26	27	28	29	30	1 Churchill Downs Ky Derby
2	3	4	5 Churchill Downs <i>(optional)</i>	6 Churchill Downs	7 Churchill Downs	8 Churchill Downs
9 Churchill Downs	10	11	12 Churchill Downs <i>(optional)</i>	13 Churchill Downs	14 Churchill Downs	15 Churchill Downs
16 Churchill Downs	17	18	19 Churchill Downs <i>(optional)</i>	20 Churchill Downs	21 Churchill Downs	22 Churchill Downs
23 Churchill Downs	24	25	26 Churchill Downs <i>(optional)</i>	27 Churchill Downs	28 Churchill Downs	29 Churchill Downs
30 Churchill Downs	31 Churchill Downs Memorial Day	<p style="text-align: center;">Thoroughbred Host Track Status</p> <p> Turfway Park Keeneland Churchill Downs Ellis Park Kentucky Downs </p>				

June 2021

May '21							July '21							
S	M	T	W	T	F	S	S	M	T	W	T	F	S	
						1						1	2	3
2	3	4	5	6	7	8	4	5	6	7	8	9	10	
9	10	11	12	13	14	15	11	12	13	14	15	16	17	
16	17	18	19	20	21	22	18	19	20	21	22	23	24	
23	24	25	26	27	28	29	25	26	27	28	29	30	31	
30	31													

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
30	31	1	2 Churchill Downs <i>(optional)</i>	3 Churchill Downs	4 Churchill Downs	5 Churchill Downs
6 Churchill Downs	7	8	9 Churchill Downs <i>(optional)</i>	10 Churchill Downs	11 Churchill Downs	12 Churchill Downs
13 Churchill Downs	14	15	16 Churchill Downs <i>(optional)</i>	17 Churchill Downs	18 Churchill Downs	19 Churchill Downs
20 Churchill Downs	21	22	23 Churchill Downs <i>(optional)</i>	24 Churchill Downs	25 Churchill Downs	26 Churchill Downs
27 Ellis Park	28 Ellis Park <i>(optional)</i>	29 Ellis Park <i>(optional)</i>	30 Ellis Park <i>(optional)</i>	1	2	3
4	5	Thoroughbred Host Track Status 				

July 2021

June '21							August '21						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
		1	2	3	4	5	1	2	3	4	5	6	7
6	7	8	9	10	11	12	8	9	10	11	12	13	14
13	14	15	16	17	18	19	15	16	17	18	19	20	21
20	21	22	23	24	25	26	22	23	24	25	26	27	28
27	28	29	30				29	30	31				

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
27	28	29	30	1 Ellis Park	2 Ellis Park ECL @ The Red Mile	3 Ellis Park ECL @ The Red Mile
4 Ellis Park ECL @ The Red Mile Independence Day	5 Ellis Park <i>(optional)</i>	6 Ellis Park <i>(optional)</i>	7 Ellis Park <i>(optional)</i>	8 Ellis Park <i>(optional)</i>	9 Ellis Park ECL @ The Red Mile	10 Ellis Park ECL @ The Red Mile
11 Ellis Park ECL @ The Red Mile	12 Ellis Park <i>(optional)</i>	13 Ellis Park <i>(optional)</i>	14 Ellis Park <i>(optional)</i>	15 Ellis Park <i>(optional)</i>	16 Ellis Park ECL @ The Red Mile	17 Ellis Park ECL @ The Red Mile
18 Ellis Park ECL @ The Red Mile	19 Ellis Park <i>(optional)</i>	20 Ellis Park <i>(optional)</i>	21 Ellis Park <i>(optional)</i>	22 Ellis Park <i>(optional)</i>	23 Ellis Park ECL @ The Red Mile	24 Ellis Park ECL @ The Red Mile
25 Ellis Park	26 Ellis Park <i>(optional)</i>	27 Ellis Park <i>(optional)</i>	28 Ellis Park <i>(optional)</i>	29 Ellis Park <i>(optional)</i>	30 Ellis Park	31 Ellis Park
1	2	Thoroughbred Host Track Status 				

August 2021

July '21							September '21						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
				1	2	3				1	2	3	4
4	5	6	7	8	9	10	5	6	7	8	9	10	11
11	12	13	14	15	16	17	12	13	14	15	16	17	18
18	19	20	21	22	23	24	19	20	21	22	23	24	25
25	26	27	28	29	30	31	26	27	28	29	30		

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1 Ellis Park The Red Mile	2 Ellis Park <i>(optional)</i> The Red Mile	3 Ellis Park <i>(optional)</i> The Red Mile	4 Ellis Park <i>(optional)</i>	5 Ellis Park <i>(optional)</i>	6 Ellis Park	7 Ellis Park
8 Ellis Park The Red Mile	9 Ellis Park <i>(optional)</i> The Red Mile	10 Ellis Park <i>(optional)</i> The Red Mile	11 Ellis Park <i>(optional)</i>	12 Ellis Park <i>(optional)</i>	13 Ellis Park	14 Ellis Park
15 Ellis Park The Red Mile	16 Ellis Park <i>(optional)</i> The Red Mile	17 Ellis Park <i>(optional)</i> The Red Mile	18 Ellis Park <i>(optional)</i>	19 Ellis Park <i>(optional)</i>	20 Ellis Park	21 Ellis Park
22 Ellis Park The Red Mile	23 Ellis Park <i>(optional)</i> The Red Mile	24 Ellis Park <i>(optional)</i> The Red Mile	25 Ellis Park <i>(optional)</i>	26 Ellis Park <i>(optional)</i>	27 Ellis Park	28 Ellis Park
29 Ellis Park The Red Mile	30 Ellis Park <i>(optional)</i> The Red Mile	31 Ellis Park <i>(optional)</i> The Red Mile	1	2	3	4
5	6	<p style="text-align: center;">Thoroughbred Host Track Status</p> <p> Turfway Park Keeneland Churchill Downs Ellis Park Kentucky Downs </p>				

September 2021

August '21							October '21							
S	M	T	W	T	F	S	S	M	T	W	T	F	S	
1	2	3	4	5	6	7							1	2
8	9	10	11	12	13	14	3	4	5	6	7	8	9	
15	16	17	18	19	20	21	10	11	12	13	14	15	16	
22	23	24	25	26	27	28	17	18	19	20	21	22	23	
29	30	31					24	25	26	27	28	29	30	
							31							

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
29	30	31	1 Ellis Park <i>(optional)</i>	2 Ellis Park <i>(optional)</i>	3 Ellis Park	4 Ellis Park
5 Kentucky Downs The Red Mile	6 Kentucky Downs The Red Mile <i>Labor Day</i>	7 The Red Mile	8 Kentucky Downs	9 Kentucky Downs	10	11 Kentucky Downs
12 Kentucky Downs The Red Mile	13 The Red Mile	14 The Red Mile	15 Churchill Downs <i>(optional)</i>	16 Churchill Downs	17 Churchill Downs	18 Churchill Downs
19 Churchill Downs The Red Mile	20 The Red Mile	21 The Red Mile	22 Churchill Downs <i>(optional)</i>	23 Churchill Downs	24 Churchill Downs	25 Churchill Downs
26 Churchill Downs	27	28	29 Churchill Downs <i>(optional)</i>	30 Churchill Downs	1	2
3	4	<p style="text-align: center;">Thoroughbred Host Track Status</p> <p style="text-align: center;"> Turfway Park Keeneland Churchill Downs Ellis Park Kentucky Downs </p>				

October 2021

September '21							November '21						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
			1	2	3	4		1	2	3	4	5	6
5	6	7	8	9	10	11	7	8	9	10	11	12	13
12	13	14	15	16	17	18	14	15	16	17	18	19	20
19	20	21	22	23	24	25	21	22	23	24	25	26	27
26	27	28	29	30			28	29	30				

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
26	27	28	29	30	1 Churchill Downs The Red Mile	2 Churchill Downs The Red Mile
3 Churchill Downs The Red Mile	4	5	6	7	8 Keeneland The Red Mile	9 Keeneland The Red Mile
10 Keeneland The Red Mile	11	12 Oak Grove	13 Keeneland Oak Grove	14 Keeneland Oak Grove	15 Keeneland	16 Keeneland
17 Keeneland	18 Oak Grove	19 Oak Grove	20 Keeneland Oak Grove	21 Keeneland	22 Keeneland	23 Keeneland
24 Keeneland	25 Oak Grove	26 Oak Grove	27 Keeneland Oak Grove	28 Keeneland	29 Keeneland	30 Keeneland
31 Churchill Downs	1	<p style="text-align: center;">Thoroughbred Host Track Status</p> <p> Turfway Park Keeneland Churchill Downs Ellis Park Kentucky Downs </p>				

November 2021

October '21							December '21						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
					1	2				1	2	3	4
3	4	5	6	7	8	9	5	6	7	8	9	10	11
10	11	12	13	14	15	16	12	13	14	15	16	17	18
17	18	19	20	21	22	23	19	20	21	22	23	24	25
24	25	26	27	28	29	30	26	27	28	29	30	31	
31													

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
31 Churchill Downs	1 Oak Grove	2 Oak Grove	3 Churchill Downs Oak Grove	4 Churchill Downs	5 Churchill Downs	6 Churchill Downs
7 Churchill Downs	8 Oak Grove	9 Oak Grove	10 Churchill Downs Oak Grove	11 Churchill Downs	12 Churchill Downs	13 Churchill Downs
14 Churchill Downs Oak Grove	15 Oak Grove	16 Oak Grove	17 Churchill Downs	18 Churchill Downs	19 Churchill Downs	20 Churchill Downs
21 Churchill Downs	22 Oak Grove	23 Oak Grove	24 Churchill Downs	25 Churchill Downs	26 Churchill Downs	27 Churchill Downs
28 Churchill Downs	29	30	1	2 <i>Thanksgiving Day</i>	3	4
5	6	<p style="text-align: center;">Thoroughbred Host Track Status</p> <p> Turfway Park Keeneland Churchill Downs Ellis Park Kentucky Downs </p>				

December 2021

November '21							January '22						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
	1	2	3	4	5	6							1
7	8	9	10	11	12	13	2	3	4	5	6	7	8
14	15	16	17	18	19	20	9	10	11	12	13	14	15
21	22	23	24	25	26	27	16	17	18	19	20	21	22
28	29	30					23	24	25	26	27	28	29
							30	31					

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
28	29	30	1 Turfway Park <i>(optional)</i>	2 Turfway Park	3 Turfway Park	4 Turfway Park
5 Turfway Park	6	7	8 Turfway Park <i>(optional)</i>	9 Turfway Park	10 Turfway Park	11 Turfway Park
12 Turfway Park	13	14	15 Turfway Park <i>(optional)</i>	16 Turfway Park	17 Turfway Park	18 Turfway Park
19 Turfway Park	20	21	22 Turfway Park <i>(optional)</i>	23 Turfway Park	24	25
26 Turfway Park	27	28	29 Turfway Park <i>(optional)</i>	30 Turfway Park	31 Turfway Park <i>Christmas Eve</i> <i>New Year's Eve</i>	<i>Christmas Day</i>
2	3	<p style="text-align: center;">Thoroughbred Host Track Status</p> <p> Turfway Park Keeneland Churchill Downs Ellis Park Kentucky Downs </p>				



ANDY BESHEAR
GOVERNOR

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EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

To: Commissioners, Kentucky Horse Racing Commission

From: Barbara Borden, Chief Steward
Jennifer Wolsing, General Counsel

Date: October 5, 2020

Re: Proposed changes to 810 KAR 2:020

Chief Steward Barbara Borden recommends two changes to 810 KAR 2:020.

First, Section 1(6) is amended to preclude racing associations from assigning a racing official to work in more than one (1) position during any particular race. The amendment allows for multiple-capacity work during a race in the event of an emergency. However, the amendment will prevent racing associations from making an emergency assignment into a permanent one.

The Commission is invested in preventing racing association officials from working multiple jobs for at least two (2) reasons. First, an official working multiple jobs increases the possibility of errors, which negatively affects the integrity of racing. Second, this amendment preserves the Commission's initial approval of racing officials, which almost always includes different people working in every position.

Second, Section 8 is amended to state that the Commission may approve a horse identifier hired by the association, who will be responsible for properly identifying all horses entered to be raced.¹ A horse identifier accompanies the Commission's veterinarian and certifies that each starter in the paddock matches its registration certificate, digital certificate, and/or racing permit by examining the horse. This provision was inadvertently removed during the Red Tape Reduction initiative in the previous administration.

The Rules Committee voted to recommend approval at its September 29, 2020 meeting. The KHRC staff recommends approval.

¹ Of note, all racing officials are employed by the association and approved by the Commission. Historically, this position was held by a Commission employee.

COMMISSION ACTION:

Approve

Deny

Defer



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

JONATHAN RABINOWITZ
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

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To: Commissioners, Kentucky Horse Racing Commission

From: Barbara Borden, Chief Steward
Jennifer Wolsing, General Counsel

Date: October 5, 2020

Re: Proposed changes to 810 KAR 4:010

Chief Steward Barbara Borden recommends that the Commission approve two (2) amendments to 810 KAR 4:010.

First, Section 3 should be amended to require that an electronic horse identification microchip should be verified by agents of the Thoroughbred Racing Protective Bureau. The microchip should also be documented in The Jockey Club database, or otherwise documented in the records of the comparable authorized organization applicable to the horse's breed.

Second, Section 1 should be amended to refer to a horse's virtual or digital registration certificate. The current version of the regulation uses the term "virtual certificate." However, The Jockey Club refers to electronic certificates as "digital certificates." This regulatory amendment encompasses both terms to avoid confusion.

In addition, Equine Medical Director Bruce Howard and Chief Veterinarian Nicholas Smith also recommend that the Commission approve three (3) further amendments to 810 KAR 4:010.

First, Section 7 should be amended to require commission veterinarian approval for a first time starter five (5) years of age or older prior to entry, in order to best ensure the safety and well-being of the horse.

Second, Section 9 should be amended to prohibit a filly or mare in-foal from being entered in a race 120 days or more after the date of last cover. This is also a measure intended to best ensure the safety and well-being of the horse.

Finally, Section 10 should be amended to require commission veterinarian approval for a horse that has previously raced, but has made no starts in the last 365 days or more, prior to entry. This is a measure intended to best ensure the safety and well-being of the horse as well.

The Rules Committee voted to recommend approval at its September 29, 2020 meeting. The KHRC staff recommends approval.



COMMISSION ACTION:

Approve

Deny

Defer



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
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To: Commissioners, Kentucky Horse Racing Commission

From: Barbara Borden, Chief Steward
Jennifer Wolsing, General Counsel

Date: October 5, 2020

Re: Proposed changes to 810 KAR 4:030

Chief Steward Barbara Borden recommends the following proposed amendments to 810 KAR 4:030.

First, Section 11 currently allows owners to enter into the also-eligible list for a race at the first part of the week and enter the body of a race in the latter part of the week. Since horses do not race more than once a week, this practice allows horse owners to investigate both races, and then make their choice as to which race to run. This leaves an undetermined situation for the racing association. Such a practice can harm the association if the owner chooses to race on their also-eligible day. This is because the association then loses that horse on the day when the horse was scheduled to run in the body of the race. The association may not have an also-eligible list from which to draw another horse.

The attached proposed amendment to Section 11 bars a horse from racing on its also-eligible day when it is entered for a race on a future race date. This prohibition does not include instances where the horse is listed in the body of the race at a subsequent meet in Kentucky, or a race in another jurisdiction. Additionally, the proposed amendment changes the word 'succeeding' to 'future,' in hopes of using language that will generate less confusion.

Second, due to the above issues, the attached proposed amendment to Section 12(3) states that preferences shall not be given to a horse if it is also entered for a race at a future race day.

Third, the stewards recommend amending Section 15. The current version of the regulation requires scratch time to be at a specific time when there is an also-eligible list. However, the stewards sometimes exercise their discretion to extend that scratch time if needed. For instance, if it is raining and there is a possibility that horses will have to race off the turf, the stewards will sometimes extend scratch time so that all parties can make a decision as to whether to race their horses. The regulation does not explicitly provide for this conduct, and it should be amended to account for the stewards' practical and necessary actions. Please see the attached proposed amendment to Section 15(1)(b).

Equine Medical Director Bruce Howard and Ms. Borden further recommend that Section 17 of the regulation, relating to the veterinarian's examination, should be amended in the following ways. As an initial matter, two (2) obsolete references to the racing secretary should be replaced with references to the Equine Medical Director or his or her designee. Additionally, the



regulation contains an exception for a situation in which a racing secretary contacts a trainer to fill an extra race. This reference should be broadened to allow for the exception anytime the secretary contacts a trainer to fill *any* race. Additionally, the written certification paperwork should simply be due on the date that the horse is entered. The reference to providing the paperwork prior to the race should be removed, as it is redundant and confusing.

The Rules Committee voted to recommend approval at its September 29, 2020 meeting. The KHRC staff recommends approval.

COMMISSION ACTION:

- _____ Approve
- _____ Deny
- _____ Defer



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

JONATHAN RABINOWITZ
CHAIRMAN

MARC A. GUILFOIL
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To: Commissioners, Kentucky Horse Racing Commission
From: Barbara Borden, Chief Steward
Jennifer Wolsing, General Counsel
Date: October 5, 2020
Re: Proposed changes to 810 KAR 4:060

Chief Steward Barbara Borden recommends a change to 810 KAR 4:060 Section 4 to more clearly indicate that the stewards have the discretion to disqualify either one or both coupled horses in the event of a foul by one of the horses. Specifically, if a horse commits a foul to benefit a coupled horse, then both horses should be disqualified. However, if a horse commits a foul that does not benefit the coupled horse, then both horses should not be disqualified. Additionally, in other jurisdictions, when horses owned by the same person run uncoupled, a jockey sometimes interferes with a horse in order to benefit the owner's other horse. The stewards would like to amend the regulations in order to give them the flexibility to address that situation, even when the horses are not coupled as an entry.

The attached, proposed amendment to Section 4(1)(c) explicitly provides the stewards with the discretion to address fouls involving coupled horses, as well as fouls involving horses that are not coupled, but are owned by the same person.

The Rules Committee voted to recommend approval at its September 29, 2020 meeting. The KHRC staff recommends approval.

COMMISSION ACTION:

_____ Approve
_____ Deny
_____ Defer





ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

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To: Commissioners, Kentucky Horse Racing Commission
From: Barbara Borden, Chief Steward
Jennifer Wolsing, General Counsel
Date: October 5, 2020
Re: Proposed changes to 810 KAR 8:060

Chief Steward Barbara Borden recommends a change to 810 KAR 8:060 Section 2(2)(c)(3). Equine Medical Director Dr. Bruce Howard agrees to this change.

Currently, the Commission is required to sample the first, second, and third finishers in all races with purses of \$100,000.00 or more. This is an outdated number, which does not take into account modern purse structures.

This memorandum recommends that the Commission should be required to sample first, second, and third place finishers only in races with purses of \$200,000.00 or more. 810 KAR 8:060 was written when the average purse was considerably lower than it is now. When this regulation was initially promulgated, the \$100,000.00 floor encompassed only a few races per year that were outside of the stakes race provision. At this time, however, our purse structure is such that this \$100,000 floor includes maiden races, allowance races, and others that were not intended to be included.

Of note, the stewards have the latitude to send any horses to the test barn at any time. If there is a horse of interest, the stewards will test that horse at their discretion.

The Rules Committee voted to recommend approval at its September 29, 2020 meeting. The KHRC staff recommends approval.

COMMISSION ACTION:

_____ Approve
_____ Deny
_____ Defer



1 PUBLIC PROTECTION CABINET

2 Kentucky Horse Racing Commission

3 (Amendment)

4 810 KAR 2:020. Thoroughbred and flat racing officials.

5 RELATES TO: KRS 230.215, 230.240, 230.260

6 STATUTORY AUTHORITY: KRS 230.215(2)

7 NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) authorizes the
8 commission to promulgate administrative regulations prescribing conditions under which all horse
9 racing is conducted in the Commonwealth. This administrative regulation establishes the
10 qualifications, duties, powers, and responsibilities of racing officials.

11 Section 1. Racing Officials.

12 (1) Racing officials at a thoroughbred or other flat race meeting shall include:

13 (a) Steward;

14 (b) Racing secretary;

15 (c) Assistant racing secretary;

16 (d) Clerk of scales;

17 (e) Paddock judge;

18 (f) Starter;

19 (g) Placing judge;

20 (h) Timer;

21 (i) Identifier;

1 (j) Veterinarian;

2 (k) Assistant starter;

3 (l) Jockey room custodian;

4 (m) Jockey room employee;

5 (n) Valet; and

6 (o) Outrider.

7 (2) Persons appointed by the association to serve as racing officials during a race meeting
8 shall:

9 (a) First be approved by the commission;

10 (b) Serve only so long as approved by the commission; and

11 (c) Be under the supervision of the stewards.

12 (3) While serving as a racing official, a person shall not:

13 (a) Indirectly or directly, own a beneficial interest in:

14 1. A horse of the breed in which the person is engaged as a racing official; or

15 2. An association under his or her supervision;

16 (b) Cause to be bought or sold, for himself or another, a horse under his or her supervision;

17 (c) Buy or sell, for himself or another, a right to, or contract with, a jockey or apprentice

18 jockey under his or her supervision;

19 (d) Wager on a race under his or her supervision;

20 (e) Write or solicit horse insurance; or

21 (f) Have a monetary interest in a business which seeks the patronage of horsemen or racing

22 associations.

1 (4) A racing official serving in the capacity of steward, placing judge, clerk of scales,
2 starter, or horse identifier shall take and satisfactorily pass an optical examination within one (1)
3 year prior to the race meeting at which he or she serves. The examination shall show corrected
4 twenty-twenty (20-20) vision and an ability to distinguish colors correctly.

5 (5) A racing official who desires to leave his or her employment during the race meeting
6 shall notify the stewards; if a vacancy occurs among racing officials other than stewards, the
7 association shall promptly appoint a successor, subject to approval of the commission. If the
8 association does not appoint a successor in time to permit the orderly conduct of racing, then the
9 stewards shall immediately appoint a temporary successor.

10 (6) A racing official shall not officiate in multiple capacities on any one (1) race. The
11 stewards may, in case of an emergency, approve a racing official to serve in more than one (1)
12 capacity until such time as an additional official can be appointed by the association and approved
13 by the commission.

14 Section 2. Racing Secretary. The racing secretary shall be responsible for:

- 15 (1) The programming of races during the race meeting;
- 16 (2) Compiling and publishing condition books;
- 17 (3) Assigning weights for handicap races;
- 18 (4) Receiving entries, subscriptions, declarations, and scratches;
- 19 (5) Safekeeping of registration certificates, digital certificates, and racing permits for
20 horses, recording information required on the certificates, digital certificates, and permits, and
21 returning the certificates, digital certificates, and permits to owners at the conclusion of the race
22 meeting;

1 (6) Maintaining a record of stakes fees received, arrears, jockeys' fees, purchase money in
2 claiming races, and other monies received incident to the race meeting, and making available
3 payment to those persons entitled thereto within fourteen (14) days after the conclusion of the race
4 meeting;

5 (7) Supervision of the horsemen's bookkeeper's handling of the "horseman's account";

6 (8) Daily posting of entries for the benefit of the public as soon as possible after entries
7 have been closed and declarations have been made;

8 (9) Assigning stall applicants stabling as he or she may deem proper after consultation with
9 the stewards, and maintaining a record of arrival and departure of all horses stabled on association
10 grounds; and

11 (10) Publishing the official daily program, and ensuring that it contains accurate
12 information of the following:

13 (a) Sequence of races to be run and post time for the first race;

14 (b) Purse, conditions, and distance for each race, and current track record for the distance;

15 (c) The full name of licensed owners of each horse, indicated as lessee if applicable, and
16 description of racing colors to be carried;

17 (d) The full name of the trainer and the jockey named for each horse together with the
18 weight to be carried;

19 (e) Notices that:

20 1. Jockeys will carry approximately three (3) pounds more than the published weight to
21 account for safety helmets and safety vests that are not included in required weighing out
22 procedures; and

1 2. Upon approval of the stewards, a jockey may be allowed up to three (3) pounds
2 additional clothing and equipment for inclement weather or track conditions;

3 (f) The saddle cloth number or designation for each horse, and the post position for each
4 horse, if there is a variance with the saddle cloth designation;

5 (g) Identification of each horse by name, color, sex, age, sire, and dam; and

6 (h) Other information as may be requested from time to time by the association or the
7 commission including changes of equipment, use of permitted race day medications, and wagering
8 types available.

9 Section 3. Clerk of Scales. The clerk of scales shall be responsible for:

10 (1) The security, regulation, and control of the jockeys' room, its equipment, and the
11 determination of which personnel are permitted access;

12 (2) Weighing out a jockey no later than fifteen (15) minutes prior to the race in which the
13 jockey is scheduled to ride and recording all overweights, which shall immediately be posted and
14 announced to the public before each race;

15 (3) Weighing in a jockey immediately after the finish of each race in which the jockey rode
16 and promptly notifying the stewards whether a jockey weighed in underweight;

17 (4) Safekeeping of all racing colors;

18 (5) Reporting all color changes or jockey changes from that listed in the official daily
19 program and causing the changes to be posted and announced to the public before each race;

20 (6) Supervision of all valets and the issuance of numbered saddle cloths and equipment for
21 each horse;

22 (7) Ensuring accuracy of the scales and periodic tests of them;

1 (8) Submitting to the racing secretary at the close of each racing day a statement of weight
2 carried in each race by each jockey, noting overweight, if any; and

3 (9) Immediately transmitting all complaints, protests, objections, or disputes submitted to
4 the clerk of scales to the stewards, and if the stewards are unavailable, to the commission.

5 Section 4. Paddock Judge. The paddock judge shall have general supervision of the
6 paddock and shall be responsible for:

7 (1) Assembling the horses and jockeys in the paddock no later than fifteen (15) minutes
8 before the scheduled post time for each race;

9 (2) Maintaining a written record of all equipment for each horse saddled, inspecting all the
10 equipment, and reporting any changes in the equipment to the stewards;

11 (3) Inspecting the bandages of each horse. The paddock judge may order the bandages
12 removed or replaced;

13 (4) Paddock schooling of horses approved for schooling by the stewards; and

14 (5) Ensuring that the saddling of horses is orderly, open to public view and free from
15 interference, and ensuring that horses are mounted at the same time and leave the paddock for the
16 post in proper sequence.

17 Section 5. Starter.

18 (1) The starter shall be responsible for the fair and equal start of horses in a race at the
19 scheduled starting time by means of a starting gate and bell, or other device activated by his signal.

20 (2) So far as practical, the starter shall cause horses to be loaded in order of post position,
21 except the starter may in his discretion load an unruly or fractious horse out of order or may start
22 the unruly or fractious horse on the outside of the starting gate and one (1) length behind the
23 starting line. With permission of the stewards, a race may be started without a starting gate. The

1 starter may employ as many assistant starters as needed and shall daily change the gate position of
2 each assistant starter without notice to the assistant starters until the field for the first race comes
3 upon the track.

4 (3) A horse shall not be permitted to start in a race unless approval is given by the starter.
5 The starter shall maintain a schooling list that shall be posted in the racing secretary's office listing
6 the names of horses ineligible to start due to inadequate training at leaving the gate. Horses shall
7 be schooled under the supervision of the starter or his assistants.

8 (4) The starter shall:

9 (a) Have constant radio or telephone communication with the stewards from the time the
10 horses leave the paddock until the field is sent away;

11 (b) Report to the stewards any disobedience of his orders or attempts to take unfair
12 advantage at the starting gate and recommend penalties for offenders.

13 (5) An assistant starter shall not handle a horse until instructed to do so by the starter.

14 (6) A starter or assistant starter shall not:

15 (a) Accept a gratuity or payment other than his regular salary, directly or indirectly, for
16 services in starting a race; (b) Wager on a race; or

17 (c) Strike a jockey or use abusive language to a jockey.

18 (7) The starter shall maintain a written record showing the names of starters during the day
19 and the names of the assistant starters who handled each horse. This record shall be made available
20 to the stewards upon request.

21 Section 6. Placing Judges.

22 (1) Three (3) placing judges shall occupy a stand directly above the finish line during the
23 running of each race. The placing judges shall:

1 (a) Take special note of racing colors and distinguishing equipment carried by each horse;

2 (b) Determine the order of the horses as they cross the finish line by consideration of the
3 respective noses of the horses; and

4 (c) Cause the numbers of the first four (4) horses to cross the finish line to be posted on the
5 result board. The numbers of additional horses shall be posted in their correct order of finish if
6 necessitated by an exotic wager.

7 (2) A photo finish camera approved by the commission shall be used as an aid by the
8 placing judges in determining the order of the horses as they cross the finish line. Placing judges
9 may request a photo to assist in determining margins of less than a half-length.

10 Section 7. Timer.

11 (1) The timer shall occupy a stand directly above the finish line during the running of each
12 race to record the official time.

13 (2) The timer shall:

14 (a) Record the fractional time of leading horses during each race and the final time of the
15 first horse to cross the finish line; and

16 (b) Maintain a written record of fractional and final times of each race and have them
17 available for inspection by the stewards or commission on request.

18 (3) The timer may use an electrical or mechanical timing device approved by the
19 commission as an aid in determining the official time of each race.

20 Section 8. Horse Identifier

21 (1) The commission may employ a horse identifier who shall be responsible for the proper
22 identification of all horses entered to be raced.

1 (2) The horse identifier may accompany the commission veterinarian on the prerace
2 examination of all starters.

3 (3) The horse identifier shall:

4 (a) Certify that each starter in the paddock matches its registration certificate, digital
5 certificate, or racing permit by examining the horse's:

6 1. Sex, age, color, markings, and lip tattoo or microchip; and

7 2. Photographs as an aid in identification;

8 (b) Notify the paddock judge and the stewards if he or she has any doubt as to the
9 identity of a horse entered to be raced;

10 (c) Be responsible for the safekeeping and return to owners at the conclusion of the
11 race the following:

12 1. Registration certificates, digital certificates or racing permits; and

13 2. Racing permits for horses; and

14 (d) Record information from registration certificates, digital certificates, and racing
15 permits.

16

17

810 KAR 4:010. Horses.

RELATES TO: KRS 230.215

STATUTORY AUTHORITY: KRS 230.215(2), 230.260(8)

NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) and 230.260(8) authorize the Kentucky Horse Racing Commission to promulgate administrative regulations regulating horse racing in Kentucky. This administrative regulation establishes requirements for the participation of horses in horse race meetings, protects the safety and welfare of the horse, and creates a level playing field for participants thereby protecting the integrity of pari-mutuel wagering.

Section 1. Definition. "Electronic registration system" means a software application available online and approved by the commission that allows an association's racing secretary or the secretary's designee, or horse identifier or the identifier's designee, full access to horse and trainer records from all tracks in North America, including current owner information.

Section 2. Registration and Identification Required.

(1) A horse shall not be entered or raced in this state unless:

(a) The horse is duly registered, as applicable, in The Jockey Club breed registry, the American Quarter Horse Association, the Appaloosa Horse Club, the Arabian Horse Association Registry, or the American Paint Horse Association, or their respective successors; and

(b)1. The registration certificate, virtual or digital certificate, or racing permit issued by the applicable breed registry for the horse is on file with the racing secretary; or

2. The information contained on the registration certificate, virtual or digital certificate, or racing permit is available to the racing secretary through the electronic registration system.

(2) The stewards may at any time require presentation of a horse's registration certificate, virtual or digital certificate, or racing permit or other proof of ownership.

(3) Upon claim, sale, or any other transfer of ownership, the horse's registration certificate or racing permit shall be given to the new owner, and any virtual or digital certificate shall be transferred to the new owner electronically. The new owner shall report the change in ownership to the stewards.

(4) If the electronic registration system fails for any reason, the stewards may require presentation of a horse's registration certificate, virtual or digital certificate, or racing permit prior to a horse being entered or raced in Kentucky.

Section 3. Ringers Prohibited.

(1) A horse shall not be entered or raced in this state designated by a name other than the name under which the horse is currently registered with the applicable breed registry. If a horse's name is changed with the applicable breed registry, and the horse has raced under its previous name, the horse's former name shall be shown parenthetically in the daily race program the first three (3) times the horse races after the name change.

(2) A person shall not cause or permit the correct identity of a horse to be concealed or altered. A person shall not refuse to reveal the correct identity of a horse that he or she owns or is in his or her care to a racing official or member of the regular news media.

(3) A horse shall not race in this state unless identified by:

(a) A legible lip tattoo number applied by agents of the Thoroughbred Racing and Protective Bureau, or by the comparable authorized organization applicable to the breed of the horse; An electronic horse identification microchip that accurately identifies the horse, ~~and~~ is compliant with the international standards ISO 11784, is verified by agents of the Thoroughbred Racing

Protective Bureau, and is documented in The Jockey Club database or by the comparable authorized organization applicable to the breed of the horse; or (c) With regards to a horse from a foreign jurisdiction participating in a graded stakes race, has otherwise been correctly identified to the stewards' satisfaction.

(4) A horse shall not be entered or raced in this state if previously involved in a "ringer" case to the extent that:

(a) A person having control of the horse knowingly entered or raced the horse while designated by a name other than the name under which the horse was registered with The Jockey Club; or

(b) The person having control of the horse participated in or assisted in the entry or racing of some other horse under the name registered as belonging to the horse in question.

Section 4. Denerving.

(1) A horse that has had a chemical, surgical, or thermal neurectomy at or above the fetlock shall not be permitted to race.

(2) A horse that has had a palmar or plantar digital neurectomy may be permitted to race if:

(a) The neurectomy has been reported by the trainer to the stewards; and

(b) The horse has been approved for racing by the commission veterinarian prior to being entered to race.

(3) A horse on which a neurectomy has been performed shall have that fact designated on its registration certificate, virtual certificate, racing permit, and entry in the electronic registration system. Responsibility for ensuring that the neurectomy is correctly noted on the horse's registration certificate, virtual certificate, racing permit, and entry in the electronic registration system shall fall:

(a) Jointly on the practicing veterinarian who performed the operation and the trainer of the denerved horse if the neurectomy was performed at a location under the commission's jurisdiction; and

(b) Solely on the owner of the denerved horse if the neurectomy was performed at a location not under the commission's jurisdiction.

(4) If a horse races in violation of this administrative regulation and participates in the purse distribution, then a protest shall not be considered unless submitted in writing to the stewards within forty-eight (48) hours after the race.

(5) If a horse races in violation of this administrative regulation and is claimed, then a protest shall not be considered unless the successful claimant submits a protest in writing within forty-eight (48) hours after the race requesting the claim be voided. If the claim is voided, the horse shall be returned to the owner who started the horse in the race, and the claim price shall be returned to the claimant.

(6) A list of all denerved horses shall be posted in the racing secretary's office.

Section 5. Health Certificate Required.

(1) A horse shall not be stabled on the grounds of a licensed association or any training center under the jurisdiction of the commission unless a Certificate of Veterinary Inspection is issued by an accredited veterinarian:

(a) Not more than ten (10) days prior to the horse's arrival on the grounds; or

(b) Within a lesser interval as prescribed by the racing association in consultation with the State Department of Agriculture.

(2) Notice of this requirement shall be included in the stall application of all licensed associations and training centers under the jurisdiction of the commission and all condition books of licensed associations.

Section 6. Workouts. A horse shall not be schooled in the paddock or taken onto a track on association grounds for training or workout, other than during normal training hours posted by the association, without special permission of the stewards.

Section 7. Thoroughbred Age Restrictions.

(1) A maiden six (6) years of age or older that has made five (5) life time starts on the flat shall not be entered or start.

(2) A first time starter five (5) years of age or older shall be approved by a commission veterinarian prior to entry.

Section 8. Other Age Restrictions. A quarterhorse, paint horse, Arabian, or Appaloosa horse six (6) years of age or older shall not be entered or raced in a race restricted to maidens. A horse thirteen (13) years of age or older shall not be entered or raced.

Section 9. Fillies and Mares Bred.

(1) A filly or mare that has been covered by a stallion shall:

(a) Be so reported to the racing secretary prior to being entered in a race; and

(b) Not be entered in a claiming race, unless a written release from the stallion owner is attached to the filly's or mare's registration certificate, or otherwise provided to the stewards, indicating that the stallion service fee has been paid or satisfied.

(2) A list of all fillies and mares so reported, showing the names of stallions to which they have been bred, shall be posted in the racing secretary's office.

(3) A filly or mare in-foal shall not be entered in a race 120 days or more after the date of last cover.

Section 10. Serviceable for Racing. A horse shall not be entered or raced that:

(1) Is not in serviceable, sound racing condition. The stewards may at any time require a horse on association grounds to be examined by a qualified person;

(2) Is posted on a veterinarian's list, stewards' list, or starter's list in any racing jurisdiction;

(3) Has previously raced, but has made no starts in the last 365 days or more, unless approved by a commission veterinarian prior to entry;

~~(3)~~(4) Is suspended in any jurisdiction;

~~(4)~~(5) Has been administered any drug in violation of 810 KAR 8:010;

~~(5)~~(6) Is blind or has seriously impaired vision in both eyes;

~~(6)~~(7) Is not correctly identified to the satisfaction of the stewards; or

~~(7)~~(8) Is owned wholly or in part by or is trained by an ineligible person.

Section 11. Equipment.

(1) Riding crops and blinkers shall be used consistently on a horse while racing.

(2) Permission to change use of any equipment used on a horse from its previous start shall be obtained from the stewards.

(3) A horse's tongue may be tied down during a race with a clean bandage or gauze.

(4) A horse's bridle shall not weigh more than two (2) pounds.

(5) Bits shall be of a metallic alloy base of stainless steel or aluminum and may be encased in rubber, plastic, or leather.

(6) War bridles and bitless bridles shall not be used.

(7) Bar shoes may be used for racing only with permission of the stewards.

(8) Any goading device, chain, spurs, electrical or mechanical device, or appliance, except for a riding crop, that can be used to alter the speed of a horse shall not be used on a horse in a

race or workout.

(9)(a) Any riding crop shall be subject to inspection and approval by the stewards or the clerk of the scales to ensure conformity with the specifications of paragraphs (c) through (e) of this subsection.

(b) Only riding crops meeting the specifications of this subsection, including the mandatory shock absorbing characteristics, may be used in thoroughbred racing and training.

(c) A riding crop shall have a:

1. Maximum weight of eight (8) ounces;
2. Maximum length, including flap, of thirty (30) inches; and
3. Minimum diameter of the shaft of three-eighths (3/8) inch.

(d)1. The only additional feature that may be attached to the riding crop is a flap that shall have a:

- a. Maximum length from the end of the shaft of one-half (1/2) inch; and
- b. Maximum width of one and six-tenths (1.6) inches, with a minimum width of eight-tenths (0.8) inch;
2. The flap from the end of the shaft shall not contain any reinforcements or additions;
3. There shall not be binding within seven (7) inches of the end of the flap;
4. The contact area of the shaft shall be smooth, with no protrusion or raised surface, and covered by shock absorbing material throughout its circumference; and
5. The flap shall have similar shock absorbing characteristics to that of the contact area.

(e) A riding crop shall not have:

1. Stingers or projections extending through the hole of a popper; and

2. Any metal parts.

(10)(a) The following shall not be used on the front shoes of horses while racing or training on any racing surface:

1. Horse shoes that have toe grabs;
2. Bends;
3. Jar calks;
4. Stickers; and
5. Any other traction device worn on the front shoes of horses.

(b) Wear plates with a height no greater than two (2) millimeters may be used on the front shoes of horses while racing or training.

(11) Indiscriminate or brutal use on a horse of a riding crop or any other equipment, as determined by the stewards, at any time on the grounds of a licensed racing association or training center under the jurisdiction of the commission shall be prohibited.

Section 12. Sex Alteration. Any alteration in the sex of a horse shall be reported by the horse's trainer to the racing secretary and to the appropriate breed registry applicable to the horse. The alteration shall be noted on the horse's registration certificate, racing permit, virtual certificate, or entry in the electronic system.

Section 13. Reporting Death of Horse. A licensed racing association or training center under the jurisdiction of the commission shall report the death or euthanization of any horse on its grounds immediately to the chief commission veterinarian.

Section 14. Postmortem Examination. A horse that dies or is euthanized on the grounds of a licensed association or training center under the jurisdiction of the commission shall undergo a postmortem examination at the discretion of the commission. If a postmortem examination is conducted:

- (1) All shoes and equipment on the horse's legs shall be left on the horse;
- (2) The commission, through its designee:
 - (a) Shall take possession of the horse upon death;
 - (b) Shall, if commission personnel are present, collect and submit for analysis blood, urine, bodily fluids, or other biologic specimens immediately, if possible before euthanization occurs; and
- (3) Shall coordinate with the owner or owner's licensed authorized agent to determine and address any insurance requirements. The remains of the horse shall not be returned after completion of the postmortem examination.
- (4) The presence of a prohibited substance in a specimen collected during the postmortem examination may constitute a violation of 810 KAR 8:010.

Section 15. Incorporation by Reference.

- (1) "ISO 11784", 2004 is incorporated by reference.
- (2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at the Kentucky Horse Racing Commission, 4063 Iron Works Parkway, Building B, Lexington, Kentucky, Monday through Friday, 8 a.m. to 4:30 p.m. (45 Ky.R. 1915, 3113; eff. 5-31-2019.)

1 PUBLIC PROTECTION CABINET

2 KENTUCKY HORSE RACING COMMISSION

3 (Amendment)

4 810 KAR 4:030. Entries, subscriptions, and declarations.

5 RELATES TO: KRS 230.215, 230.240, 230.290, 230.310, 230.320

6 STATUTORY AUTHORITY: KRS 230.215(2), 230.260(8)

7 NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) authorizes the Kentucky Horse
8 Racing Commission (the "commission") to promulgate administrative regulations prescribing the
9 conditions under which all horse racing is conducted in Kentucky. KRS 230.260(8) grants the
10 commission the authority to regulate conditions under which horse racing shall be conducted in
11 Kentucky. This administrative regulation establishes requirements for entry, subscription, and
12 declaration of horses in order to race.

13 Section 1. Definition.

14 "Subscriber" means an owner who enters a horse into a stakes race and pays the requisite
15 entry fee.

16 Section 2. Entering Required.

17 A horse shall not be qualified to start in any race unless it has been, and continues to be,
18 entered in the race. Entries or subscriptions for any horse, or the transfer of entries or
19 subscriptions for any horse, may be refused or cancelled by the association without notice or
20 reason given.

1 Section 3. Procedure for Making Entries.

2 (1) An entry, subscription, declaration, or scratch shall be filed with the racing secretary and
3 shall not be effective until received by the racing secretary. The racing secretary shall maintain a
4 record of the time of receipt of an entry, subscription, declaration, or scratch for a period of at
5 least one (1) year.

6 (2) An entry shall be made by the owner, the trainer, or an authorized agent of the owner
7 or trainer. An entry shall be in the name of a horse's licensed owner, as completely disclosed and
8 registered with the racing secretary pursuant to subsection (3) of this section.

9 (3) An entry shall be submitted in writing or by telephone to the racing secretary. A
10 telephone entry shall be confirmed promptly in writing if requested by the stewards, the racing
11 secretary, or an assistant to the racing secretary.

12 (4) An entry shall clearly designate the horse entered as reflected by its registration
13 certificate, racing permit, or virtual certificate.

14 (a) A horse shall not race unless registered pursuant to 810 KAR 4:010 or otherwise correctly
15 identified to the satisfaction of the stewards.

16 (b) Establishing the identity of a horse shall be the responsibility of its owner and of any
17 other person required to certify the identity of the horse. A person shall be subject to appropriate
18 disciplinary action under 810 KAR 8:030 for incorrect identification.

19 (5) The entry shall indicate usage of furosemide pursuant to 810 KAR 8:010.

20 (6) An entry shall not be altered after the closing of entries, except to correct an error with
21 permission of the stewards.

22 (7) A horse shall not be entered in two (2) races to be run on the same day.

1 (8)(a) A horse that has not started in the past forty-five (45) days shall not be permitted to
2 start unless it has at least one (1) published workout within twenty (20) days of entry at a distance
3 satisfactory to the stewards. If a horse has performed the requisite workout, but the workout
4 does not appear in the past performances, the horse shall be permitted to start if the stewards
5 determine that the workout failed to be published through no fault of the trainer.

6 (b) A horse starting for the first time shall not be permitted to start unless it has three (3)
7 workouts, one (1) of which is from the starting gate, one (1) of which is within twenty (20) days
8 of entry, and at least one (1) of which is published.

9 (c) A workout not appearing in the official program shall be publicly displayed on television
10 monitors, the tote board, and, if available, the bulletin boards where photo finishes are shown
11 at the time when mutuel windows are opened and shall be displayed until the conclusion of the
12 race in which the horse is entered.

13 (d) A horse that has never started shall not be entered until the trainer has produced a
14 document or card issued by the starter indicating that the horse has been adequately trained to
15 race from the starting gate.

16 (9) If the published conditions of the race permit, an association may accept in a turf race
17 an entry designated "main track only." Preference shall apply to all horses drawn into a race,
18 except that horses entered as "main track only" shall be listed as also-eligible and be considered
19 only if the race is taken off the turf.

20 (10) A horse shall only be permitted to enter if at the time of entry, the owner, trainer, or
21 an authorized agent of the owner or trainer submits a complete medical record for the horse for
22 the fourteen (14) day period prior to the entry date.

1 Section 4. Limitation as to Spouses.

2 (1) An entry in a race shall not be accepted for a horse owned wholly or in part or trained
3 by a person whose spouse is under license suspension, revocation, or is otherwise ineligible to
4 be licensed, at the time of the entry except as established in subsection (2) of this section.

5 (2) If the license of a jockey has been suspended for a routine riding offense, depending on
6 the severity of the offense, the stewards may waive the application of this section as to the li-
7 censed spouse of the suspended jockey.

8 Section 5. Mutuel Entries.

9 (1) More than two (2) horses having common ties through training shall not be entered in
10 a purse race.

11 (2) Horses entered in the same race and owned wholly or in part by the same owner or
12 spouse, shall be joined as a mutuel entry and single betting interest, except as established in
13 subsection (5) of this section.

14 (3) More than two (2) horses having common ties through ownership shall not be joined as
15 a mutuel entry in a purse race. If making a double entry of horses owned wholly or in part by the
16 same owner or spouse, a preference for one (1) of the horses shall be made.

17 (4)(a) Two (2) horses having common ties through ownership shall not start in a purse race
18 to the exclusion of a single entry, unless the horses have been uncoupled pursuant to subsection
19 (5) of this section.

20 (b) In a purse race, the racing secretary may uncouple entries having common ties through
21 training to make two (2) separate betting interests.

1 (5) In any stakes race with added money of \$50,000 or more, the racing secretary may
2 uncouple mutuel entries of horses sharing common ties through training or ownership or both.

3 Section 6. Subscriptions.

4 (1) A subscriber to a stakes race may transfer or declare a subscription prior to the closing
5 of entries for the race.

6 (2) Joint subscriptions and entries may be made by any one (1) of the joint owners of a
7 horse. Each owner shall be jointly and severally liable for all payments due.

8 (3) Death of a horse or a mistake in its entry if the horse is eligible shall not release the
9 subscriber or transferee from liability for all stakes fees due. Fees paid in connection with a
10 subscription to a stakes race that is run shall not be refunded, except as otherwise stated in the
11 conditions of the stakes race.

12 (4) Death of a nominator or original subscriber to a stakes race shall not render void any
13 subscription, entry, or right of entry. All rights, privileges, and obligations shall attach to the
14 successor owner, including the legal representatives of the decedent.

15 (5) If a horse is sold privately, sold at public auction, or claimed, stakes engagements for
16 it shall be transferred automatically with the horse to its new owner. If the horse is transferred
17 to a person whose license is suspended, revoked, or is otherwise ineligible to be licensed, the
18 subscription shall be void as of the date of the transfer.

19 (6) All stakes fees paid toward a stakes race shall be allocated to the winner unless other-
20 wise provided by the condition for the stakes race. If a stakes race is cancelled for any reason,
21 all subscription fees paid shall be refunded.

1 Section 7. Closings.

2 (1) Entries for purse races and subscriptions to stakes races shall close at the time
3 designated by the association in previously published conditions for the races.

4 (a) If a race is not split, an entry, subscription, or declaration shall not be accepted after
5 closing time.

6 (b) If a purse race fails to fill, or in an emergency, the racing secretary may extend the
7 closing time, if the approval of a steward has been obtained.

8 (2) Entries that have closed shall be compiled without delay by the racing secretary and
9 shall be posted along with declarations.

10 Section 8. Number of Starters in a Race.

11 (1) The maximum number of starters in any race shall be limited to the number of starting
12 positions afforded by the association starting gate and any extensions approved by the com-
13 mission as can be positioned across the width of the track at the starting point for the race.
14 The maximum number of starters further shall be limited by the number of horses that, in the
15 opinion of the stewards after considering the safety of the horses and riders and the distance
16 from the start to the first turn, may be afforded a fair and equal start.

17 (2)(a) A maiden, starter, or claiming race shall be run if:

- 18 1. Eight (8) or more horses are entered;
- 19 2. The horses entered represent different betting interests; and
- 20 3. The race is listed in the printed condition book.

21 (b) Except as established in paragraph (c) of this subsection, any other purse race shall be
22 run if:

- 1 1. Six (6) or more horses are entered;
- 2 2. The horses entered represent different betting interests; and
- 3 3. The race is listed in the printed condition book.

4 (c) If a purse race under paragraph (b) of this subsection includes two (2) horses having
5 common ties through training, the race shall be run if eight (8) or more horses are entered.

6 (3) If a purse race in the printed condition book fails to fill with the minimum number of
7 entries required by subsection (2) of this section, the association may cancel or declare the
8 race off. The names of all horses entered in the race shall be publicly posted in the office of the
9 racing secretary on the date of entry.

10 Section 9. Split or Divided Races.

11 (1) If a race is cancelled or declared off, the association may split any race programmed
12 for the same day that may previously have been closed. Races printed in the condition book
13 shall have preference over substitute and extra races.

14 (2) If a purse race is split, forming two (2) or more separate races, the racing secretary shall
15 give notice of the split not less than fifteen (15) minutes before the races are closed in order to
16 grant time for the making of additional entries to the split races.

17 (3) Division of entries upon the splitting of any race shall be made in accordance with the
18 conditions under which entries and subscriptions were made and the conditions established in
19 paragraphs (a) through (c) of this subsection.

20 (a) Horses originally joined as a mutuel entry may be placed in different divisions of a split
21 race unless the person making the multiple entry, at the time of the entry, indicates the coupling
22 of horses is not to be uncoupled if the race is split.

1 (b) Division of entries in any split stakes race may be made according to age, sex, or both.

2 (c) Entries for any split race not divided by any method provided for in this administrative
3 regulation shall be divided by lot so as to provide a number of betting interests as nearly equal
4 as possible for each division of the split race.

5 Section 10. Post Positions.

6 (1) Post positions for all races shall be determined by lot, except as established in Section
7 11(5) of this administrative regulation. Owners, trainers, and their representatives shall have the
8 opportunity to be present at the drawing.

9 (2) The racing secretary shall assign program numbers for each starter to conform with the
10 post position drawn, except if a race includes two (2) or more horses joined as a single betting
11 interest.

12 Section 11. Also-Eligible List.

13 (1) If the number of entries for a race exceeds the number of horses permitted to start, as
14 established by Section 8 of this administrative regulation, the names of no more than eight (8)
15 horses entered but not drawn into the race as starters shall be posted on the entry sheet as
16 "also-eligible" to start.

17 (2) After a horse has been excused from a race at scratch time, also-eligible horses shall be
18 drawn into the body of the race based on preference. If preference is equal, horses shall be
19 drawn by lot, unless otherwise stipulated in the conditions of the race.

20 (3)(a) An owner or trainer of a horse on the also-eligible list not wishing to start the horse
21 in a race shall notify the racing secretary prior to scratch time for the race. The horse shall forfeit
22 any preference to which it may have been entitled.

1 (b) If there are no scratches in the body of a race, a horse on the also-eligible list not drawn
2 into the race shall retain its previously established preference.

3 (4) A horse on the also-eligible list for a race on the present day that has been drawn into
4 the body of a race on a future [~~the succeeding~~] race day, shall not be permitted to run in the
5 race on the present day for which it had been listed as also-eligible. This shall not include stakes,
6 [~~and~~] handicaps, races at subsequent meets, or races in other jurisdictions.

7 (5) A horse on the also-eligible list shall be assigned a post position by preference. If prefer-
8 ence is equal, post positions shall be drawn by lot, unless otherwise stipulated in the published
9 conditions of the race.

10 Section 12. Preferred List.

11 (1) The racing secretary shall maintain a list of horses that were entered but denied an
12 opportunity to race because they were eliminated from a race included in the printed condition
13 book either by overfilling or failure to fill.

14 (2) The racing secretary shall submit, for approval of the commission at least thirty (30)
15 days prior to the opening date of a race meeting, a detailed description of the manner in which
16 preference will be allocated.

17 (3) Preferences shall not be given to a horse otherwise eligible for a race if it is also entered
18 for a race on a future [~~the succeeding~~] race day. This shall not include stakes and handicaps.

19 Section 13. Arrears.

20 Unless approved by the racing secretary, a horse shall not be entered or raced unless its
21 owner has paid all stakes fees owed.

22 Section 14. Declarations.

1 (1) Declarations shall be made in the same form, time, and procedure as required for the
2 making of entries.

3 (2) Declarations shall be irrevocable.

4 (3) A declaration fee shall not be required by any licensed association.

5 Section 15. Scratches.

6 (1) Scratches shall be irrevocable and shall be permitted under the conditions established
7 in this section.

8 (a) Except as established in paragraph (b) of this subsection, a horse may be scratched from
9 a stakes race for any reason at any time until four (4) hours prior to post time for the race by
10 obtaining approval from the stewards. Upon receiving a scratch from a stakes race, the racing
11 secretary shall promptly notify the stewards and pari-mutuel manager, and shall cause public
12 announcement of the scratch to be made.

13 (b) If a list of also-eligible horses has been drawn, scratches shall be filed at the regular
14 scratch time as posted by the racing secretary. Scratch time may be extended by the stewards
15 at their discretion if warranted. Thereafter, a horse shall not be scratched unless:

16 1. A valid physical reason exists; or

17 2. The scratch is related to adverse track conditions or change of racing surface.

18 (c) A horse shall not be scratched from a purse race unless:

19 1. The approval of the stewards has been obtained; and

20 2. Intention to scratch has been filed in writing with the racing secretary or the secretary's
21 assistant at or before scratch time.

1 (2) A scratch of one (1) horse coupled in a mutuel entry in a purse race shall be made at or
2 before scratch time, unless permission is granted by the stewards to allow both horses to remain
3 in the race until a later appointed scratch time.

4 (3) In a purse race, a horse that is physically disabled or sick shall be permitted to be
5 scratched first. If horses representing more than eight (8) betting interests remain in after horses
6 with physical excuses have been scratched, an owner or trainer may scratch horses without
7 physical excuses at scratch time, down to a minimum of eight (8) betting interests. This privilege
8 shall be determined by lot if an excessive number of owners or trainers wish to scratch their
9 horses.

10 (4) A horse that has been scratched or excused from starting by the stewards because of a
11 physical disability or sickness shall be placed on the commission's veterinarian list for six (6)
12 calendar days beginning the day after the horse was scratched or excused.

13 (5) Each association shall keep records and statistics documenting the effect upon field
14 sizes of the six (6) day veterinarian list requirement in subsection (4) of this section. Records and
15 statistics kept pursuant to this section shall be retained by the licensed racing association for at
16 least one (1) year.

17 Section 16. Official Publication Statistics.

18 In determining eligibility, allowances and penalties, the reports, records, and statistics as
19 published in the Daily Racing Form or similar publication as the commission considers
20 appropriate to advise the public and the monthly chart books, or corresponding official
21 publications of any foreign country, shall be considered official, but may be corrected until forty-
22 five (45) minutes prior to post time of the race.

1 Section 17. Examination by Attending Veterinarian.

2 (1) Subject to the exception in subsection (4), a horse shall only be entered if:

3 (a) The horse has been examined by an attending veterinarian licensed by the veterinary
4 regulatory body in the jurisdiction where the examination occurs no more than three (3) days
5 prior to entry;

6 (b) The attending veterinarian certifies in writing that the horse is in serviceable, sound
7 racing condition; and

8 (c) The written certification is provided to the [~~racing secretary~~] Equine Medical Director or
9 designee no later than the time of entry.

10 (2) The examination required by paragraph (a) of subsection (1) of this section shall include
11 watching the horse jog in hand.

12 (3) If the attending veterinarian who examines the horse prescribes a diagnostic test as
13 part of the evaluation of the horse's soundness, the results of the test shall be provided to the
14 commission's veterinarian no later than one (1) day before the horse is set to start.

15 (4) If a racing secretary contacts a trainer to fill [~~an extra~~] a race, the trainer may enter a
16 horse prior to obtaining the examination and written certification required in this section, if the
17 certification required in this section is provided to the [~~racing secretary~~] Equine Medical Director
18 or designee on the day that the horse is entered. [∴

19 ~~(a) On the same day that the horse is entered; and~~

20 ~~(b) Prior to the race in which the horse is entered.]~~

810 KAR 4:030

READ AND APPROVED:

Jonathan Rabinowitz

Date

Chair, Kentucky Horse Racing Commission

Kerry Harvey

Date

Secretary, Public Protection Cabinet

1 PUBLIC PROTECTION CABINET

2 Kentucky Horse Racing Commission

3 (Amendment)

4 810 KAR 4:060. Objections and complaints.

5 RELATES TO: KRS 230.215, 230.260

6 STATUTORY AUTHORITY: KRS 230.215(2), 230.260(8)

7 NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) and 230.260(8) authorize the
8 Kentucky Horse Racing Commission (the "commission") to promulgate administrative regulations
9 prescribing conditions under which racing is conducted in Kentucky. This administrative
10 regulation establishes the requirements and procedures for lodging objections and complaints.

11 Section 1. Persons Eligible to Lodge Objections or Complaints.

12 (1) Except as established by subsection (2) of this section, an objection or complaint against
13 a horse or jockey entered in a race shall be received only if lodged:

14 (a) In accordance with Sections 2 and 3 of this administrative regulation; and

15 (b) By the owner or authorized agent of the owner, the trainer, or the jockey of another
16 horse engaged in the same race and whose horse suffered or could suffer by the alleged violation
17 of KAR ~~Title~~Titles 810~~-or 811~~.

18 (2) An inquiry may also be made by a racing official.

19 Section 2. Procedures for Objections and Complaints.

1 (1) An objection as to interference or a foul occurring during the running of the race shall
2 be lodged with the stewards orally or by telephone. All other objections or complaints shall be
3 made in writing and be signed by the complainant.

4 (2) An objection or complaint lodged during a race meeting shall be addressed to the
5 stewards. An objection or complaint lodged after the termination of a race meeting shall be
6 addressed to the commission at the commission's general office.

7 (3) An objection or complaint once lodged shall not be withdrawn without permission of
8 the stewards.

9 Section 3. Time for Lodging Objections or Complaints.

10 (1) Except as established by subsection (2) of this section, an objection or complaint based
11 on one (1) of the following violations of KAR Title 810 shall be lodged by an aggrieved person
12 within the time prescribed:

13 (a) At least one (1) hour before post time of the race, if the objection or complaint is based
14 on incorrect weight allowance claimed for a horse entered to race;

15 (b) Before the race has been posted as official on the infield results board, if the objection
16 or complaint is based on interference by a horse, improper course run by a horse, foul riding by
17 a jockey, or any other matter occurring during and incident to the running of the race;

18 (c) Not later than one (1) year from the date the race was run, if the objection or complaint
19 is based on fraudulent or willful misstatement in an entry under which a horse has run;

20 (d) Not later than forty-eight (48) hours after post time of the race, if the objection or
21 complaint involves the claim of a horse or a violation of 810 KAR 4:010, Section 4; and

1 (e) Within one (1) week after post time of the race, if the objection or complaint is based
2 on any other violation of KAR Title 810.

3 (2) A steward may declare a horse ineligible or disqualified at any time.

4 Section 4. Final Determination of Objections to Acts in Race.

5 (1) The stewards shall:

6 (a) Make all findings of fact as to all matters occurring during and incident to the running of
7 a race;

8 (b) Determine all objections and inquiries based on interference by a horse, improper
9 course run by a horse, foul riding by a jockey, and all other matters occurring during and incident
10 to the running of a race; and

11 (c) Determine the extent of disqualification, if any, of horses in a race for a foul committed
12 during the race. The stewards shall have the discretion to include a horse that is coupled with an
13 offending horse or any horse owned wholly or in part by the same owner in determining the
14 extent of the disqualification. The stewards shall also have discretion to place a horse that is
15 coupled with an offending horse or any horse that is owned wholly or in part by the same owner
16 behind any horse that may have suffered by reason of the foul.

17 (2) Findings of fact and determination shall be final and shall not be subject to appeal.

18 (3) In determining the extent of disqualification, the stewards shall consider the seriousness
19 and circumstances of the incident and may:

20 (a) Disqualify and place the offending horse [~~and any horses coupled with it as an entry,~~]
21 behind any horse that may have suffered by reason of the foul;

1 (b) Disqualify and declare the offending horse~~[, and any horses coupled with it as an entry,]~~
2 unplaced;

3 (c) Disqualify the offending horse~~[, and any horses coupled with it as an entry,]~~ from
4 participation in all or any part of the purse;

5 (d) Declare void a track record set or equaled by a disqualified horse~~[, or any horses coupled
6 with it as an entry,]~~;

7 (e) Affirm the placing judges' order of finish and suspend the jockey if, in the stewards'
8 opinion, the foul riding had no effect on the order of finish; or

9 (f) Disqualify the offending horse and not suspend the jockey if, in the stewards' opinion,
10 the interference to another horse in a race was not the result of an intentional foul or careless
11 riding on the part of the jockey.

12 Section 5. Dispute of a Race after Declared Official for Pari-mutuel~~[Parimutuel]~~ Payoff.

13 If the result of a race is placed in dispute by the lodging of an objection or complaint or by
14 discovery of an alleged violation of KAR Title~~[Titles]~~ 810~~[or 811]~~ after the race has been declared
15 official for pari-mutuel~~[pari-mutuel]~~ payoff, the procedures established in this section shall apply
16 pending final determination of the disputed race.

17 (1) The purse money and trophy to which the horse objected to may have been entitled
18 shall be withheld and placed in escrow by the association until final adjudication of the dispute,
19 except the stewards may order any portion of the purse money to be distributed if the
20 distribution would not be affected by the determination of the dispute.

21 (2) If purse money or trophy has been awarded to an owner prior to the lodging of an
22 objection or discovery of an alleged violation of KAR Title~~[Titles]~~ 810~~[or 811]~~ that places the

1 outcome of a race in dispute, the money or trophy shall be returned immediately to the
2 association on order of the stewards. Upon final adjudication of the dispute, the person deemed
3 to be entitled to the purse money or trophy shall be entitled to an order of recovery from any
4 person or association holding the same.

5 (3) The horse that crossed the finish line first and any other horse that may become the
6 winner of a disputed race shall be considered winners of that race until the matter is finally
7 adjudicated.

8 Section 6. Determination of a Disputed Race.

9 The stewards shall determine an objection, complaint, or alleged violation of KAR
10 Title[Titles] 810[-or-811] lodged or discovered after a race has been declared official for pari-
11 mutuel [~~parimutuel~~] payoff and shall issue a ruling. If the stewards find that a requirement of
12 KAR Title[Titles] 810[-or-811] was violated, the stewards may penalize the persons responsible,
13 disqualify any horses in the disputed race, and award the purse money and trophy in accordance
14 with any resulting revised order of finish in the disputed race.

15 Section 7. Revised Order of Finish after Race Declared Official for Pari-mutuel[~~Parimutuel~~]
16 Payoff.

17 If a horse is disqualified after a race has been declared official for pari-mutuel[~~parimutuel~~]
18 payoff and causes revision of the order of finish in the race:

19 (1) The pari-mutuel[~~parimutuel~~] payoff shall not be affected in any way; and

20 (2) The stewards shall ensure that appropriate corrections are made in official records for
21 the race and in racing statistics as might pertain to the respective horses, jockeys, trainers,

1 owners, breeders, sires, dams, and broodmare sires, by reporting the corrections to the racing
2 secretary and to the Daily Racing Form.

3 Section 8. Complaints Against Officials.

4 A complaint or protest by a licensee based on a decision or act of a racing official other than
5 the stewards, or concerning any matter that may occur on association grounds not provided for
6 by Sections 4 and 5 of this administrative regulation, shall be made in writing, signed by the
7 complainant, and submitted to the stewards. A complaint or protest by a person based on a
8 decision, act, or conduct of the stewards shall be submitted to the commission as established by
9 810 KAR 9:010.

810 KAR 4:060
READ AND APPROVED:

Jonathan Rabinowitz

Date

Chair, Kentucky Horse Racing Commission

Kerry Harvey

Date

Secretary, Public Protection Cabinet

1 PUBLIC PROTECTION CABINET

2 Kentucky Horse Racing Commission

3 (Amendment)

4 810 KAR 8:060. Post-race sampling and testing procedures.

5 RELATES TO: 230.215, 230.240, 230.260, 230.265(2), 230.290(2), 230.320(1)

6 STATUTORY AUTHORITY: KRS 230.215(2), 230.240(2)

7 NECESSITY, FUNCTION, AND CONFORMITY: KRS 230.215(2) authorizes the
8 Kentucky Horse Racing Commission to promulgate administrative regulations prescribing
9 conditions under which all legitimate horse racing and wagering thereon is conducted in the
10 Commonwealth. KRS 230.240(2) requires the commission to promulgate administrative
11 regulations restricting or prohibiting the administration of drugs or stimulants or other improper
12 acts to horses prior to the horse participating in a race. This administrative regulation establishes
13 requirements and controls in post-race sampling and testing to ensure that prohibited substances
14 are not used.

15 Section 1. Test Barn. In addition to the procedures established in 810 KAR 8:010, Section
16 10, the commission shall require the following procedures:

17 (1) A security guard employed by a licensed association shall regulate access to the test
18 barn during and immediately following each race; and

19 (2) All individuals who wish to enter the test barn shall be:

20 (a) Currently licensed by the commission;

21 (b) Display their commission identification badge; and

1 (c) Have the permission of the commission veterinarian or his or her designee to be in the
2 test barn.

3 Section 2. Race Classifications for Sampling.

4 (1) For races with purses of \$2,500 or less:

5 (a) The horse finishing first shall be sampled and a portion of the specimen shall be
6 designated as a gold sample; and

7 (b) The chief state steward, presiding judge, or his or her designee, may select one or more
8 other horses to be sampled and shall designate a portion of each specimen as a red sample or a
9 gold sample in accordance with Section 4 of this administrative regulation.

10 (2) For races with purses that exceed \$2,500 but are less than \$100,000:

11 (a) The horse finishing first and at least one (1) other horse shall be sampled;

12 (b) The chief state steward, presiding judge, or his or her designee, shall designate a portion
13 of each specimen as a red sample or a gold sample in accordance with Section 4 of this
14 administrative regulation; and

15 (c) A portion of at least one (1) specimen from each race shall be designated as a gold
16 sample.

17 (3) For races with purses of [~~\$100,000~~] \$200,000 or more:

18 (a) The horses finishing first, second, and third shall be sampled;

19 (b) The chief state steward, presiding judge, or his or her designee, may select one or more
20 other horses to be sampled and shall designate a portion of each specimen as a red sample or a
21 gold sample in accordance with Section 4 of this administrative regulation; and

22 (c) Portions of at least three (3) specimens shall be designated as gold samples.

23 Section 3. Selection of Horses and Designation of Samples.

1 (1) In selecting horses for sampling and designating portions of specimens as gold samples
2 or red samples, the chief state steward, presiding judge, or his or her designee, shall consider all
3 information available, including:

4 (a) The performance of a horse favored to win the race by the wagering patrons;

5 (b) The performance of horses considered to be long-shots to win the race by the wagering
6 patrons;

7 (c) The betting patterns of wagering patrons;

8 (d) A trainer's recent statistical performance in relation to his or her historical statistical
9 performance; and

10 (e) Security intelligence.

11 (2) The chief state steward, presiding judge, or his or her designee shall notify the test barn
12 promptly upon completion of a race as to which horse or horses shall be sampled.

13 (3) Prior to the close of business on the date of sampling, the chief state steward, presiding
14 judge, or his or her designee shall notify the test barn in writing regarding which samples are
15 designated as gold samples and which samples are designated as red samples.

16 Section 4. Sampling.

17 (1) A horse designated for sampling by the stewards or judges shall proceed immediately
18 to the test barn following each race to have a specimen collected under the direction of the
19 commission veterinarian.

20 (2) If an adequate specimen cannot be obtained from a horse designated for sampling
21 within sixty (60) minutes after arrival at the testing barn, the commission veterinarian may require
22 an individual employed by the commission to accompany the horse from the test barn to its stall
23 and remain with the horse until an adequate specimen is obtained.

1 (3) All sampling shall be performed in accordance with 810 KAR 8:010, Section 11.

2 (4) Split samples shall be subject to the provisions and procedures established in 810 KAR
3 8:010, Section 12, and the chain of custody of any split sample shall be maintained in accordance
4 with the procedures established in 810 KAR 8:010, Section 13.

5 Section 5. Shipment and Testing.

6 (1) All gold samples and red samples shall be labeled and sent to the commission laboratory
7 for testing in accordance with the procedures established in 810 KAR 8:010, Section 11.

8 (2) A technician at the commission laboratory shall create a log of each sample received
9 and enter the color code into the Laboratory Information Management System or other information
10 management system approved by the commission.

11 (3) All gold samples shall be tested.

12 (4) Fifty (50) percent of all red samples shall be randomly selected by the Lab Information
13 Management System, or other information management system approved by the commission, and
14 tested.

15 (5) All red samples that are not selected for testing shall be frozen or refrigerated and
16 retained pursuant to a contract between the commission and the commission laboratory.

17 (6) If a sample tests positive for a prohibited substance by 810 KAR Chapter 8, all specimen
18 or specimens collected from horses who competed in the same race shall be tested.

19 (7) All testing and reports shall be completed in accordance with 810 KAR 8:010 and 810
20 KAR 8:030.

810 KAR 8:060
READ AND APPROVED:

Jonathan Rabinowitz

Date

Chair, Kentucky Horse Racing Commission

Kerry Harvey

Date

Secretary, Public Protection Cabinet

Item 10 was removed from the 10-20-2020
KHRC Commission meeting agenda



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

JONATHAN RABINOWITZ
CHAIRMAN

MARC A. GUILFOIL
EXECUTIVE DIRECTOR

PUBLIC PROTECTION CABINET
KENTUCKY HORSE RACING COMMISSION
ESTABLISHED 1906
4063 IRON WORKS PKWY., BLDG. B
LEXINGTON, KENTUCKY 40511
TELEPHONE: (859) 246-2040 FAX: (859) 246-2039
WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

To: Kentucky Horse Racing Commission
From: Waqas Ahmed, Director of Pari-Mutuel Wagering and Compliance
Date: October 9, 2020
Re: Keeneland Association, Inc. Request for Breeders’ Cup Pari-Mutuel Wagering Pools

In a letter dated September 22, 2020, the Kentucky Horse Racing Commission (“KHRC”) received a request from Keeneland Association, Inc (“Keeneland”). to amend its’ 2020 Application for Race Dates to accommodate unique wagers associated with the 2020 Breeders’ Cup World Championships, which will be held November 6 and 7, 2020 at Keeneland. The request seeks permission to offer three (3) Two Day Double Wagers (“Special Double”) that will span Friday and Saturday, as described below:

	Friday - November 6, 2020	Saturday - November 7, 2020
Special Double #1	Juvenile Fillies - Race 8	Distaff - Race 10
Special Double #2	Juvenile Turf - Race 9	BC Turf - Race 11
Special Double #3	Juvenile - Race 10	BC Classic - Race 12

KHRC staff has reviewed the request and believes that, as presented, the proposed wagering structure complies with all Kentucky statutes and regulations. If approved, KHRC staff will work with Keeneland and United Tote staff and the Chief State Steward to determine the logistical needs of carrying out these proposed wagers.

KHRC staff recommends approval of the request.



COMMISSION ACTION

_____ Approved

_____ Denied

_____ Deferred



Keeneland Association, Inc.

4201 Versailles Road
Lexington, KY 40510
P.O. Box 1690
Lexington, KY 40588-1690
859 254-3412 Tel.
800 456-3412
859 288-4347 Fax
www.keeneland.com

September 22, 2020

Mr. Marc Guilfoil, Executive Director
Kentucky Horse Racing Commission
4063 Iron Works Parkway, Building B
Lexington, KY 40511

Dear Marc:

Keeneland respectfully asks permission from the Commission to conduct Special Breeders' Cup Two-day Double Wagers on November 6-7, 2020 as follows:

Special Double #1	BC Juvenile Fillies/BC Distaff
Special Double #2	BC Juvenile Turf/BC Turf
Special Double #3	BC Juvenile/BC Classic

These wagers will be covered by existing rules for doubles and will be offered as separate wagering interests through the Tote system.

The Commission approved a similar request from Churchill Downs when they hosted the 2018 Breeders' Cup.

Please advise if further information is needed; we appreciate your consideration of this request.

Sincerely,

Robert N. Elliston
Vice-President, Racing



ANDY BESHEAR
GOVERNOR

KERRY B. HARVEY
SECRETARY

JONATHAN RABINOWITZ
CHAIRMAN

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WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

TO: Kentucky Horse Racing Commission members

FROM: Bruce A. Howard DVM

DATE: October 20th, 2020

SUBJECT: EIPH scoping study proposal

The changes in the furosemide administration regulations for two-year olds in 2020 and in Stakes horses in 2021 have made it clear that evaluation of the airways of these groups of horses is very important. It is also imperative that we obtain this information in an unbiased manner and contribute to ongoing research regarding EIPH.

The study proposed by Dr. Bayly will allow us to obtain the information about the prevalence and severity of EIPH in these groups of horses as well as be a part of a larger nationwide study which will examine the immune system response to exercise in 2 year-old racehorses.

Dr. Bayly’s proposal is based on 3 participants and 70 horses in each category. We currently have 7 participants committed to the project. There are several more groups in talks with the organizers which will likely participate at some level. A commitment of no more than \$30,000.00 will cover the costs of obtaining the equipment, collecting samples and analyzing data for the Kentucky 2 year-olds which we desire. We anticipate as more groups come on board this cost will be reduced for each participant.

The EDRC, at its October 9th, 2020 meeting unanimously approved funding of this project, not to exceed \$30,000.00.

We feel that this study is an integral part of understanding EIPH and evaluating the impact changes in furosemide administration may have.

The staff at the KHRC recommends approval.

EDRC Action:

_____ **Approve**
_____ **Defer**
_____ **Deny**



Inflammation in Catastrophically Injured Thoroughbreds

Summary

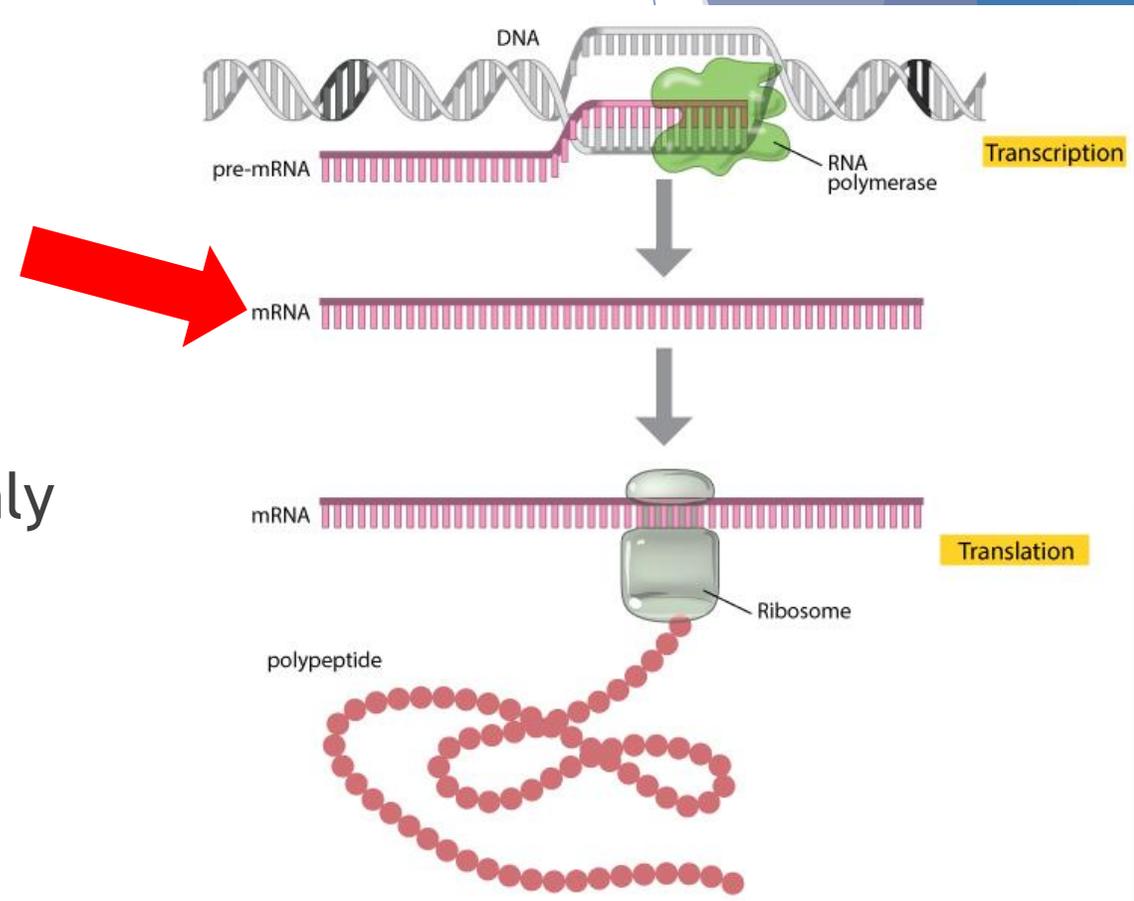
KHRC Contract: PON2 374 1900002363

Funded Amount: \$164,488

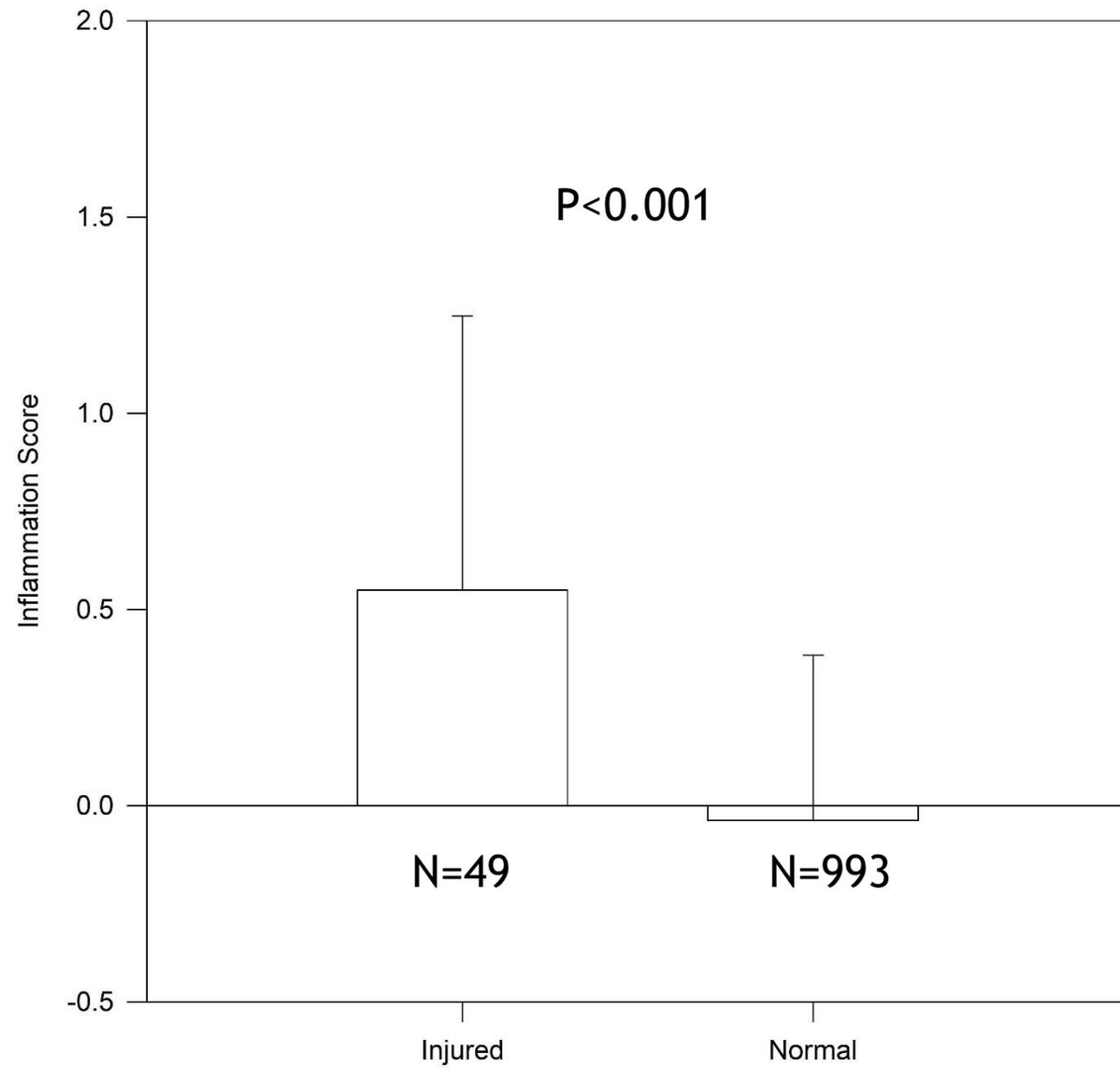
October 21, 2020

Inflammatory Gene Expression Analysis

- ▶ Measuring differences in whole-blood messenger RNA (mRNA) markers
- ▶ Avoid supply chain issues with equine-specific protein quantification
- ▶ PCR analysis of mRNA expression is highly sensitive and specific
- ▶ Changes in inflammatory markers take hours to be detectable
 - ▶ Samples taken immediately post-injury likely reflect the pre-race status



Clancy, S. & Brown, W. (2008) Translation: DNA to mRNA to Protein. *Nature Education* 1(1):101



Hypothesis and Goals

- ▶ Hypothesis: Thoroughbred racehorses that experience a catastrophic (fatal) injury while racing will have evidence of increased inflammation when compared to non-injured controls
- ▶ Goal was to enroll:
 - ▶ 150 catastrophically injured horses
 - ▶ 300 post-race controls (matched to injured horses)
 - ▶ ~700 pre-race controls (general racing population)

Quick Study Facts

- ▶ Participants:
 - ▶ 4 state jurisdictions
 - ▶ 1 race track
- ▶ Out of 1055 total samples:
 - ▶ 107 horses with catastrophic injuries
 - ▶ 374 pre-race control samples
 - ▶ 205 post-race control samples
 - ▶ 369 excluded samples

Racing Effect on Inflammation

- ▶ Previous work with horses has shown inflammatory changes take hours in response to exercise
- ▶ Used paired pre-race and post-race samples from the same horses to evaluate the effect of racing on mRNA markers
- ▶ Eliminated 12 markers from our analysis due to race-related effects
- ▶ Of the remaining 9 markers, 3 markers show promise with regards to identifying horses at risk for catastrophic injury

Individual Markers of Interest

Insulin-like Growth Factor 1 (IGF-1)

- Growth Hormone
- Increased in CI horses
- Role in bone development and repair
- Previously shown to decrease during early inflammation before increasing

Matrix Metalloproteinase-2

- Increased in CI horses
- Important for tissue repair
- Shown to play an important role in fracture remodeling

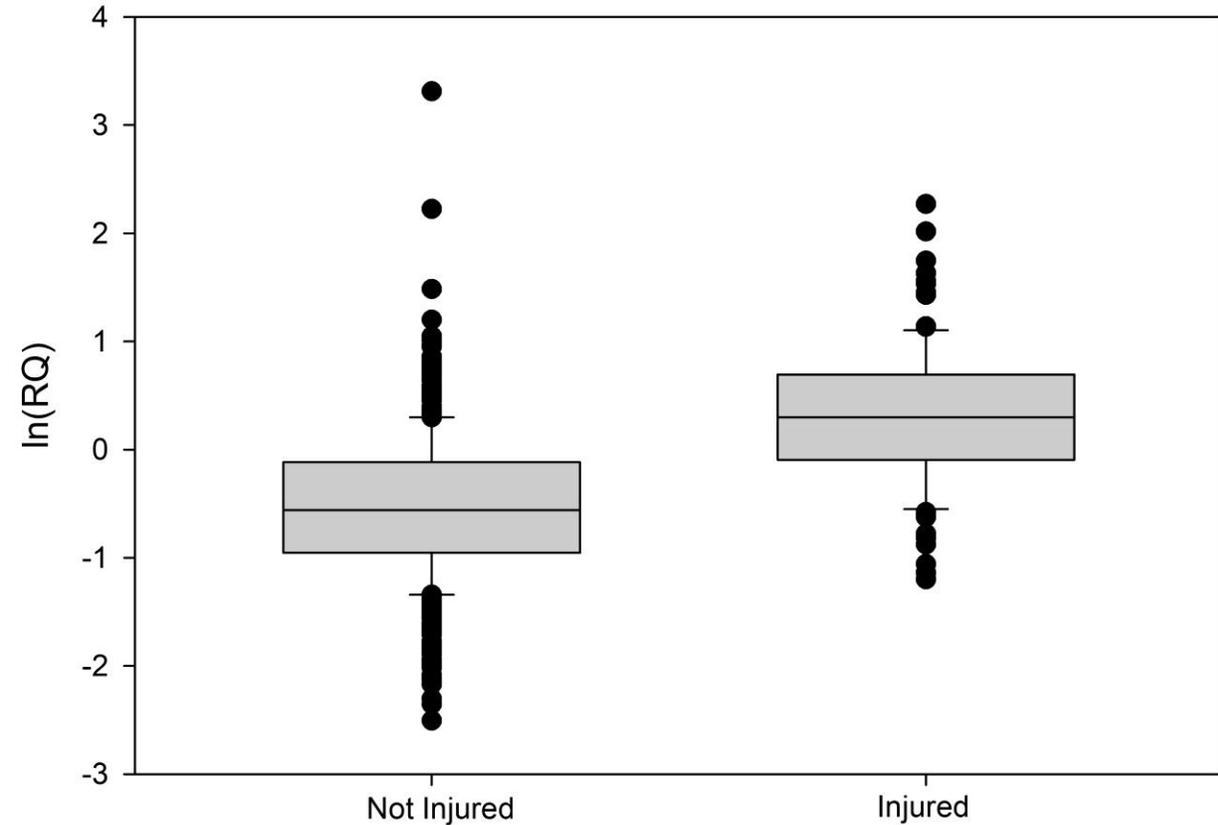
IL-1 Receptor Antagonist (IL1RN)

- Also known as IRAP
- Potent anti-inflammatory
- Decreased in CI horses

Combo Markers

- All three markers combined
- Sensitivity- 76%
= 24% false negative rate
- Specificity- 88%
= 12% false positive rate
- Accuracy ~88%

IGF-1, IL1RN, and MMP2 Combined



What's Next For The Project?

- ▶ Recently submitted this work for publication
- ▶ 2020 AAEP Annual Convention (virtual)
- ▶ 2021 International Conference of Racing Analysts & Veterinarians?
- ▶ KHRC-funded RNA-sequencing project (just started)

▶ University of Kentucky

- ▶ Dr. David Horohov
- ▶ Dr. Emma Adam
- ▶ Emma Partridge
- ▶ Matthew Rutledge
- ▶ Craig Stewart
- ▶ Day Barker

▶ Kentucky Horse Racing Commission

- ▶ Dr. Bruce Howard
- ▶ Dr. Mary Scollay

▶ New Jersey Horse Racing Commission

- ▶ Dr. Kathleen Picciano

▶ Washington Horse Racing Commission

- ▶ Dr. Ron Friedman

▶ California Horse Racing Board

- ▶ Dr. Rick Arthur
- ▶ Dr. Timothy Grande
- ▶ Dr. Forrest Franklin
- ▶ Dr. Alina Vale

▶ Indiana Grand Racetrack & Casino

- ▶ Dr. Michael Hardy

▶ All of the commission veterinarians and technical staff



College of Agriculture,
Food and Environment
Maxwell H. Gluck Equine Research Center



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WEBSITE: [HTTP://KHRC.KY.GOV](http://KHRC.KY.GOV)

TO: KENTUCKY HORSE RACING COMMISSION

FROM : Barbara Borden, Chief State Steward

DATE: October 7, 2020

RE: TURFWAY PARK'S PROPOSED 2020 HOLIDAY MEET & 2021 WINTER SPRING MEET OFFICIALS & DATE PREFERENCE SYSTEM

By a letter dated September 29, 2020, Tyler B. Picklesimer, Director of Racing and Racing Secretary, Turfway Park racetrack, requests approval of the attached Roster of Racing Officials and Date Preference System for their 2020 Holiday Meet and 2021 Winter Spring meet. Please be advised all Stewards are accredited by ROAP (Racing Officials Accreditation Program) and all officials will be licensed by the meet opening.

Approval is recommended.

COMMISSION ACTION:

_____ Approve

_____ Defer

_____ Deny





September 29, 2020

Kentucky Horse Racing Commission
Attn: Mr. Guilfoil
4063 Iron Works Parkway
Lexington, Kentucky 40511

Mr. Guilfoil:

Turfway Park is nominating the following racing officials for approval by the Kentucky Horse Racing Commission for the 2020 Holiday Meet and 2021 Winter Spring Meet.

Association Steward	Ronald Herbstreit*
Director of Racing and Racing Secretary Assistant Racing Secretary	Tyler B. Picklesimer* Tia M. Murphy
Placing Judges / Entry Clerks	Ed Meyer Jackie Herbstreit Randy Wehrman Ron Anthony* Bill Holtman William D. Troilo* Caleb Hays Kathy Lowry Albert Russell Kim Martin Greg Blasi
Paddock Judge / Entry Clerk Clocker Clerk of Scales / Entry Clerk Starter Jockey Room Custodian Outriders	Beth Ann Bungert Melinda A. Vest Peggy Pate James McNerney Darren Piccirillo Aaron Spurlock Terry Moore Jeff Chapman Art Tearl Leslie Roberts Allen Roberts Daniel P. Bork
Claims Clerk / Program Coordinator Identifier Stall Superintendent Announcer Director of Security Mutuel Manager Horsemen's Bookkeeper Track Superintendent Paddock Blacksmith Teletimer and Photo Finish / Entry Clerk	
Alternate Official	

(*Accredited – Stewards Accreditation Program)

Respectfully submitted,

Tyler B. Picklesimer
Director of Racing / Racing Secretary

Date Preference System

The following date system of preference is used at Turfway Park:

Foal certificates no longer are required to be on file in the racing office to enter or start at Turfway Park; however, foal certificates must be on file to obtain a preference date and to have a horse tattooed.

Each day's overnight will list the dates of horses in and on the also-eligible list to enable trainers to see what dates are getting horses into over-filled races.

1. In over-filled races, preference will be given to horses in order of their assigned preference dates, earliest date first. Horses having identical dates will be chosen by lot; however, where entry and running dates are the same, the horse with the entry date will have preference.
2. All horses intended for racing at Turfway Park will be assigned a preference date. A horse can have only one date at any particular time. Dates assigned will be of two types:

E-Date

This date will correspond to: (a) the date on which a horse's foal papers and name card are submitted to the Identifier (the earliest date being the day when entries are first taken for the meeting); (b) the day on which a horse is first entered if his papers are not on file with the Identifier. To qualify for the latter E-Date, a horse must have been excluded from a race that appears on the overnight or he must have been entered in a condition book race that failed to fill through insufficient entries.

R-Date

This date will correspond to the day of a horse's most recent race at Turfway Park. An R-Date will also be assigned to a horse that scratches, providing the foal papers are on file with the identifier.

3. A horse retains his R-Date until he receives a newer R-Date. No horse with an established R-Date may receive an E-Date. A horse whose papers are removed from the Identifier's office will lose his date and must subsequently re-establish a date in order to receive preference. A horse with an E-Date cannot receive a newer E-Date. Horses starting at another racetrack will forfeit any date they may have at Turfway Park. Additionally, horses racing at Turfway Park without foal papers on site will not retain their R-Date.
4. Preference dates are good for any type of race or distance (other than maiden dates).
5. Maiden dates will be kept separately and will not have preference in winners' races.
6. No E-Date will be assigned to the second choice of an un-split same-owner entry.
7. A horse that has been placed on a list (Veterinarian, Steward, Starter, or Paddock Judge) will lose his date and be assigned an R-Date corresponding to the day he is removed from the list.
8. Horses on the Also-Eligible list shall be permitted to draw into races and will be assigned post positions in the order of their dates, earliest dates first.
9. A horse that is a scheduled starter in a canceled race will not receive an R-Date for that race but will retain his previous date.
10. The draw of stake and handicap races is not subject to date preference.
11. Preference dates are transferred through change in ownership and changes in trainer only after the proper transfer procedures have been completed through the Stewards' Office and delivered to the Racing Secretary's Office.
12. Date preference rules will not supersede KHRC rules regarding preference, eligibility, or scratches.

2020 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
20-0052	8/9/2020	7/11/2020	Cynthia A. Davis	Falsification of license application and formal ejection from Ellis Park		Suspended pending appearance		Current suspension
20-0053	8/9/2020	7/27/2020	Orlando Aguilar	Disorderly conduct and formal ejection from Ellis Park		Suspended pending appearance		Current suspension
20-0054	8/9/2020	7/27/2020	Ramon Casado	Disorderly conduct and formal ejection from Ellis Park		Suspended pending appearance		Current suspension
20-0055	8/9/2020	7/28/2020	Donald R. Marshall	Disorderly conduct and formal ejection from Ellis Park		Suspended pending appearance		Current suspension
20-0056	8/9/2020	7/31/2020	Candace Gahl	Disorderly conduct and formal ejection from Ellis Park		Suspended pending appearance		Current suspension
20-0057	8/14/2020	8/14/2020	Christopher Creel	No longer working as Racing Official		License rescinded		Currently unlicensed
20-0058	8/16/2020	8/3/2020	Jerry Gourneau	Violated regulation that governs claiming		Fined	\$ 500	Fine paid
20-0059	9/5/2020	9/4/2020	Paco Lopez	Careless riding		Suspended 3 days September 7, September 9 and September 10, 2020		Suspension served
20-0060	9/7/2020	9/4/2020	Brian Hernandez, Jr.	Careless riding		Suspended 3 days September 13, September 16 and September 17, 2020		Suspension served
20-0061	9/10/2020	9/9/2020	Joel Rosario	Careless riding		Suspended 3 days September 16 through September 18, 2020 (inclusive)		Suspension served

2020 THOROUGHBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG CLASS	PENALTY OR ACTION	FINE	STATUS
20-0062	9/10/2020	9/8/2020	Oscar Villareal (unlicensed)	Pending criminal charges		Suspended pending appearance		Current suspension
20-0063	9/18/2020	9/15/2020	Jose L. Ortiz	Improper use of the riding crop		Fined	\$ 500	Not Paid
20-0064	9/18/2020	9/12/2020	Tyler S. Gaffalione	Careless riding		Suspended 3 days October 2 through October 4, 2020 (inclusive)		APPEALED
20-0065	9/19/2020	8/22/2020	Dallas Stewart	Phenylbutazone #377593	C	Fined	\$ 500	Fine paid
20-0066	9/19/2020	8/22/2020	Charles E. Fipke (owner)	Phenylbutazone #377593	C	SPEIGHTY SHIRL disqualified and purse redistributed. All purse monies must be returned to the association.		DQ and purse redistribution completed
20-0067	9/27/2020	9/27/2020	Casey M. Patin	Disorderly conduct		Fined	\$ 200	Not Paid

2020 TB RULINGS LIST BY CATEGORY (Through October 6, 2020)

MEDICATION VIOLATIONS

<u>Medication Class</u>	<u>Total</u>	<u>Fines</u>	<u>Suspensions</u>	<u>Disqualifications</u>	<u>Appeals</u>	<u>Explanations</u>
Class A	1	1	1	1	0	Cardarine
Class B	3	3	3	3	0	Gabapentin, Carisoprodol and metabolite Meprobamate, Cannabidiol
Class C	5	4	0	4	0	Naproxen, Dexamethasone, Ketoprofen (warning letter), Betamethasone
Class D	1	1	0	0	0	Omeprazole
NSAIDS	3	3	0	1	0	Phenylbutazone, Phenylbutazone over 5.0 (DQ)
Multiple NSAIDS	0	0	0	0	0	
TCO2	0	0	0	0	0	
Subtotal	<u>13</u>	\$5,850				

NON-MEDICATION VIOLATIONS

Jockey Violations

Careless Riding	10	0	10	0	1	Routine riding offenses
Overly Aggressive Riding	0	0	0	0	0	
Other Disciplinary Violations	3	3 (total \$1,250)	0	0	0	improper use of the riding crop
Subtotal	<u>13</u>					

Other Licensee Violations

Misc. violations by licensees	2	1	1	0	0	Falsification of license application, violated regulation that governs claiming
Disorderly conduct and formal ejections	10	1	9	0	0	Formal ejection from location under KHRC jurisdiction, Criminal charges, use of profanity toward security personnel
Suspended for non payment of fines	0	0	0	0	0	
Subtotal	<u>12</u>	(total \$700)				

Other Rulings Issued 29 0 0 0 0 0 The remaining 29 rulings that have been issued in 2020 are for information only and include purse redistributions, penalty applied after withdrawal of appeal, restored to good standing, Court Order affirming KHRC Final Order, Settlement Agreement and Stipulation, extension of apprentice allowance granted, license rescinded

TOTAL 2020 RULINGS 67 \$7,800

2020 STANDARDBRED RULINGS

RULING NUMBER	RULING DATE	INFRACTION DATE	ISSUED TO	DETERMINATION	DRUG PENALTY CLASS	FINE OR WRITTEN WARNING	STATUS	PAID	Suspension (days)	COMMENTS
J2020-001	3/14/2020	3/9/2020	Nick Surick	License revoked in PA, OH & DE			license revoked			
J2020-002	5/12/2020	7/2/2019	Harley Emerson	Medication /Drug Violation - Flunixin	C		suspended		5/11/20 - 5/20/2020	J2019-014 & J2019-018 fines paid and purse monies redistributed
J2020-003	6/4/2020	8/28/2019	John McDermott	Medication /Drug Violation - Cannabidiol	B	\$500	suspended	paid	6/17/20 - 6/26/20	
J2020-004	8/14/2020	8/1/2020	Jordan Derue	Ineligible for entry						Disqualified, purse return ordered
J2020-005	8/15/2020	8/9/2020	Randy D Cristler	Misuse of whip		\$100		paid		first offense
J2020-006	8/15/2020	8/11/2015	Edgar O Herrera	Misuse of whip		\$200		not paid		second offense
J2020-007	8/23/2020	8/18/2020	Christopher C Moore	Failure to obey starter's instructions		\$50		paid		
J2020-008	8/25/2020	8/24/2020	David S Miller	Misuse of whip		\$300		paid		First 2020 KHRC Violation
J2020-009	8/29/2020	8/25/2020	Joseph R Bongiorno	Misuse of whip		\$300		paid		First 2020 KHRC Violation
J2020-010	9/1/2020	8/30/2020	Trevor Gene Smith	Misuse of whip		\$100		paid		First 2020 KHRC Violation
J2020-011	9/6/2020	9/1/2020	Mark J MacDonald	Misuse of whip		\$300		paid		First 2020 KHRC Violation
J2020-012	9/6/2020	9/1/2020	Randal L Jerrell	Crossing over before start		\$50		paid		
J2020-013	9/6/2020	9/1/2020	Edgar O Herrera	Failure to stay in position before start		\$50		not paid		
J2020-014	9/11/2020	9/8/2020	James Edward Stiltner II	Misuse of whip		\$100		not paid		First 2020 KHRC Violation
J2020-015	9/14/2020	9/8/2020	Brian J Sears	Failure to drive in 3 races		\$150		not paid		
J2020-016	9/14/2020	9/8/2020	Andrew John McCarthy	Failure to drive in 8 races		\$400		not paid		
J2020-017	9/15/2020	9/8/2020	Marcus M Melander	Failure to honor declaration		\$500		paid		

KHRC Veterinary Report

Ellis Park Summer Meet 2020

Total Starts	1704
<hr/>	
Post Race Samples	495
tCO ₂	304
<hr/>	
Veterinary Scratches	27
<hr/>	
Pre-Race	17
On-Track	10
<hr/>	
Soundness related	5
Gate/paddock Injury	5
<hr/>	
KHRC Veterinary List	48
<hr/>	
Scratches	27
Race Injuries/post race lame/test barn lame	12
EIPH	3
Heat distress	6
<hr/>	
Claimed horses	63
<hr/>	
Voided Claims	8
<hr/>	
Ambulance Runs	12
<hr/>	
Racing Fatalities	3
<hr/>	
musculo-skeletal	3
sudden death	0
<hr/>	
Training Fatalities	3
<hr/>	
musculo-skeletal	3
sudden death	0

KHRC Veterinary Report

Kentucky Downs Meet 2020

Total Starts	619
<hr/>	
Post Race Samples	191
tCO ₂	165
<hr/>	
Veterinary Scratches	7
<hr/>	
Pre-Race	3
On-Track	4
Soundness related	4
Gate/paddock Injury	0
<hr/>	
KHRC Veterinary List	12
<hr/>	
Scratches	7
Race Injuries/post race lame/test barn lame	2
EIPH	0
Heat distress	3
<hr/>	
Claimed horses	4
<hr/>	
Voided Claims	0
<hr/>	
Ambulance Runs	2
<hr/>	
Racing Fatalities	1
musculo-skeletal	1
<hr/>	
Training Fatalities	0
<hr/>	

KHRC Veterinary Report

Churchill Downs September Meet 2020

Total Starts	1132
<hr/>	
Post Race Samples	369
tCO ₂	224
<hr/>	
Veterinary Scratches	23
<hr/>	
Pre-Race	16
On-Track	7
Soundness related	3
Gate/paddock Injury	4
<hr/>	
KHRC Veterinary List	40
<hr/>	
Scratches	23
Race Injuries/post race lame/test barn lame	9
EIPH	5
Heat distress	3
<hr/>	
Claimed horses	75
<hr/>	
Voided Claims	3
<hr/>	
Ambulance Runs	13
<hr/>	
Racing Fatalities	3
<hr/>	
musculo-skeletal	3
sudden death	0
<hr/>	
Training Fatalities	3
<hr/>	
musculo-skeletal	2
sudden death	1

Occurrence of Thoroughbred race-related fatalities in Kentucky 2007 to present

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2007	Musculoskeletal	2	1	5	2	4	3	4	2	2	3	4	5	37	23,180	1.60
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1		0.04
	TOTAL	2	1	5	2	4	3	4	2	2	4	4	5	38		1.64
2008	Musculoskeletal	3	0	4	2	3	2	2	2	3	2	4	8	35	22,278	1.57
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	TOTAL	3	0	4	2	3	2	2	2	3	2	4	8	35		1.57
2009	Musculoskeletal	3	5	1	2	4	1	2	4	3	3	2	3	33	20,142	1.64
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	TOTAL	3	5	1	2	4	1	2	4	3	3	2	3	33		1.64
2010	Musculoskeletal	2	1	2	0	0	2	4	2	1	3	7	1	25	18,167	1.38
	Non-musculoskeletal	0	0	1	1	0	0	0	0	0	0	0	0	2		0.11
	TOTAL	2	1	3	1	0	2	4	2	1	3	7	1	27		1.49
2011	Musculoskeletal	2	2	1	1	2	5	1	3	2	5	3	1	28	18,258	1.53
	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1		0.05
	TOTAL	2	2	1	1	2	5	1	3	3	5	3	1	29		1.59
2012	Musculoskeletal	0	0	1	2	9	2	0	2	0	3	5	3	27	17,513	1.54
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	1	0	0	1		0.06
	TOTAL	0	0	1	2	9	2	0	2	0	4	5	3	28		1.60

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2013	Musculoskeletal	2	2	0	0	1	2	2	5	3	1	1	3	22	16,242	1.35
	Non-musculoskeletal	0	0	0	0	0	0	0	0	1	0	0	0	1		0.06
	TOTAL	2	2	0	0	1	2	1	5	4	1	1	3	23		1.42
2014	Musculoskeletal	2	2	0	0	3	0	1	1	1	3	3	0	16	14,460	1.11
	Non-musculoskeletal	0	0	0	0	0	0	0	0	0	0	0	0	0		0.00
	TOTAL	2	2	0	0	3	0	1	1	1	3	3	0	16		1.11
2015	Musculoskeletal	3	1	1	0	3	2	1	1	3	3	2	1	21	15,514	1.35
	Non-musculoskeletal	0	0	0	0	0	1	0	1	0	0	0	0	2		0.13
	TOTAL	3	1	1	0	3	3	1	2	3	3	3	1	23		1.48
2016	Musculoskeletal	0	2	0	4	4	3	1	0	1	2	5	1	23	16,495	1.39
	Non-musculoskeletal	0	0	0	0	0	2	1	0	0	0	0	0	3		0.18
	TOTAL	0	2	0	4	4	5	2	0	1	2	5	1	26		1.58
2017	Musculoskeletal	1	2	1	2	1	3	0	1	2	3	2	0	18	15,032	1.20
	Non-musculoskeletal	0	0	0	0	0	2	0	0	0	0	0	0	2		0.13
	TOTAL	1	2	1	2	1	5	0	1	2	3	2	0	20		1.33
2018	Musculoskeletal	2	1	0	4	3	1	6	0	7	1	3	4	32	15,049	2.13
	Non-musculoskeletal	0	0	1	0	1	0	0	1	0	0	1	0	4		0.27
	TOTAL	2	1	1	4	4	1	6	1	7	1	4	4	36		2.39
2019	Musculoskeletal	0	1	1	4	1	0	0	1	1	5	2	3	19	15,456	1.23
	Non-musculoskeletal	0	0	0	0	0	2	0	1	0	0	0	0	3		0.19
	TOTAL	0	1	1	4	1	2	0	2	1	5	2	3	22		1.42

Year	Category Non-musculoskeletal: EIPH, Sudden Death, Racing Accident	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Total	Starts (Equibase)	Fatalities per 1,000 starts
2020	Musculoskeletal	1	0	1	0	1	0	0	3	4				10	9,325	1.07
	Non-musculoskeletal	0	0	3	0	0	0	0	0	0				3		0.32
	TOTAL	1	0	4	0	1	0	0	3	4				13		1.39

Kentucky Pari-Mutuel Excise Tax and BIF by Source, FYTD 2021
August-2020

Racetrack/ADW	Thoroughbred Development Fund		Standardbred Development Fund		QH/Other Development Fund		Equine Industry Program		Equine Drug Program		Higher Education Program		Backside Improvement		ADW Host Track Allocation		ADW Purse Allocation		Kentucky General Fund		Total Excise Tax FYTD	
	% of Fund Total		% of Fund Total		% of Fund Total		% of Fund Total		% of Fund Total		% of Fund Total		% of Fund Total		% of Fund Total		% of Fund Total		% of Fund Total		% of Fund Total	
	FYTD		FYTD		FYTD		FYTD		FYTD		FYTD		FYTD		FYTD		FYTD		FYTD		FYTD	
Live	\$ 12,565	0.3%	\$ 3,367	0.7%			\$ 3,889	0.6%	\$ 1,945	0.6%	\$ 1,945	0.6%	\$ 8,377	100.0%					\$ 6,132	0.2%	\$ 38,219	0.4%
Churchill Downs	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%
Ellis Park	\$ 7,507	0.2%					\$ 2,001	0.3%	\$ 1,001	0.3%	\$ 1,001	0.3%	\$ 5,005	59.8%					\$ 3,503	0.1%	\$ 20,020	0.2%
Keeneland	\$ 5,057	0.1%					\$ 1,349	0.2%	\$ 674	0.2%	\$ 674	0.2%	\$ 3,372	40.2%					\$ 2,360	0.1%	\$ 13,486	0.2%
Kentucky Downs	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%
Oak Grove			\$ -	0.0%			\$ -	0.0%	\$ -	0.0%	\$ -	0.0%							\$ -	0.0%	\$ -	0.0%
The Red Mile			\$ 3,367	0.7%			\$ 539	0.1%	\$ 269	0.1%	\$ 269	0.1%							\$ 269	0.0%	\$ 4,713	0.1%
Turfway Park	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%					\$ -	0.0%	\$ -	0.0%
Simulcast	\$ 172,917	4.5%	\$ 8,812	1.9%	\$ 1,831	100%	\$ 4,589	0.7%	\$ 9,178	2.8%	\$ 9,178	2.8%							\$ 68,835	2.5%	\$ 275,339	3.2%
Churchill Downs	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%							\$ -	0.0%	\$ -	0.0%
Ellis Park	\$ 37,778	1.0%	\$ 5,081	1.1%	\$ 213	11.6%	\$ 1,076	0.2%	\$ 2,154	0.7%	\$ 2,154	0.7%							\$ 16,152	0.6%	\$ 64,608	0.7%
Keeneland	\$ 98,628	2.6%			\$ 392	21.4%	\$ 2,476	0.4%	\$ 4,951	1.5%	\$ 4,951	1.5%							\$ 37,132	1.3%	\$ 148,529	1.7%
Kentucky Downs	\$ 36,511	0.9%	\$ 3,731	0.8%	\$ 1,227	67.0%	\$ 1,036	0.2%	\$ 2,074	0.6%	\$ 2,074	0.6%							\$ 15,551	0.6%	\$ 62,203	0.7%
Oak Grove																						
The Red Mile																						
Turfway Park	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%	\$ -	0.0%							\$ -	0.0%	\$ -	0.0%
ADW															\$ 162,416	100.0%	\$ 162,416	100.0%	\$ 57,324	2.1%	\$ 382,156	4.4%
Amwest															\$ 806	0.5%	\$ 806	0.5%	\$ 285	0.0%	\$ 1,897	0.0%
eBet															\$ 448	0.3%	\$ 448	0.3%	\$ 158	0.0%	\$ 1,055	0.0%
Lien Games															\$ 126	0.1%	\$ 126	0.1%	\$ 44	0.0%	\$ 296	0.0%
NYRA															\$ 4,850	3.0%	\$ 4,850	3.0%	\$ 1,712	0.1%	\$ 11,413	0.1%
TVG															\$ 57,228	35.2%	\$ 57,228	35.2%	\$ 20,198	0.7%	\$ 134,654	1.5%
TwinSpires															\$ 80,938	49.8%	\$ 80,938	49.8%	\$ 28,566	1.0%	\$ 190,441	2.2%
Watch and Wager															\$ 134	0.1%	\$ 134	0.1%	\$ 47	0.0%	\$ 314	0.0%
XpressBet															\$ 17,886	11.0%	\$ 17,886	11.0%	\$ 6,313	0.2%	\$ 42,085	0.5%
HHR	\$ 3,678,894	95.2%	\$ 441,021	97.3%			\$ 650,000	98.7%	\$ 320,000	96.6%	\$ 320,000	96.6%							\$ 2,624,022	95.2%	\$ 8,033,938	92.0%
Derby City Gaming	\$ 1,565,864	40.5%					\$ 250,499	38.0%	\$ 123,322	37.2%	\$ 123,322	37.2%							\$ 1,068,720	38.8%	\$ 3,131,728	35.9%
Ellis Park	\$ 281,048	7.3%					\$ 46,370	7.0%	\$ 22,829	6.9%	\$ 22,829	6.9%							\$ 189,020	6.9%	\$ 562,097	6.4%
Keeneland/Red Mile	\$ 330,765	8.6%	\$ 441,021	97.3%			\$ 106,214	16.1%	\$ 52,290	15.8%	\$ 52,290	15.8%							\$ 340,481	12.4%	\$ 1,323,061	15.2%
Kentucky Downs	\$ 1,501,217	38.8%					\$ 246,917	37.5%	\$ 121,559	36.7%	\$ 121,559	36.7%							\$ 1,025,800	37.2%	\$ 3,017,051	34.6%
Grand Total	\$ 3,864,376	100.0%	\$ 453,199	100.0%	\$ 1,831	100%	\$ 658,478	100.0%	\$ 331,123	100.0%	\$ 331,123	100.0%	\$ 8,377	100.0%	\$ 162,416	100.0%	\$ 162,416	100.0%	\$ 2,756,313	100.0%	\$ 8,729,651	100.0%



Kentucky Live and Simulcast Wagering Report

August 2020

Live Racing						
	Aug-20	Aug-19	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	28	27	3.7%	44	48	-8.3%
On Track Handle	\$ 895,059	\$ 2,602,619	-65.6%	\$ 1,944,600	\$ 4,356,183	-55.4%
Less: Return to the Public	\$ 704,695	\$ 2,066,744	-65.9%	\$ 1,548,387	\$ 3,459,047	-55.2%
Less: Breakage	\$ 4,793	\$ 16,461	-70.9%	\$ 11,849	\$ 29,480	-59.8%
Gross Commission	<u>\$ 185,572</u>	<u>\$ 519,414</u>	<u>-64.3%</u>	<u>\$ 384,365</u>	<u>\$ 867,655</u>	<u>-55.7%</u>
TB Development Fund	\$ 4,693	\$ 16,098	-70.8%	\$ 12,565	\$ 28,630	-56.1%
SB Development Fund	\$ 3,367	\$ 5,703	-41.0%	\$ 3,367	\$ 6,735	-50.0%
Equine Industry Program	\$ 1,790	\$ 5,205	-65.6%	\$ 3,889	\$ 8,712	-55.4%
Equine Drug Research	\$ 895	\$ 2,603	-65.6%	\$ 1,945	\$ 4,356	-55.4%
Higher Education Fund	\$ 895	\$ 2,603	-65.6%	\$ 1,945	\$ 4,356	-55.4%
Ky General Fund	\$ 2,459	\$ 7,969	-69.1%	\$ 6,133	\$ 13,900	-55.9%
Total Kentucky Excise Tax	<u>\$ 14,099</u>	<u>\$ 40,180</u>	<u>-64.9%</u>	<u>\$ 29,842</u>	<u>\$ 66,690</u>	<u>-55.3%</u>
Backside Improvement Fund	\$ 3,129	\$ 10,732	-70.8%	\$ 8,376	\$ 19,087	-56.1%
Net Commission	\$ 168,344	\$ 468,502	-64.1%	\$ 346,146	\$ 781,879	-55.7%
Off Track Handle From:						
Other Kentucky Tracks	\$ 339,335	\$ 815,906	-58.4%	\$ 822,661	\$ 1,434,899	-42.7%
Kentucky ADW's	\$ 2,502,114	\$ 1,635,205	53.0%	\$ 10,955,470	\$ 2,986,310	266.9%
Other Sources	\$ 29,995,822	\$ 26,018,760	15.3%	\$ 112,268,275	\$ 44,363,920	153.1%
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle	<u>\$ 33,732,330</u>	<u>\$ 31,072,490</u>	<u>8.6%</u>	<u>\$ 125,991,006</u>	<u>\$ 53,141,312</u>	<u>137.1%</u>
Average Daily Handle - On Track	\$ 31,966	\$ 96,393	-66.8%	\$ 44,195	\$ 90,754	-51.3%
Average Daily Handle - All Sources	\$ 1,204,726	\$ 1,150,833	4.7%	\$ 2,863,432	\$ 1,107,111	158.6%
Simulcast						
Handle by Breed						
Thoroughbred	\$ 4,513,876	\$ 10,543,568	-57.2%	\$ 8,645,874	\$ 18,862,169	-54.2%
Standardbred	\$ 2,170,185	\$ 2,073,911	4.6%	\$ 4,657,135	\$ 4,573,649	1.8%
Qtr/Arabian	\$ 50,431	\$ 87,838	-42.6%	\$ 118,349	\$ 135,912	-12.9%
Total Handle	<u>\$ 6,734,492</u>	<u>\$ 12,705,317</u>	<u>-47.0%</u>	<u>\$ 13,421,358</u>	<u>\$ 23,571,730</u>	<u>-43.1%</u>
TB Development Fund	\$ 90,278	\$ 206,487	-56.3%	\$ 172,917	\$ 369,896	-53.3%
SB Development Fund	\$ 4,433	\$ 4,420	0.3%	\$ 8,811	\$ 8,754	0.7%
QH Development Fund	\$ 828	\$ 1,524	-45.7%	\$ 1,831	\$ 2,249	-18.6%
Equine Industry Program*	\$ 2,388	\$ 5,311	-55.0%	\$ 4,589	\$ 9,522	-51.8%
Equine Drug Research	\$ 4,777	\$ 10,621	-55.0%	\$ 9,178	\$ 19,045	-51.8%
Higher Education Fund	\$ 4,777	\$ 10,621	-55.0%	\$ 9,178	\$ 19,045	-51.8%
Ky General Fund	\$ 35,827	\$ 79,661	-55.0%	\$ 68,835	\$ 142,837	-51.8%
Total Kentucky Excise Tax	<u>\$ 143,307</u>	<u>\$ 318,645</u>	<u>-55.0%</u>	<u>\$ 275,339</u>	<u>\$ 571,346</u>	<u>-51.8%</u>

* Rate is .0005 for Simulcast only

Monthly Track Report - Bluegrass Downs

August 2020

Live Racing

	Aug-20	Aug-19	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		0	6	-100.0%
On Track Handle	\$ -	\$ -		\$ -	\$ 5,930	-100.0%
Less: Return to the Public	\$ -	\$ -		\$ -	\$ 4,659	-100.0%
Less: Breakage	\$ -	\$ -		\$ -	\$ 43	-100.0%
Gross Commission	\$ -	\$ -		\$ -	\$ 1,228	-100.0%
SB Development Fund	\$ -	\$ -		\$ -	\$ 74	-100.0%
Equine Industry Program	\$ -	\$ -		\$ -	\$ 12	-100.0%
Equine Drug Research	\$ -	\$ -		\$ -	\$ 6	-100.0%
Higher Education Fund	\$ -	\$ -		\$ -	\$ 6	-100.0%
Ky General Fund	\$ -	\$ -		\$ -	\$ 6	-100.0%
Total Kentucky Excise Tax (1.75%)	\$ -	\$ -		\$ -	\$ 104	-100.0%
Net Commission	\$ -	\$ -		\$ -	\$ 1,124	-100.0%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources	\$ -	\$ -		\$ -	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle	\$ -	\$ -		\$ -	\$ 5,930	-100.0%
Average Daily Handle - On Track					\$ 988	-100.0%
Average Daily Handle - All Sources					\$ 988	-100.0%

Simulcast

Handle by Breed						
Thoroughbred	\$ -	\$ 219,241	-100.0%	\$ -	\$ 367,393	-100.0%
Standardbred	\$ -	\$ 8,516	-100.0%	\$ -	\$ 15,589	-100.0%
Qtr/Arabian	\$ -	\$ 567	-100.0%	\$ -	\$ 869	-100.0%
Total Handle	\$ -	\$ 228,324	-100.0%	\$ -	\$ 383,851	-100.0%
TB Development Fund						
SB Development Fund						
QH Development Fund			ONLY TAXED ON LIVE RACING			
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
Total Kentucky Excise Tax						

Monthly Track Report - Churchill Downs

August 2020

Live Racing

	Aug-20	Aug-19	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		0	0	
On Track Handle	\$ -	\$ -		\$ -	\$ -	
Less: Return to the Public	\$ -	\$ -		\$ -	\$ -	
Less: Breakage	\$ -	\$ -		\$ -	\$ -	
Gross Commission	\$ -	\$ -		\$ -	\$ -	
TB Development Fund	\$ -	\$ -		\$ -	\$ -	
Equine Industry Program	\$ -	\$ -		\$ -	\$ -	
Equine Drug Research	\$ -	\$ -		\$ -	\$ -	
Higher Education Fund	\$ -	\$ -		\$ -	\$ -	
Ky General Fund	\$ -	\$ -		\$ -	\$ -	
Total Kentucky Excise Tax (1.5%)	\$ -	\$ -		\$ -	\$ -	
Backside Improvement Fund	\$ -	\$ -		\$ -	\$ -	
Net Commission	\$ -	\$ -		\$ -	\$ -	
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources	\$ -	\$ -		\$ -	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle	\$ -	\$ -		\$ -	\$ -	
Average Daily Handle - On Track						
Average Daily Handle - All Sources						

Simulcast

Handle by Breed						
Thoroughbred	\$ -	\$ 3,183,644	-100.0%	\$ -	\$ 5,529,498	-100.0%
Standardbred	\$ -	\$ 9,347	-100.0%	\$ -	\$ 11,140	-100.0%
Qtr/Arabian	\$ -	\$ 34,636	-100.0%	\$ -	\$ 47,831	-100.0%
Total Handle	\$ -	\$ 3,227,627	-100.0%	\$ -	\$ 5,588,469	-100.0%
TB Development Fund	\$ -	\$ 63,673	-100.0%	\$ -	\$ 110,590	-100.0%
SB Development Fund	\$ -	\$ 187	-100.0%	\$ -	\$ 223	-100.0%
QH Development Fund	\$ -	\$ 693	-100.0%	\$ -	\$ 957	-100.0%
Equine Industry Program*	\$ -	\$ 1,614	-100.0%	\$ -	\$ 2,794	-100.0%
Equine Drug Research	\$ -	\$ 3,228	-100.0%	\$ -	\$ 5,588	-100.0%
Higher Education Fund	\$ -	\$ 3,228	-100.0%	\$ -	\$ 5,588	-100.0%
Ky General Fund	\$ -	\$ 24,207	-100.0%	\$ -	\$ 41,914	-100.0%
Total Kentucky Excise Tax (3%)	\$ -	\$ 96,829	-100.0%	\$ -	\$ 167,654	-100.0%

* Rate is .0005 for Simulcast only

Monthly Track Report - Ellis Park

August 2020

Live Racing

	Aug-20	Aug-19	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	14	12	16.7%	25	24	4.2%
On Track Handle	\$ 625,733	\$ 1,822,222	-65.7%	\$ 1,000,965	\$ 3,493,217	-71.3%
Less: Return to the Public	\$ 497,828	\$ 1,449,436	-65.7%	\$ 796,412	\$ 2,777,727	-71.3%
Less: Breakage	\$ 5,389	\$ 14,168	-62.0%	\$ 8,359	\$ 27,140	-69.2%
Gross Commission	<u>\$ 122,516</u>	<u>\$ 358,619</u>	-65.8%	<u>\$ 196,195</u>	<u>\$ 688,350</u>	-71.5%
TB Development Fund	\$ 4,693	\$ 13,667	-65.7%	\$ 7,507	\$ 26,199	-71.3%
Equine Industry Program	\$ 1,251	\$ 3,644	-65.7%	\$ 2,002	\$ 6,986	-71.3%
Equine Drug Research	\$ 626	\$ 1,822	-65.7%	\$ 1,001	\$ 3,493	-71.3%
Higher Education Fund	\$ 626	\$ 1,822	-65.7%	\$ 1,001	\$ 3,493	-71.3%
Ky General Fund	\$ 2,190	\$ 6,378	-65.7%	\$ 3,503	\$ 12,226	-71.3%
Total Kentucky Excise Tax (1.5%)	<u>\$ 9,386</u>	<u>\$ 27,333</u>	-65.7%	<u>\$ 15,014</u>	<u>\$ 52,398</u>	-71.3%
Backside Improvement Fund	\$ 3,129	\$ 9,111	-65.7%	\$ 5,005	\$ 17,466	-71.3%
Net Commission	\$ 110,001	\$ 322,174	-65.9%	\$ 176,175	\$ 618,486	-71.5%
Off Track Handle From:						
Other Kentucky Tracks	\$ 326,436	\$ 635,113	-48.6%	\$ 532,769	\$ 1,251,652	-57.4%
Kentucky ADW's	\$ 2,415,175	\$ 1,131,802	113.4%	\$ 4,074,802	\$ 2,475,251	64.6%
Other Sources	\$ 25,842,502	\$ 16,331,783	58.2%	\$ 42,409,100	\$ 34,446,682	23.1%
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle	<u>\$ 29,209,846</u>	<u>\$ 19,920,920</u>	46.6%	<u>\$ 48,017,636</u>	<u>\$ 41,666,802</u>	15.2%
Average Daily Handle - On Track	\$ 44,695	\$ 151,852	-70.6%	\$ 40,039	\$ 145,551	-72.5%
Average Daily Handle - All Sources	\$ 2,086,418	\$ 1,660,077	25.7%	\$ 1,920,705	\$ 1,736,117	10.6%

Simulcast

Handle by Breed						
Thoroughbred	\$ 932,561	\$ 1,342,449	-30.5%	\$ 1,888,921	\$ 2,493,228	-24.2%
Standardbred	\$ 125,147	\$ 105,353	18.8%	\$ 254,044	\$ 212,816	19.4%
Qtr/Arabian	\$ 5,094	\$ -	#DIV/0!	\$ 10,631	\$ 117	8955.0%
Total Handle	<u>\$ 1,062,802</u>	<u>\$ 1,447,802</u>	-26.6%	<u>\$ 2,153,596</u>	<u>\$ 2,706,161</u>	-20.4%
TB Development Fund	\$ 18,651	\$ 26,849	-30.5%	\$ 37,778	\$ 49,865	-24.2%
SB Development Fund	\$ 2,503	\$ 2,107	18.8%	\$ 5,081	\$ 4,256	19.4%
QH Development Fund	\$ 102	\$ -	#DIV/0!	\$ 213	\$ 2	8955.0%
Equine Industry Program*	\$ 531	\$ 724	-26.6%	\$ 1,077	\$ 1,353	-20.4%
Equine Drug Research	\$ 1,063	\$ 1,448	-26.6%	\$ 2,154	\$ 2,706	-20.4%
Higher Education Fund	\$ 1,063	\$ 1,448	-26.6%	\$ 2,154	\$ 2,706	-20.4%
Ky General Fund	\$ 7,971	\$ 10,859	-26.6%	\$ 16,152	\$ 20,296	-20.4%
Total Kentucky Excise Tax (3%)	<u>\$ 31,884</u>	<u>\$ 43,434</u>	-26.6%	<u>\$ 64,608</u>	<u>\$ 81,185</u>	-20.4%

* Rate is .0005 for Simulcast only

Monthly Track Report - Keeneland

August 2020

Live Racing

	Aug-20	Aug-19	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		5	0	
On Track Handle	\$ -	\$ -		\$ 674,310	\$ -	
Less: Return to the Public	\$ -	\$ -		\$ 545,109	\$ -	
Less: Breakage	\$ -	\$ -		\$ -	\$ -	
Gross Commission	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 129,200</u>	<u>\$ -</u>	
TB Development Fund	\$ -	\$ -		\$ 5,057	\$ -	
Equine Industry Program	\$ -	\$ -		\$ 1,349	\$ -	
Equine Drug Research	\$ -	\$ -		\$ 674	\$ -	
Higher Education Fund	\$ -	\$ -		\$ 674	\$ -	
Ky General Fund	\$ -	\$ -		\$ 2,360	\$ -	
Total Kentucky Excise Tax (1.5%)	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 10,115</u>	<u>\$ -</u>	
Backside Improvement Fund	\$ -	\$ -		\$ 3,372	\$ -	
Net Commission	\$ -	\$ -		\$ 115,714	\$ -	
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ 156,890	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ 6,038,181	\$ -	
Other Sources (Non-Ky Tracks, OTB's, Other ADW's)	\$ -	\$ -		\$ 56,429,951	\$ -	
All Sources Handle	<u>\$ -</u>	<u>\$ -</u>		<u>\$ 63,299,332</u>	<u>\$ -</u>	
Average Daily Handle - On Track				\$ 134,862		
Average Daily Handle - All Sources				\$ 12,659,866		

Simulcast

Handle by Breed						
Thoroughbred	\$ 2,687,212	\$ 3,154,902	-14.8%	\$ 4,931,419	\$ 5,892,489	-16.3%
Standardbred						
Qtr/Arabian	\$ 8,140	\$ 13,510	-39.8%	\$ 19,567	\$ 17,920	9.2%
Total Handle	<u>\$ 2,695,351</u>	<u>\$ 3,168,413</u>	<u>-14.9%</u>	<u>\$ 4,950,986</u>	<u>\$ 5,910,410</u>	<u>-16.2%</u>
TB Development Fund	\$ 53,744	\$ 63,098	-14.8%	\$ 98,628	\$ 117,850	-16.3%
SB Development Fund						
QH Development Fund	\$ 163	\$ 270	-39.8%	\$ 391	\$ 358	9.2%
Equine Industry Program*	\$ 1,348	\$ 1,584	-14.9%	\$ 2,475	\$ 2,955	-16.2%
Equine Drug Research	\$ 2,695	\$ 3,168	-14.9%	\$ 4,951	\$ 5,910	-16.2%
Higher Education Fund	\$ 2,695	\$ 3,168	-14.9%	\$ 4,951	\$ 5,910	-16.2%
Ky General Fund	\$ 20,215	\$ 23,763	-14.9%	\$ 37,132	\$ 44,328	-16.2%
Total Kentucky Excise Tax (3%)	<u>\$ 80,861</u>	<u>\$ 95,052</u>	<u>-14.9%</u>	<u>\$ 148,530</u>	<u>\$ 177,312</u>	<u>-16.2%</u>

* Rate is .0005 for Simulcast only

Monthly Track Report - Kentucky Downs

August 2020

Live Racing

	Aug-20	Aug-19	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	1	-100.0%	0	1	-100.0%
On Track Handle	\$ -	\$ 324,136	-100.0%	\$ -	\$ 324,136	-100.0%
Less: Return to the Public	\$ -	\$ 267,024	-100.0%	\$ -	\$ 267,024	-100.0%
Less: Breakage	\$ -	\$ 1,453	-100.0%	\$ -	\$ 1,453	-100.0%
Gross Commission	<u>\$ -</u>	<u>\$ 55,659</u>	-100.0%	<u>\$ -</u>	<u>\$ 55,659</u>	-100.0%
TB Development Fund	\$ -	\$ 2,431	-100.0%	\$ -	\$ 2,431	-100.0%
Equine Industry Program	\$ -	\$ 648	-100.0%	\$ -	\$ 648	-100.0%
Equine Drug Research	\$ -	\$ 324	-100.0%	\$ -	\$ 324	-100.0%
Higher Education Fund	\$ -	\$ 324	-100.0%	\$ -	\$ 324	-100.0%
Ky General Fund	\$ -	\$ 1,134	-100.0%	\$ -	\$ 1,134	-100.0%
Total Kentucky Excise Tax (1.5%)	<u>\$ -</u>	<u>\$ 4,862</u>	-100.0%	<u>\$ -</u>	<u>\$ 4,862</u>	-100.0%
Backside Improvement Fund	\$ -	\$ 1,621	-100.0%	\$ -	\$ 1,621	-100.0%
Net Commission	\$ -	\$ 49,176	-100.0%	\$ -	\$ 49,176	-100.0%
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ 162,227	-100.0%	\$ -	\$ 162,227	-100.0%
Kentucky ADW's	\$ -	\$ 434,119	-100.0%	\$ -	\$ 434,119	-100.0%
Other Sources	\$ -	\$ 7,543,622	-100.0%	\$ -	\$ 7,543,622	-100.0%
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle	<u>\$ -</u>	<u>\$ 8,464,104</u>	-100.0%	<u>\$ -</u>	<u>\$ 8,464,104</u>	-100.0%
Average Daily Handle - On Track		\$ 324,136	-100.0%		\$ 324,136	-100.0%
Average Daily Handle - All Sources		\$ 8,464,104	-100.0%		\$ 8,464,104	-100.0%

Simulcast

Handle by Breed						
Thoroughbred	\$ 894,104	\$ 1,287,726	-30.6%	\$ 1,825,534	\$ 2,186,892	-16.5%
Standardbred	\$ 96,482	\$ 60,822	58.6%	\$ 186,521	\$ 124,982	49.2%
Qtr/Arabian	\$ 28,148	\$ 21,396	31.6%	\$ 61,332	\$ 35,319	73.7%
Total Handle	<u>\$ 1,018,734</u>	<u>\$ 1,369,944</u>	-25.6%	<u>\$ 2,073,387</u>	<u>\$ 2,347,194</u>	-11.7%
TB Development Fund	\$ 17,882	\$ 25,755	-30.6%	\$ 36,511	\$ 43,738	-16.5%
SB Development Fund	\$ 1,930	\$ 1,216	58.6%	\$ 3,730	\$ 2,500	49.2%
QH Development Fund	\$ 563	\$ 428	31.6%	\$ 1,227	\$ 706	73.7%
Equine Industry Program*	\$ 509	\$ 685	-25.6%	\$ 1,037	\$ 1,174	-11.7%
Equine Drug Research	\$ 1,019	\$ 1,370	-25.6%	\$ 2,073	\$ 2,347	-11.7%
Higher Education Fund	\$ 1,019	\$ 1,370	-25.6%	\$ 2,073	\$ 2,347	-11.7%
Ky General Fund	\$ 7,641	\$ 10,275	-25.6%	\$ 15,550	\$ 17,604	-11.7%
Total Kentucky Excise Tax (3%)	<u>\$ 30,562</u>	<u>\$ 41,098</u>	-25.6%	<u>\$ 62,202</u>	<u>\$ 70,416</u>	-11.7%

* Rate is .0005 for Simulcast only

Monthly Track Report - Oak Grove

August 2020

Live Racing

	Aug-20	Aug-19	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		0	0	
On Track Handle	\$ -	\$ -		\$ -	\$ -	
Less: Return to the Public	\$ -	\$ -		\$ -	\$ -	
Less: Breakage	\$ -	\$ -		\$ -	\$ -	
Gross Commission	\$ -	\$ -		\$ -	\$ -	
SB Development Fund	\$ -	\$ -		\$ -	\$ -	
Equine Industry Program	\$ -	\$ -		\$ -	\$ -	
Equine Drug Research	\$ -	\$ -		\$ -	\$ -	
Higher Education Fund	\$ -	\$ -		\$ -	\$ -	
Ky General Fund	\$ -	\$ -		\$ -	\$ -	
Total Kentucky Excise Tax (1.75%)	\$ -	\$ -		\$ -	\$ -	
Net Commission	\$ -	\$ -		\$ -	\$ -	
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources	\$ -	\$ -		\$ -	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle	\$ -	\$ -		\$ -	\$ -	
Average Daily Handle - On Track						
Average Daily Handle - All Sources						

Simulcast

Handle by Breed						
Thoroughbred	\$ -	\$ -		\$ -	\$ -	
Standardbred	\$ -	\$ -		\$ -	\$ -	
Qtr/Arabian	\$ -	\$ -		\$ -	\$ -	
Total Handle	\$ -	\$ -		\$ -	\$ -	
TB Development Fund						
SB Development Fund						
QH Development Fund						
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
Total Kentucky Excise Tax						

ONLY TAXED ON LIVE RACING

Monthly Track Report - The Red Mile

August 2020

Live Racing

	Aug-20	Aug-19	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	14	14	0.0%	14	17	-17.6%
On Track Handle	\$ 269,326	\$ 456,261	-41.0%	\$ 269,326	\$ 532,900	-49.5%
Less: Return to the Public	\$ 206,866	\$ 350,284	-40.9%	\$ 206,866	\$ 409,637	-49.5%
Less: Breakage	\$ (596)	\$ 840	-171.0%	\$ (596)	\$ 845	-170.6%
Gross Commission	\$ 63,056	\$ 105,137	-40.0%	\$ 63,056	\$ 122,418	-48.5%
SB Development Fund	\$ 3,367	\$ 5,703	-41.0%	\$ 3,367	\$ 6,661	-49.5%
Equine Industry Program	\$ 539	\$ 913	-41.0%	\$ 539	\$ 1,066	-49.5%
Equine Drug Research	\$ 269	\$ 456	-41.0%	\$ 269	\$ 533	-49.5%
Higher Education Fund	\$ 269	\$ 456	-41.0%	\$ 269	\$ 533	-49.5%
Ky General Fund	\$ 269	\$ 456	-41.0%	\$ 269	\$ 533	-49.5%
Total Kentucky Excise Tax (1.75%)	\$ 4,713	\$ 7,985	-41.0%	\$ 4,713	\$ 9,326	-49.5%
Net Commission	\$ 58,343	\$ 97,152	-39.9%	\$ 58,343	\$ 113,093	-48.4%
Off Track Handle From:						
Other Kentucky Tracks	\$ 12,899	\$ 18,566	-30.5%	\$ 12,899	\$ 21,020	-38.6%
Kentucky ADW's	\$ 86,939	\$ 69,284	25.5%	\$ 86,939	\$ 76,940	13.0%
Other Sources	\$ 4,153,320	\$ 2,143,355	93.8%	\$ 4,153,320	\$ 2,373,616	75.0%
<small>(Non-Ky Tracks, OTB's, Other ADW's)</small>						
All Sources Handle	\$ 4,522,484	\$ 2,687,466	68.3%	\$ 4,522,484	\$ 3,004,476	50.5%
Average Daily Handle - On Track	\$ 19,238	\$ 32,590	-41.0%	\$ 19,238	\$ 31,347	-38.6%
Average Daily Handle - All Sources	\$ 323,035	\$ 191,962	68.3%	\$ 323,035	\$ 176,734	82.8%

Simulcast

Handle by Breed						
Thoroughbred	\$ -	\$ -	#DIV/0!	\$ -	\$ -	#DIV/0!
Standardbred	\$ 1,948,556	\$ 1,844,420	5.6%	\$ 4,216,570	\$ 4,120,378	2.3%
Qtr/Arabian	\$ 9,049	\$ 11,081	-18.3%	\$ 26,820	\$ 22,617	18.6%
Total Handle	\$ 1,957,606	\$ 1,855,501	5.5%	\$ 4,243,390	\$ 4,142,995	2.4%
TB Development Fund						
SB Development Fund						
QH Development Fund			ONLY TAXED ON LIVE RACING			
Equine Industry Program						
Equine Drug Research						
Higher Education Fund						
Ky General Fund						
Total Kentucky Excise Tax						

Monthly Track Report - Turfway

August 2020

Live Racing

	Aug-20	Aug-19	% Change	FYTD - 2021	FYTD - 2020	% Change
Race Dates	0	0		0	0	
On Track Handle	\$ -	\$ -		\$ -	\$ -	
Less: Return to the Public	\$ -	\$ -		\$ -	\$ -	
Less: Breakage	\$ -	\$ -		\$ -	\$ -	
Gross Commission	\$ -	\$ -		\$ -	\$ -	
TB Development Fund	\$ -	\$ -		\$ -	\$ -	
Equine Industry Program	\$ -	\$ -		\$ -	\$ -	
Equine Drug Research	\$ -	\$ -		\$ -	\$ -	
Higher Education Fund	\$ -	\$ -		\$ -	\$ -	
Ky General Fund	\$ -	\$ -		\$ -	\$ -	
Total Kentucky Excise Tax (1.5%)	\$ -	\$ -		\$ -	\$ -	
Backside Improvement Fund	\$ -	\$ -		\$ -	\$ -	
Net Commission	\$ -	\$ -		\$ -	\$ -	
Off Track Handle From:						
Other Kentucky Tracks	\$ -	\$ -		\$ -	\$ -	
Kentucky ADW's	\$ -	\$ -		\$ -	\$ -	
Other Sources	\$ -	\$ -		\$ -	\$ -	
(Non-Ky Tracks, OTB's, Other ADW's)						
All Sources Handle	\$ -	\$ -		\$ -	\$ -	
Average Daily Handle - On Track						
Average Daily Handle - All Sources						

Simulcast

Handle by Breed						
Thoroughbred	\$ -	\$ 1,355,607	-100.0%	\$ -	\$ 2,392,669	-100.0%
Standardbred	\$ -	\$ 45,454	-100.0%	\$ -	\$ 88,744	-100.0%
Qtr/Arabian	\$ -	\$ 6,647	-100.0%	\$ -	\$ 11,237	-100.0%
Total Handle	\$ -	\$ 1,407,707	-100.0%	\$ -	\$ 2,492,650	-100.0%
TB Development Fund	\$ -	\$ 27,112	-100.0%	\$ -	\$ 47,853	-100.0%
SB Development Fund	\$ -	\$ 909	-100.0%	\$ -	\$ 1,775	-100.0%
QH Development Fund	\$ -	\$ 133	-100.0%	\$ -	\$ 225	-100.0%
Equine Industry Program*	\$ -	\$ 704	-100.0%	\$ -	\$ 1,246	-100.0%
Equine Drug Research	\$ -	\$ 1,408	-100.0%	\$ -	\$ 2,493	-100.0%
Higher Education Fund	\$ -	\$ 1,408	-100.0%	\$ -	\$ 2,493	-100.0%
Ky General Fund	\$ -	\$ 10,558	-100.0%	\$ -	\$ 18,695	-100.0%
Total Kentucky Excise Tax (3%)	\$ -	\$ 42,231	-100.0%	\$ -	\$ 74,780	-100.0%

* Rate is .0005 for Simulcast only

Kentucky Resident Advance Deposit Wagering Report August, 2020

	Aug-20	Aug-19	% Change	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	\$ 2,502,114	\$ 1,635,205	53.0%	\$ 10,199,922	\$ 2,986,310	241.6%
Total Out-of State Handle	\$ 33,765,245	\$ 21,188,010	59.4%	\$ 66,231,540	\$ 39,657,987	67.0%
Total Handle (TH)	\$ 36,267,359	\$ 22,823,215	58.9%	\$ 76,431,462	\$ 42,644,297	79.2%
Host Track (42.5% Allocation)	\$ 77,068	\$ 48,499	58.9%	\$ 162,417	\$ 90,619	79.2%
Purse (42.5% Allocation)	\$ 77,068	\$ 48,499	58.9%	\$ 162,417	\$ 90,619	79.2%
KY General Fund (15% Allocation)	\$ 27,201	\$ 17,117	58.9%	\$ 57,324	\$ 31,983	79.2%
Total KY Excise Tax (.05% of TH)	\$ 181,337	\$ 114,116	58.9%	\$ 382,157	\$ 213,221	79.2%



Kentucky Resident Advance Deposit Wagering Report for August 2020

AmWest Entertainment	<u>% of Total</u>	<u>Aug-20</u>	<u>Aug-19</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2021</u>	<u>FYTD-2020</u>	<u>% Change</u>
Total In-State Handle	0.17%	\$ 4,349	\$ 9,745	-55.4%	0.20%	\$ 20,524	\$ 11,388	80.2%
Total Out-of State Handle	0.64%	\$ 215,125	\$ 93,357	130.4%	0.54%	\$ 358,852	\$ 170,341	110.7%
Total Handle (TH)	0.61%	\$ 219,473	\$ 103,102	112.9%	0.50%	\$ 379,376	\$ 181,729	108.8%
Host Track (42.5% Allocation)		\$ 466	\$ 219	112.9%		\$ 806	\$ 386	108.8%
Purse (42.5% Allocation)		\$ 466	\$ 219	112.9%		\$ 806	\$ 386	108.8%
KY General Fund (15% Allocation)		\$ 165	\$ 77	112.9%		\$ 285	\$ 136	108.8%
Total KY Excise Tax (.05% of TH)		\$ 1,097	\$ 516	112.9%		\$ 1,897	\$ 909	108.8%

eBet	<u>% of Total</u>	<u>Aug-20</u>	<u>Aug-19</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2021</u>	<u>FYTD-2020</u>	<u>% Change</u>
Total In-State Handle	0.18%	\$ 4,601	\$ 3,058	50.5%	0.11%	\$ 11,705	\$ 5,411	116.3%
Total Out-of State Handle	0.36%	\$ 121,379	\$ 93,232	30.2%	0.30%	\$ 199,201	\$ 163,493	21.8%
Total Handle (TH)	0.35%	\$ 125,980	\$ 96,290	30.8%	0.28%	\$ 210,907	\$ 168,904	24.9%
Host Track (42.5% Allocation)		\$ 268	\$ 205	30.8%		\$ 448	\$ 359	24.9%
Purse (42.5% Allocation)		\$ 268	\$ 205	30.8%		\$ 448	\$ 359	24.9%
KY General Fund (15% Allocation)		\$ 94	\$ 72	30.8%		\$ 158	\$ 127	24.9%
Total KY Excise Tax (.05% of TH)		\$ 630	\$ 481	30.8%		\$ 1,055	\$ 845	24.9%

Lien Games	<u>% of Total</u>	<u>Aug-20</u>	<u>Aug-19</u>	<u>% Change</u>	<u>% of Total</u>	<u>FYTD-2021</u>	<u>FYTD-2020</u>	<u>% Change</u>
Total In-State Handle	0.04%	\$ 1,032	\$ 221	366.8%	0.03%	\$ 3,441	\$ 1,118	207.8%
Total Out-of State Handle	0.09%	\$ 30,753	\$ 14,152	117.3%	0.08%	\$ 55,445	\$ 38,471	44.1%
Total Handle (TH)	0.09%	\$ 31,785	\$ 14,373	121.1%	0.08%	\$ 58,887	\$ 39,589	48.7%
Host Track (42.5% Allocation)		\$ 68	\$ 31	121.1%		\$ 125	\$ 84	48.7%
Purse (42.5% Allocation)		\$ 68	\$ 31	121.1%		\$ 125	\$ 84	48.7%
KY General Fund (15% Allocation)		\$ 24	\$ 11	121.1%		\$ 44	\$ 30	48.7%
Total KY Excise Tax (.05% of TH)		\$ 159	\$ 72	121.1%		\$ 294	\$ 198	48.7%

Kentucky Resident Advance Deposit Wagering Report for August 2020 (Cont)

NYRabets	% of Total	Aug-20	Aug-19	% Change	% of Total	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	1.82%	\$ 45,612	\$ 26,319	73.3%	2.26%	\$ 230,380	\$ 46,538	395.0%
Total Out-of State Handle	3.17%	\$ 1,071,167	\$ 676,076	58.4%	3.10%	\$ 2,052,265	\$ 1,182,683	73.5%
Total Handle (TH)	3.08%	\$ 1,116,779	\$ 702,395	59.0%	2.99%	\$ 2,282,645	\$ 1,229,221	85.7%
Host Track (42.5% Allocation)		\$ 2,373	\$ 1,493	59.0%		\$ 4,851	\$ 2,612	85.7%
Purse (42.5% Allocation)		\$ 2,373	\$ 1,493	59.0%		\$ 4,851	\$ 2,612	85.7%
KY General Fund (15% Allocation)		\$ 838	\$ 527	59.0%		\$ 1,712	\$ 922	85.7%
Total KY Excise Tax (.05% of TH)		\$ 5,584	\$ 3,512	59.0%		\$ 11,413	\$ 6,146	85.7%

TVG	% of Total	Aug-20	Aug-19	% Change	% of Total	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	27.15%	\$ 679,372	\$ 394,275	72.3%	29.74%	\$ 3,033,124	\$ 730,032	315.5%
Total Out-of State Handle	34.89%	\$ 11,779,055	\$ 6,769,620	74.0%	36.08%	\$ 23,897,800	\$ 12,941,680	84.7%
Total Handle (TH)	34.35%	\$ 12,458,426	\$ 7,163,895	73.9%	35.24%	\$ 26,930,924	\$ 13,671,712	97.0%
Host Track (42.5% Allocation)		\$ 26,474	\$ 15,223	73.9%		\$ 57,228	\$ 29,052	97.0%
Purse (42.5% Allocation)		\$ 26,474	\$ 15,223	73.9%		\$ 57,228	\$ 29,052	97.0%
KY General Fund (15% Allocation)		\$ 9,344	\$ 5,373	73.9%		\$ 20,198	\$ 10,254	97.0%
Total KY Excise Tax (.05% of TH)		\$ 62,292	\$ 35,819	73.9%		\$ 134,655	\$ 68,359	97.0%

TwinSpires	% of Total	Aug-20	Aug-19	% Change	% of Total	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	53.78%	\$ 1,345,532	\$ 975,225	38.0%	55.30%	\$ 5,640,559	\$ 1,733,294	225.4%
Total Out-of State Handle	48.54%	\$ 16,388,343	\$ 10,709,666	53.0%	48.99%	\$ 32,448,001	\$ 20,107,636	61.4%
Total Handle (TH)	48.90%	\$ 17,733,875	\$ 11,684,891	51.8%	49.83%	\$ 38,088,560	\$ 21,840,930	74.4%
Host Track (42.5% Allocation)		\$ 37,684	\$ 24,830	51.8%		\$ 80,938	\$ 46,412	74.4%
Purse (42.5% Allocation)		\$ 37,684	\$ 24,830	51.8%		\$ 80,938	\$ 46,412	74.4%
KY General Fund (15% Allocation)		\$ 13,300	\$ 8,764	51.8%		\$ 28,566	\$ 16,381	74.4%
Total KY Excise Tax (.05% of TH)		\$ 88,669	\$ 58,424	51.8%		\$ 190,443	\$ 109,205	74.4%

Kentucky Resident Advance Deposit Wagering Report for August 2020 (Cont)

Watch and Wager	% of Total	Aug-20	Aug-19	% Change	% of Total	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	0.03%	\$ 848	\$ 1,425	-40.5%	0.06%	\$ 6,115	\$ 2,225	174.8%
Total Out-of State Handle	0.08%	\$ 27,202	\$ 59,390	-54.2%	0.09%	\$ 56,573	\$ 96,620	-41.4%
Total Handle (TH)	0.08%	\$ 28,050	\$ 60,815	-53.9%	0.08%	\$ 62,687	\$ 98,845	-36.6%
Host Track (42.5% Allocation)		\$ 60	\$ 129	-53.9%		\$ 133	\$ 210	-36.6%
Purse (42.5% Allocation)		\$ 60	\$ 129	-53.9%		\$ 133	\$ 210	-36.6%
KY General Fund (15% Allocation)		\$ 21	\$ 46	-53.9%		\$ 47	\$ 74	-36.6%
Total KY Excise Tax (.05% of TH)		\$ 140	\$ 304	-53.9%		\$ 313	\$ 494	-36.6%

Xpressbet	% of Total	Aug-20	Aug-19	% Change	% of Total	FYTD-2021	FYTD-2020	% Change
Total In-State Handle	16.82%	\$ 420,769	\$ 224,937	87.1%	12.29%	\$ 1,254,073	\$ 456,304	174.8%
Total Out-of State Handle	12.24%	\$ 4,132,221	\$ 2,772,517	49.0%	10.82%	\$ 7,163,403	\$ 4,957,063	44.5%
Total Handle (TH)	12.55%	\$ 4,552,990	\$ 2,997,454	51.9%	11.01%	\$ 8,417,475	\$ 5,413,367	55.5%
Host Track (42.5% Allocation)		\$ 9,675	\$ 6,370	51.9%		\$ 17,887	\$ 11,503	55.5%
Purse (42.5% Allocation)		\$ 9,675	\$ 6,370	51.9%		\$ 17,887	\$ 11,503	55.5%
KY General Fund (15% Allocation)		\$ 3,415	\$ 2,248	51.9%		\$ 6,313	\$ 4,060	55.5%
Total KY Excise Tax (.05% of TH)		\$ 22,765	\$ 14,987	51.9%		\$ 42,087	\$ 27,067	55.5%

Kentucky Historical Horse Racing Report for August, 2020

	August -20	August - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 264,872,598	\$ 235,702,320	12.4%	\$ 535,595,835	\$ 455,050,352	17.7%
Less: Returned to Public	\$ 242,429,448	\$ 215,951,006	12.3%	\$ 489,497,539	\$ 417,083,377	17.4%
Less: Breakage	\$ 86,754	\$ 97,973		\$ 172,581	\$ 208,189	
Less: Settlement	\$ 30,392	\$ 27,399		\$ 877,033	\$ (268,922)	
Gross Commission	<u>\$ 22,326,003</u>	<u>\$ 19,625,941</u>	13.8%	<u>\$ 45,048,682</u>	<u>\$ 38,027,708</u>	18.5%
TB Development Fund (Note 1)	\$ 1,813,755	\$ 1,633,301	11.0%	\$ 3,678,895	\$ 3,162,176	16.3%
SB Development Fund (Note 2)	\$ 220,642	\$ 179,288	23.1%	\$ 441,020	\$ 334,268	31.9%
Equine Industry Program (Note 3)	\$ 108,554	\$ 211,304	-48.6%	\$ 650,000	\$ 650,000	0.0%
Equine Drug Research (Note 4)	\$ 49,277	\$ 100,652	-51.0%	\$ 320,000	\$ 320,000	0.0%
Higher Education Fund (Note 4)	\$ 49,277	\$ 100,652	-51.0%	\$ 320,000	\$ 320,000	0.0%
Kentucky General Fund (Note 5)	\$ 1,731,585	\$ 1,310,337	32.1%	\$ 2,624,022	\$ 2,039,311	28.7%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 3,973,089</u>	<u>\$ 3,535,535</u>	12.4%	<u>\$ 8,033,938</u>	<u>\$ 6,825,755</u>	17.7%
% of Gross Commission	17.8%	18.0%		17.8%	17.9%	
Net Commission + Breakage	\$ 18,439,668	\$ 16,188,380	13.9%	\$ 37,187,325	\$ 31,410,142	18.4%
% of Total Handle	6.96%	6.87%		6.94%	6.90%	
Number of HHR Terminals	\$ 1,741	\$ 2,870	-39.3%	1,741	2,870	-39.3%
Average Daily Handle	\$ 8,544,277	\$ 7,603,301	12.4%	\$ 8,638,643	\$ 7,339,522	17.7%
Handle/Day/Machine	\$ 4,908	\$ 2,649	85.2%	\$ 4,962	\$ 2,557	94.0%
Gross Commission / Day / Machine	\$ 414	\$ 221	87.5%	\$ 417	\$ 214	95.3%

	August -20	July - 20	% Change	Since Inception	
Total Handle	\$ 264,872,598	\$ 270,723,237	-2.2%	Total Handle	\$ 8,571,235,945
Total Kentucky Excise Tax	\$ 3,973,089	\$ 4,060,849	-2.2%	Total Kentucky Excise Tax	\$ 128,568,539
Average Daily Handle	\$ 8,544,277	\$ 8,733,008	-2.2%	Total KY General Fund	\$ 50,497,142

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Derby City Gaming Historical Horse Racing Report for August, 2020

	August -20	August - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 104,670,380	\$ 97,612,904	7.2%	\$ 208,781,863	\$ 189,492,501	10.2%
Less: Returned to Public	\$ 95,253,590	\$ 88,620,873	7.5%	\$ 189,799,819	\$ 172,066,162	10.3%
Less: Breakage	\$ -	\$ -		\$ -	\$ -	
Less: Settlement	\$ 85,068	\$ 3,288		\$ 366,070	\$ (42,434)	
Gross Commission	<u>\$ 9,331,722</u>	<u>\$ 8,988,743</u>	3.8%	<u>\$ 18,615,975</u>	<u>\$ 17,468,773</u>	6.6%
TB Development Fund (Note 1)	\$ 785,028	\$ 732,097	7.2%	\$ 1,565,864	\$ 1,421,194	10.2%
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ 42,276	\$ 87,298	-51.6%	\$ 250,499	\$ 271,057	-7.6%
Equine Drug Research (Note 4)	\$ 19,211	\$ 41,564	-53.8%	\$ 123,322	\$ 133,443	-7.6%
Higher Education Fund (Note 4)	\$ 19,211	\$ 41,564	-53.8%	\$ 123,322	\$ 133,443	-7.6%
Kentucky General Fund (Note 5)	\$ 704,330	\$ 561,671	25.4%	\$ 1,068,720	\$ 883,250	21.0%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 1,570,056</u>	<u>\$ 1,464,194</u>	7.2%	<u>\$ 3,131,728</u>	<u>\$ 2,842,388</u>	10.2%
% of Gross Commission	16.8%	16.3%		16.8%	16.3%	
Net Commission + Breakage	\$ 7,761,666	\$ 7,524,549	3.2%	\$ 15,484,247	\$ 14,626,386	5.9%
% of Total Handle	7.42%	7.71%		7.42%	7.72%	
Number of HHR Terminals	655	1000	-34.5%	768	1000	-23.2%
Average Daily Handle	\$ 3,376,464	\$ 3,148,803	7.2%	\$ 3,367,449	\$ 3,056,331	10.2%
Handle/Day/Machine	\$ 5,155	\$ 3,149	63.7%	\$ 5,141	\$ 3,056	68.2%
Gross Commission / Day / Machine	\$ 460	\$ 290	58.5%	\$ 458	\$ 282	62.7%

	August -20	July - 20	% Change	Since Inception (September 10, 2018)	
Total Handle	\$ 104,670,380	\$ 104,111,483	0.54%	Total Handle	\$ 1,833,165,194
Total Kentucky Excise Tax	\$ 1,570,056	\$ 1,561,672	0.54%	Total Kentucky Excise Tax	\$ 27,497,478
Average Daily Handle	\$ 3,376,464	\$ 3,358,435	0.54%	Total KY General Fund	\$ 12,608,752

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Ellis Park Historical Horse Racing Report for August, 2020

	August -20	August - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 18,260,040	\$ 9,736,518	87.5%	\$ 37,473,166	\$ 19,460,320	92.6%
Less: Returned to Public	\$ 16,551,449	\$ 8,956,551	84.8%	\$ 33,980,305	\$ 17,896,451	89.9%
Less: Breakage	\$ 1,974	\$ 803		\$ 3,332	\$ 1,642	
Less: Settlement	\$ 109,425	\$ 1,501		\$ 188,659	\$ 13,666	
Gross Commission	<u>\$ 1,597,192</u>	<u>\$ 777,663</u>	105.4%	<u>\$ 3,300,872</u>	<u>\$ 1,548,561</u>	113.2%
TB Development Fund (Note 1)	\$ 136,950	\$ 73,024	87.5%	\$ 281,049	\$ 145,952	92.6%
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ 7,944	\$ 8,802	-9.7%	\$ 46,371	\$ 28,250	64.1%
Equine Drug Research (Note 4)	\$ 3,615	\$ 4,184	-13.6%	\$ 22,829	\$ 13,908	64.1%
Higher Education Fund (Note 4)	\$ 3,615	\$ 4,184	-13.6%	\$ 22,829	\$ 13,908	64.1%
Kentucky General Fund (Note 5)	\$ 121,775	\$ 55,854	118.0%	\$ 189,021	\$ 89,887	110.3%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 273,901</u>	<u>\$ 146,048</u>	87.5%	<u>\$ 562,097</u>	<u>\$ 291,905</u>	92.6%
% of Gross Commission	17.1%	18.8%		17.0%	18.9%	
Net Commission + Breakage	\$ 1,325,266	\$ 632,419	109.6%	\$ 2,742,106	\$ 1,258,298	117.9%
% of Total Handle	7.26%	6.50%		7.32%	6.47%	
Number of HHR Terminals	175	179	-2.2%	173	179	-3.4%
Average Daily Handle	\$ 589,034	\$ 314,081	87.5%	\$ 604,406	\$ 313,876	92.6%
Handle/Day/Machine	\$ 3,366	\$ 1,755	91.8%	\$ 3,454	\$ 1,753	97.0%
Gross Commission / Day / Machine	\$ 294	\$ 140	110.1%	\$ 304	\$ 140	118.0%

	August -20	July - 20	% Change	Since Inception (August 31, 2012)	
Total Handle	\$ 18,260,040	\$ 19,213,127	-5.0%	Total Handle	\$ 572,213,916
Total Kentucky Excise Tax	\$ 273,901	\$ 288,197	-5.0%	Total Kentucky Excise Tax	\$ 8,583,209
Average Daily Handle	\$ 589,034	\$ 619,778	-5.0%	Total KY General Fund	\$ 3,280,376

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Kentucky Downs Historical Horse Racing Report for August, 2020

	August -20	August - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 97,813,844	\$ 92,495,279	5.8%	\$ 201,136,725	\$ 179,243,867	12.2%
Less: Returned to Public	\$ 90,239,822	\$ 85,548,701	5.5%	\$ 185,063,592	\$ 165,659,863	11.7%
Less: Breakage	\$ 23,621	\$ 12,568		\$ 45,646	\$ 30,540	
Less: Settlement	\$ (358,339)	\$ (248,027)		\$ (121,113)	\$ (503,159)	
Gross Commission	<u>\$ 7,908,740</u>	<u>\$ 7,182,037</u>	10.1%	<u>\$ 16,148,599</u>	<u>\$ 14,056,622</u>	14.9%
TB Development Fund (Note 1)	\$ 726,295	\$ 693,715	4.7%	\$ 1,501,217	\$ 1,344,329	11.7%
SB Development Fund (Note 2)						
Equine Industry Program (Note 3)	\$ 40,271	\$ 84,192	-52.2%	\$ 246,916	\$ 257,689	-4.2%
Equine Drug Research (Note 4)	\$ 18,236	\$ 40,114	-54.5%	\$ 121,559	\$ 126,862	-4.2%
Higher Education Fund (Note 4)	\$ 18,236	\$ 40,114	-54.5%	\$ 121,559	\$ 126,862	-4.2%
Kentucky General Fund (Note 5)	\$ 664,170	\$ 529,295	25.5%	\$ 1,025,800	\$ 832,915	23.2%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 1,467,208</u>	<u>\$ 1,387,429</u>	5.8%	<u>\$ 3,017,051</u>	<u>\$ 2,688,658</u>	12.2%
% of Gross Commission	18.6%	19.3%		18.7%	19.1%	
Net Commission + Breakage	\$ 6,465,154	\$ 5,807,176	11.3%	\$ 13,177,194	\$ 11,398,504	15.6%
% of Total Handle	6.61%	6.28%		6.55%	6.36%	
Number of HHR Terminals	473	753	-37.2%	520	753	-30.9%
Average Daily Handle	\$ 3,155,285	\$ 2,983,719	5.8%	\$ 3,244,141	\$ 2,891,030	12.2%
Handle/Day/Machine	\$ 6,671	\$ 3,962	68.4%	\$ 6,859	\$ 3,839	78.6%
Gross Commission / Day / Machine	\$ 539	\$ 308	75.3%	\$ 551	\$ 301	82.9%

	August -20	July - 20	% Change	Since Inception (September 1, 2011)	
Total Handle	\$ 97,813,844	\$ 103,322,880	-5.3%	Total Handle	\$ 4,672,335,661
Total Kentucky Excise Tax	\$ 1,467,208	\$ 1,549,843	-5.3%	Total Kentucky Excise Tax	\$ 70,085,035
Average Daily Handle	\$ 3,155,285	\$ 3,332,996	-5.3%	Total KY General Fund	\$ 26,908,706

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.

Keeneland/Red Mile Historical Horse Racing Report for August, 2020

	August -20	August - 19	% Change	FYTD - 2021	FYTD - 2020	% Change
Total Handle	\$ 44,128,334	\$ 35,857,619	23.1%	\$ 88,204,081	\$ 66,853,664	31.9%
Less: Returned to Public	\$ 40,384,587	\$ 32,824,882	23.0%	\$ 80,653,823	\$ 61,460,901	31.2%
Less: Breakage	\$ 61,159	\$ 84,602		\$ 123,602	\$ 176,006	
Less: Settlement	\$ 194,239	\$ 270,637		\$ 443,418	\$ 263,005	
Gross Commission	<u>\$ 3,488,349</u>	<u>\$ 2,677,499</u>	30.3%	<u>\$ 6,983,238</u>	<u>\$ 4,953,752</u>	41.0%
TB Development Fund (Note 1)	\$ 165,481	\$ 134,466	23.1%	\$ 330,765	\$ 250,701	31.9%
SB Development Fund (Note 2)	\$ 220,642	\$ 179,288	23.1%	\$ 441,020	\$ 334,268	31.9%
Equine Industry Program (Note 3)	\$ 18,063	\$ 31,012	-41.8%	\$ 106,214	\$ 93,004	14.2%
Equine Drug Research (Note 4)	\$ 8,214	\$ 14,791	-44.5%	\$ 52,290	\$ 45,787	14.2%
Higher Education Fund (Note 4)	\$ 8,214	\$ 14,791	-44.5%	\$ 52,290	\$ 45,787	14.2%
Kentucky General Fund (Note 5)	\$ 241,311	\$ 163,517	47.6%	\$ 340,481	\$ 233,258	46.0%
Total KY Excise Tax (1.5% of Total Handle)	<u>\$ 661,925</u>	<u>\$ 537,864</u>	23.1%	<u>\$ 1,323,061</u>	<u>\$ 1,002,805</u>	31.9%
% of Gross Commission	19.0%	20.1%		18.9%	20.2%	
Net Commission + Breakage	\$ 2,887,583	\$ 2,224,237	29.8%	\$ 5,783,779	\$ 4,126,954	40.1%
% of Total Handle	6.54%	6.20%		6.56%	6.17%	
Number of HHR Terminals	438	938	-53.3%	438	938	-53.3%
Average Daily Handle	\$ 1,423,495	\$ 1,156,697	23.1%	\$ 1,422,646	\$ 1,078,285	31.9%
Handle/Day/Machine	\$ 3,250	\$ 1,233	163.6%	\$ 3,248	\$ 1,150	182.5%
Gross Commission / Day / Machine	\$ 257	\$ 92	179.0%	\$ 257	\$ 85	201.9%

	August -20	July - 20	% Change	Since Inception (September 8, 2015)	
Total Handle	\$ 44,128,334	\$ 44,075,747	0.1%	Total Handle	\$ 1,493,521,174
Total Kentucky Excise Tax	\$ 661,925	\$ 661,136	0.1%	Total Kentucky Excise Tax	\$ 22,402,818
Average Daily Handle	\$ 1,423,495	\$ 1,421,798	0.1%	Total KY General Fund	\$ 7,699,307

Note 1: Thoroughbred Development Fund receives .75% of TH at KD, EP and DCG. The rate applies to half of the TH at KRM.

Note 2: The Standardbred Development Fund receives 1.0% of half of the TH at KRM.

Note 3: EIP Fund receives .2% of the TH with a fiscal year cap of \$650,000.

Note 4: EDR and HEF Funds each receive .1% of the TH with a fiscal year cap of \$320,000.

Note 5: Kentucky General Fund receives 1.5% of TH less Notes 1,2,3 and 4.